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Superior Court of California County of Sacramento

03/05/2024

Galen T. Shimoda (Cal. State Bar No. 226752) A. Turner 1 Deputy Justin P. Rodriguez (Cal. State Bar No. 278275) Brittany V. Berzin (Cal. State Bar No. 325121) Shimoda & Rodriguez Law, PC 2 9401 East Stockton Boulevard, Suite 120 3 Elk Grove, CA 95624 Telephone: (916) 525-0716 4 Facsimile: (916) 760-3733 5 Attorneys for Plaintiff MAURECE MARTIN 6 7 8 SUPERIOR COURT OF CALIFORNIA 9 FOR THE COUNTY OF SACRAMENTO 10 MAURECE MARTIN, individually and on Case No. 34-2023-00334816 11 behalf of all other similarly situated Assigned for All Purposes to Hon. Jill Talley, 12 employees, Department 23 13 Plaintiff, **CLASS ACTION** 14 VS. **DECLARATION OF BRITTANY V. BERZIN** 15 WESTERN ENGINEERING IN SUPPORT OF PLAINTIFF'S MOTION CONTRACTORS, INC., a California 16 FOR PRELIMINARY APPROVAL OF Corporation; and DOES 1 to 100, inclusive, CLASS ACTION AND PAGA SETTLEMENT 17 Defendants. 18 Reservation No. A-334816-001 19 Date: March 29, 2024 Time: 9:00 a.m. 20 Dept.: 23 21 Judge: Hon. Jill Talley 22 February 15, 2023 Filed: May 25, 2023 FAC Filed: 23 None set Trial Date: 24 25 26 27 28

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I, Brittany V. Berzin, declare:

- 1. I am an attorney at law duly admitted to practice before all the courts of the State of California and an attorney of record for Plaintiff Maurice Martin ("Plaintiff") herein. I am making this declaration on behalf of the named Plaintiff, the putative class members, and in support of Plaintiff's Motion for Preliminary Approval of Class Action and PAGA Settlement ("Motion"). A true and correct copy of the Joint Stipulation Regarding Class Action and PAGA Settlement and Release ("Agreement") in this matter is filed with this Motion as Exhibit A.
- 2. This case was brought as a wage and hour class action based on Plaintiff's contention that Defendant Western Engineering Contractors, Inc. ("Defendant") failed to pay overtime, failed to pay minimum wages, failed to provide meal and rest periods or pay premiums in lieu thereof, failed to provide accurate wage statements, failed to timely pay final wages, failed to reimburse expenses, failed to pay accrued vacation, and unfairly competed against other businesses. Plaintiff also alleged liability for civil penalties under the Private Attorneys General Act ("PAGA"). These claims were based on allegations that Defendant violated California law by 1) failing to accurately record and maintain employees' hours worked; 2) failing to pay for all hours worked, including travel time, overtime and minimum wages; 3) failing to authorize and permit putative class members to take all meal and rest periods; 4) failing to include the inclusive dates of the pay period and employees' last 4 digits of their social security number or employee identification number on wage statements issued to putative class members; 5) failing to incorporate the value of prevailing wage rates into employees' paid sick leave and regular rates of pay for the purpose of calculating overtime wages on non-prevailing wage jobs; 6) failing to reimburse the use of personal cell phones and vehicles; and 7) failing to pay accrued and unused vacation pay at all or at an employee's final regular rate of pay at the time of separation. The PAGA, waiting time penalty, wage statement violation, and unfair competition claims also derive from these violations.
- 3. Plaintiff is the only named representative in this matter. From our initial investigations of Plaintiff's claims and documents, we believed these claims had merit and could be maintained as a class action. We filed the action on or about February 15, 2023. Plaintiff filed a First Amended Complaint on May 25, 2023 to add a PAGA claim. Plaintiff submitted a stipulation and order on

February 12, 2024, for leave to file a Second Amended Complaint to match the scope of the resolution reached by the Parties, adding claims for unpaid travel time, failure to reimburse expenses and failure to pay accrued vacation. A true and correct copy of Plaintiff's proposed Second Amended Complaint is filed with this Motion as Exhibit B. Plaintiff exhausted administrative remedies through the Labor and Workforce Development Agency ("LWDA") prior to amending the Complaint to add a PAGA claim. Plaintiff filed a notice with the LWDA on February 14, 2023, setting forth the facts and theories of liability. Plaintiff sent amended notices on November 29, 2023 and December 6, 2023 to add additional claims to match the scope of the resolution at mediation and to clarify the scope of the claim period. A true and correct copy of the operative notice filed with the LWDA is being filed with this Motion as Exhibit C. Copies of the notices were also sent to Defendant via certified mail and the \$75.00 filing fee was remitted to the LWDA. There was no response by the LWDA regarding its intent to investigate the claims alleged in Plaintiff's notices for more than 65 days. As such, Plaintiff was authorized to commence a civil action under the PAGA.

- 4. Currently, there is no date set for a motion to certify the class and there is no trial date.
- 5. Defendant is represented in this matter by Cook Brown, LLP. From the beginning, Defendant has contested the merits of this case, the suitability of the case for class action or representative treatment, the manageability of the case at trial, and Plaintiff's ability to prove a violation in each pay period for each employee among other defenses and contentions they made challenging the propriety of this action. Defendant further contended, even assuming there was a finding supporting the imposition of PAGA penalties, that the Court would likely exercise its discretion to substantially reduce any such penalties owed based on evidence of good faith attempts to comply with California Labor Code obligations by Defendant. Notwithstanding its agreement to settle this matter, Defendant believes the practices Plaintiff is contending are unlawful either do not exist or, to the extent they do exist, fully comply with all state and federal employment laws with respect to Plaintiff and Class Members. Also, Defendant has contended that this matter is not appropriate for class certification outside of this proposed class settlement. For instance, Defendant contended that to the extent the hours recorded for Class Members did not capture all work performed or any meal periods that were missed, it did not have any knowledge of this because Defendant would have Class Members review

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6. Based on the expected testimony from Plaintiff and Class Members, a review of Defendant's policies and procedures and other documents relating to the alleged claims, information on the number of Class Members, Class Members' dates of employment, and a representative sample of Class Members' payroll data, the scope of the potential damages to Plaintiff and Class Members in light of the claims alleged, the uncertainty in the law with regard to certification, and the negotiations that have taken place, I am convinced that the proposed settlement is in the best interest of the class. The length and risks of trial and other normal perils of litigation that impact the value of the claims were also considered and weighed in reaching the Agreement. In addition, I carefully considered the prospect of potential class certification issues as well as the uncertainty of class certification, the difficulties of complex litigation, and the lengthy process of establishing specific damages and various possible delays and appeals in agreeing to the proposed settlement. I further considered the fact that penalties under the PAGA could be substantially cut at the discretion of the Court even if Plaintiff was successful on proving those claims and there was risk that a Court could find no willfulness in the failure to pay wages at separation, which would eliminate the value of the waiting time penalty claim entirely. Overall, I believe it is more beneficial to secure a guaranteed benefit to the class now rather than to proceed with litigation and potentially obtain zero funds to the class due to legal or factual issues in the case.

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7. My office, including the partners Galen T. Shimoda and Justin P. Rodriguez, our paralegal, and myself, along with Plaintiff's assistance, thoroughly investigated the merits of the claims and potential damages for such claims. The parties engaged in informal discovery and exchange of documents, including a representative sampling of employee data, such as timecards, paystubs, payroll data and relevant policies for the entirety of the statute of limitations applicable to the alleged claims. The discovery covered all aspects of the asserted claims, including certification issues, merits issues, damages, the scope and configuration of Class Members, the content and implementation of the wage and hour policies at issue, issues relating to manageability concerns at trial, among other relevant areas. From this production we were able to determine information critical to a reliable damages analysis such as the average hourly rate, average daily hours worked, average number of workweeks and pay periods that had potential violations based on the asserted claims, the frequency with which violations occurred in a given week and/or pay period, and the number of former employees. This information allowed my office to assess both liability and damages and create an accurate damages model. Plaintiff assisted in all aspects of this litigation including providing factual information relating to Plaintiff's and Class Members' employment conditions, providing a substantial number of documents, and answering questions regarding Defendant's factual contentions in this matter. This was important because it directly related to our ability to maintain this case as a class action and our ability to obtain a favorable settlement for the class.

- 8. Throughout this litigation our office had numerous communications with Defendant's Counsel discussing our respective positions. The parties engaged in mediation on October 9, 2023 using an experienced mediator, Russ J. Wunderli, Esq. It was only after approximately eight months of extensive, arm's length negotiations that the parties were able to reach a settlement, which only occurred after a full-day mediation. The negotiations were at all times contentious and adversarial, though still professional in nature.
- 9. The following represents the potential maximum recovery for each of Plaintiff's claims based on my office's analysis of Defendant's relevant policies and the data produced by Defendant, including a sample of time and payroll records for Class Members. There were 3,861 workweeks in the

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Class Period (weeks worked by employees who did not sign arbitration agreements) and 5188 pay periods in the PAGA Claim Period:

- a) Minimum Wages: This claim is based on allegations that Defendant failed to pay Class Members for all hours worked due to its failure to accurately record Class Members' hours worked. This claim is also based on the allegation that Defendant required Class Members to travel long distances to various jobsites and did not pay for all travel time. At the time of mediation, the maximum possible damages for this claim based on Defendant's payroll records was \$50,193. This was calculated based on an estimate of one unpaid hour per week. This amount does not take into account any potential risks with respect to Plaintiff proving the merits or damages. In order to be liable for off-theclock work, an employer must know or have reason to to know it was occurring. Brinker Rest. Corp. v. Superior Ct., 53 Cal.4th 1004, 1051 (2012). Defendant produced a written policy regarding reporting any timecard errors and also had Class Members review their records and sign them to certify their accuracy. Defendant contends that because Class Members certified their hours were correct, it had no knowledge of any off-the-clock work to the extent any was performed. Defendant further contends that its policy was to pay employees for any travel time exceeding 90 minutes, which it believes was lawful. See e.g. DLSE Opinion Letter re: "Travel Time Pay for Employee With Alternative Work Sites," 2003.04.2022 (the DLSE has expressly recognized that employees in certain occupations, such as the construction industry, "by the nature of the industry and occupation, are not assigned to a specific workplace and have a reasonable expectation that they will be routinely required to travel reasonable distances to job sites on a daily basis."). Taking these factors into account a more realistic range of recovery for this claim is \$25,096.50 (50% reduction for risk) to \$37,644.75 (25%) reduction for risk).
- b) Overtime & Double Time Wages: This claim is based on allegations that Defendant paid Class Members different hourly rates within the same workday and/or workweek (due to them working on public works jobs and non-public works jobs). Plaintiff alleges that

Defendant did not properly take into account the different rates paid when it calculated Class Members regular rates of pay for the purpose of paying overtime. At the time of mediation, the maximum possible damages for this claim based on Defendant's payroll records was \$20,422.95 (for overtime) and \$6.18 (for double time). This was calculated based on a violation rate found in the sample of 23.92% (for overtime) and 0.85% (for double time). This amount does not take into account any potential risks with respect to Plaintiff proving the merits or damages. Defendant contends it corrected its regular rate of pay calculation in July 2022, which would eliminate a portion of the calculated damages for these claims.

- c) Sick Time: This claim is based on allegations that Defendant paid Class Members different hourly rates within the same workday and/or workweek (due to them working on public works jobs and non-public works jobs). Plaintiff alleges that Defendant did not properly take into account the different hourly rates paid when it calculated Class Members regular rates of pay for the purpose of paying sick time. At the time of mediation, the maximum possible damages for this claim based on Defendant's payroll records was \$127.47. This was calculated based on a violation rate found in the sample of 0.62%. This amount does not take into account any potential risks with respect to Plaintiff proving the merits or damages. Defendant contends it corrected its regular rate of pay calculation in July 2022, which would eliminate a portion of the calculated damages for these claims.
- Meal Period: This claim is based on allegations that Defendant did not provide Class Members with all meal periods owed to them or to the extent meal periods were provided there were occasions where they were not provided until after Class Members' fifth hour of work. At the time of mediation, the maximum possible damages for this claim based on Defendant's time and payroll records and anticipated Class Member testimony was \$374,362.56. This amount was calculated based on an average of four meal periods being available to Class Members each week and a 60% violation rate. This amount does not take into account any potential risks with respect to Plaintiff

proving the merits or damages. Defendant produced written meal period policies and contended that it provided Class Members with an opportunity to take meal periods and that to the extent they were not taken or were taken late it was due to employee choice. Defendant also disputed Plaintiff's estimated violation rate and argued that to the extent there were any meal violations the frequency was much lower and that when it had knowledge of violations premiums were paid. Taking these factors into account, a more realistic range of recovery for this claim is \$224,617.54 to \$299,490.05 (20% to 40% reduction).

- <u>Rest Period</u>: This claim is based on allegations that Defendant did not provide Class Members with all rest periods owed to them. At the time of mediation, the maximum possible damages for this claim based on records and anticipated Class Member testimony was \$374,362.56. This amount was calculated based on an average of four rest periods being available to Class Members each week and a 60% violation rate. It can reasonably be assumed that the circumstances that created an employee's inability to take a meal period on a particular day also would have interfered with their ability to take a rest period. Thus, the estimated violation rate is the same for rest periods as it was for meals. This amount does not take into account any potential risks with respect to Plaintiff proving the merits or damages. Defendant produced written rest period policies and contended that it provided Class Members with an opportunity to take rest periods and that to the extent they were not taken it was due to employee choice. Defendant also disputed Plaintiff's estimated violation rate and argued that to the extent there were any rest violations the frequency was much lower. Taking these factors into account, a more realistic range of recovery for this claim is \$224,617.54 to \$299,490.05 (20% to 40% reduction).
- Wage Statement: This claim is based on allegations that Defendant failed to include all pay periods and employee ID numbers or the last 4 digits of their social security number on wage statements. This claim is also derivative of the claims above with regarding to Plaintiff's allegations that Defendant did not correctly state net and gross wages, total

hours worked and correct rates of pay on the wage statements. At the time of mediation, the maximum possible damages for this claim based on Defendant's data was \$259,400. This amount was calculated using a \$50 initial violation rate for the 5,188 pay periods in the claim period. This amount does not take into account any potential risks with respect to Plaintiff proving the merits or damages. The same risks identified above are applicable to the derivative allegations of this claim. In addition, Labor Code section 226 requires a knowing and intentional violation and Defendant contends that to the extent the wage statements it issued to Class Members were incorrect it was not intentional. Defendant corrected the format of its wage statements in July 2022. Taking these factors into account, a more realistic range of recovery for this claim is \$51,880 to \$155,640 (40% to 80% reduction).

Waiting Time: This claim is derivative of the failure to pay minimum wages, failure to pay overtime, failure to pay sick time, and failure to provide meal and rest periods. Thus, the same risks identified above apply to this claim. At the time of mediation, the maximum possible damages for this claim based on the data and information provided by Class Members was \$688,416. This amount was calculated based on information from Defendant that there were 71 former employees. It was assumed that each employee had some amount of wages owing to him or her and the penalty was calculated using a full 30 days. This amount does not take into account any potential risks with respect to Plaintiff proving the merits or damages. Labor Code section 203 requires that the failure to pay wages be willful in order for a waiting time penalty to be appropriate. Defendant contends that it cannot be liable for waiting time penalties because it has a good faith defense. There is substantial risk that Defendant's policies and records would provide it with a good faith affirmative defense, which would eliminate the value of this claim entirely. See Diaz v. Grill Concepts Servs., Inc., 23 Cal.App.5th 859, 868 (2018). Taking these factors into account, it is possible this claim would be eliminated entirely.

- d) Reimbursement: This claim is based on allegations that Defendant required Class Members to travel to jobsites using their personal vehicles, which at times were more than one (1) hour to or from work without reimbursing them for mileage. It is also based on allegations that Class Members had to use their personal cellphones for work. At the time of mediation, the maximum possible damages for this claim was \$193,050. This amount does not take into account any potential risks with respect to Plaintiff obtaining certification or proving the merits or damages. This amount was calculated estimating \$50 was not reimbursed each week (combination of personal cellphone use and mileage). Defendant argues that this claim would not be certified because too many individual issues of fact predominate. For example, Defendant contends it would require the Court to scrutinize each Class Member's claimed expenses and whether they were necessary and incurred in direct consequence of the discharge of the employee's duties, whether the employee actually sought reimbursement from Defendant, and whether Defendant reimbursed the expense. Defendant also contends that it provided Class Members with company trucks and cellphones as necessary for employees' work. Finally, Defendant produced a written reimbursement policy and contends that if any necessary expenses were not reimbursed it was because Class Members did not request reimbursement. Taking these factors into account, a more realistic range of recovery for this claim is \$0 to \$96,525 (50% reduction).
- e) <u>Unpaid Accrued Vacation:</u> This claim is based on allegations that at the time of Class Members' termination, Defendant did not pay Class Members all of their accrued and unused vacation and/or did not pay for such vacation days at the employee's final rate of pay. At the time of mediation, the maximum possible damages for this claim was \$57,368. This amount does not take into account any potential risks with respect to Plaintiff obtaining certification or proving the merits or damages. This amount was calculated estimating the 71 former employees were owed 20 hours of accrued vacation at the average rate of pay (\$40.40). Defendant contends that this claim does not have any merit. Defendant contends it paid PTO benefits on prevailing wage jobs through

hourly contributions to a voluntary employees' beneficiary association ("VEBA") trust fund, which is permissible under state and federal law. *See* Cal. Labor Code §§ 1773.1; 29 CFR § 5.23. Defendant contends for each hour worked on prevailing wage jobs, it made a PTO contribution, the amount of which was determined by an independent actuary, to a VEBA trust fund. Then, at the end of employment, for employees who have not worked enough hours to qualify for an additional PTO accrual and payout, the hourly contributions made on behalf of that employee are disbursed. Defendant further contends these contributions have been accepted by the DLSE in the past. Taking these factors into account, a more realistic range of recovery for this claim is \$0 to \$28,684 (50% reduction).

f) PAGA: This claim is derivative of the Labor Code violations identified above and would be subject to all the same risks as the underlying claims it is based on. Additionally, based on our research, we did not find any prior Labor Commissioner or court decisions that stated Defendant's practices and/or policies were improper. As such, a "subsequent violation" may not be found for penalty calculation purposes, and the exposure analysis here is based on an "initial violation" valuation being adopted by any fact finder if this matter went to trial. See Amaral v. Cintas Corp. No. 2, 163 Cal.App.4th 1157, 1207-1209 (2008). Based on Class Members' payroll data, the maximum total exposure for this claim is \$2,940,957.60. This amount does not take into account any of the risks in proving the merits of the underlying claims that the PAGA damages are based on. In addition to the risks on the merits and disputes regarding the proper valuation of the penalty amounts, I believe the Court may exercise its discretion to reduce PAGA penalties in this case because a majority of the civil penalties sought would be in addition to amounts owed for substantive violations, some of the violations are due to technical non-compliance with the Labor Code, such as wage statement violations, and Defendant produced legally compliant policies among other documents, that a Court may find demonstrates a good faith attempt at compliance. Defendant also took steps to remedy potential violations of the law by correcting its regular rate calculations and

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modifying the format of its wage statements. Courts are statutorily authorized to use discretion to reduce penalties and the range of discretion used varies substantially. See Thurman v. Bayshore Transit Mgmt., Inc., 203 Cal.App.4th 1112, 1135 (2012) (30%) reduction); Fleming v. Covidien, Inc., 2011 U.S. DIST. LEXIS 154590, *9 (C.D. Cal. 2011) (82% reduction). The 82% reduction equates to roughly \$9-\$50 worth of civil penalties per pay period per employee depending on the total potential value of the civil penalty (i.e. \$50-\$250), which is more in line with actual awards based on my experience and review of awards in other cases. Thus, even if using the maximum values possible and setting aside risks of proving the claims on the merits, the total exposure may be cut to approximately \$529,372.37 (82% reduction) to \$2,058,670.32 (30%) or lower. It is important to note that this discretionary reduction is completely separate and in addition to any risks on the merits. Given the substantial risks associated with the claims, we believe the amount that might ultimately be awarded under this claim would be significantly lower than our maximum exposure calculation. Allocating \$41,750 to the PAGA claims in this case is appropriate, especially in light of amounts that Courts have approved as reasonable valuations in other cases. See Nordstrom Com. Cases, 186 Cal.App.4th 576, 589 (2010) (approving \$0 allocation to the resolution of PAGA claims based on their being disputed and being part of a class settlement which was evaluated based on the terms of the agreement overall); Junkersfeld v. Med. Staffing Sols., Inc., 2022 WL 2318173, at *8 n.2 (E.D. Cal. 2022) (collecting cases with PAGA settlement values ranging from .037%-1%); Jennings v. Open Door Marketing, LLC, 2018 WL 4773057, *9 (N.D. Cal. 2018) (approving settlement of PAGA claims at 0.6% of total estimated value due to risk of no recovery); Ruch v. AM Retail Grp., Inc., 2016 WL 5462451, *7 (N.D. Cal. 2016) (approving \$10,00 PAGA settlement allocation where total PAGA penalty exposure was approximately \$5.2 million, or 0.2% of total estimated value); Davis v. Cox Comme'ns California, LLC, 2017 U.S. Dist. LEXIS 63514, *1 (S.D. Cal. 2017) (preliminarily approving \$4,000 PAGA allocation in \$275,000 settlement); Moore v. Fitness Int'l, LLC, 2014 U.S. Dist. LEXIS 8358, *5

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(S.D. Cal. 2014) (approving \$2,500 PAGA allocation when attorneys' fees award alone amounted to \$200,000); Jack v. Hartford Fire Ins. Co., 2011 U.S. Dist. LEXIS 118764, *6 (S.D. Cal. 2011) (approving \$3,000 PAGA allocation in \$1,200,000 settlement); Singer v. Becton Dickinson & Co., 2010 U.S. Dist. LEXIS 53416, *2 (S.D. Cal. 2010) (approving \$3,000 PAGA allocation in \$1,000,000 settlement); Hopson v. Hanesbrands Inc., 2009 U.S. Dist. LEXIS 33900, *9 (N.D. Cal. 2009) (approving \$1,500 PAGA allocation in \$1,026,000 settlement); Syed v. M-I, L.L.C., 2017 U.S. Dist. LEXIS 24880, *34-35 (E.D. Cal. 2017) (approving \$100,000 PAGA allocation in a \$3,950,000 settlement even though PAGA exposure was calculated at \$53,600,000, or 0.2% of total estimated value); Garcia v. Gordon Trucking, Inc., 2012 U.S. Dist. LEXIS 160052, at *7 (E.D. Cal. 2012) (approving \$10,000 PAGA allocation in a \$3,700,000 settlement); Franco v. Ruiz Food Prod., Inc., 2012 WL 5941801, at *14 (E.D. Cal. 2012) (\$10,000 in PAGA payment from \$2,500,000 settlement fund); Chu v. Wells Fargo Investments, LLC, 2011 WL 672645, at *1 (N.D. Cal. 2011) (approving PAGA settlement payment of \$7,500 to the LWDA out of \$6.9 million common-fund settlement).

10. In summary, Plaintiff's gross recovery of \$417,500 under the Agreement equals approximately 8.4% of the maximum likely value of the claims in this matter and between 13.9% and 38.8% of the more realistic range of recovery. After deducting from the Gross Settlement Amount the proposed allocations for attorneys' fees (\$146,125) and costs (\$10,000)¹, any Enhancement Payment to the Class Representative (\$10,000), Settlement Administrator Costs (\$10,000), and the PAGA Payment to the LWDA (\$41,750), the net recovery under the Agreement, \$199,625, represents approximately 4.0% of the maximum likely value of the claims in this matter. The net recovery also represents between 6.7% and 18.6% of the more realistic range of recovery. The average net award is approximately \$2,169.84 (\$199,625/92 Class Members). I believe the Agreement represents a reasonable compromise of claims based on the legal and factual disputes in this case. The ability to secure a guaranteed settlement now and ensure Class Members receive some compensation, rather than

¹ This calculation uses the maximum cost potential under the Agreement for Class Counsel's costs and the Settlement Administrator's costs. The actual costs will likely be less with the difference being added to the Net Settlement Amount.

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27 28 proceed to further litigation and potentially recover nothing, was a motivating factor in reaching this Agreement.

- 11. In agreeing to represent Plaintiff and take on the case for all Class Members, our office agreed to take this case on a contingency basis, meaning that we would take a percentage of any settlement or judgment should we recover a monetary amount. We took a risk that we would not recover any money in this matter if we were unsuccessful at trial. We also took on the risk that the case may be subject to an unfavorable summary judgment ruling. However, we believe it is important to make sure employees are able to find affordable representation in order to ensure that employers are complying with all their legal obligations towards employees and paying employees all their hardearned wages.
- 12. I am a Senior Associate at Shimoda & Rodriguez Law, PC. Our law firm is a boutique law practice that focuses primarily on employment litigation, emphasizing wage and hour litigation. I attended and graduated college from U.C. Davis, receiving a Bachelor of Arts in Psychology. I received my J.D. from the University of the Pacific McGeorge School of Law. I joined Shimoda & Rodriguez Law, PC as a law clerk in February 2015 where I gained civil litigation experience working on individual, class action and PAGA employment cases throughout law school. I also participated in an employment law clinic in 2015 and 2016 that helps low-income workers by providing free legal consultations, advising employees of their legal remedies on a variety of matters (e.g., wage and hour, discrimination/harassment, California leave laws, unemployment, workers' compensation, retaliation, and wrongful termination, etc.) under the supervision of an attorney, preparing wage claims, and providing representation in wage claims before the California Labor Commissioner. From 2016-2017, I completed an externship at the Federal Public Defenders Office as a Certified Law Student where I obtained discovery, completed legal research, drafted motions, negotiated plea deals, represented clients in a variety of hearings (e.g., arraignments, motion hearings, sentencing hearings, etc.), and defended a client against five misdemeanor charges in a jury trial in the United States District Court for The Eastern District of California. I was also a member of the nationally recognized McGeorge Mock Trial Team and went on to coach a high school Mock Trial team in 2018 after graduating from law school. In May 2017, I graduated from the University of the Pacific, McGeorge School of Law with

Great Distinction and was inducted into the Order of the Coif, graduating in the top 10% of my class. I received the Witkin Award for Academic Excellence in Legal Research and Writing, Civil Procedure, Bankruptcy, and Criminal Procedure. From 2020 to present, I have been recognized as a Super Lawyer (Rising Star). I have been a member of the executive committee of the Sacramento County Bar Association Labor & Employment Section since January 2020, serving as Co-Chair of the committee in 2021. I have over seven years of experience working on civil litigation and employment law matters. Most of that experience has been specific to analyzing and litigating wage and hour claims. As an associate, I have worked on a variety of individual, class action, and PAGA cases involving wage and hour claims, such as failure to pay overtime, failure to pay minimum wages, failure to provide meal and rest periods, failure to pay reimbursement expenses, unlawful deductions, failure to keep accurate time records, failure to provide paid sick leave, failure to pay all wages upon separation, unfair competition, breach of contract, independent contractor misclassification, and salaried misclassifications. Some of the class action and/or PAGA cases I am litigating and/or have litigated as lead or co-counsel include the following:

- Arosemena v. Ranchhodrai, Inc., et al., Case No. STK-CV-UOE-2019-15963 (San Joaquin Sup. Ct.);
- *Arroyo v. Epic Home Solar*, Case No. 34-2021-00310634 (Sac. Sup. Ct.);
- Balli v. Brown Box Investments, Inc., et al., Case No. 34-2018-00232656 (Sac. Sup. Ct.);
- Barkhousen, et al. v. Bank of Stockton, Case No. STK-CV-UOE-2019-17145 (San Joaquin Sup. Ct.);
- Barrios v. American Property Management, Inc., Case No. 1:18-cv-00352-AWI-SKO (E.D. Cal.);
- Callahan v. Creative Alternatives, Inc., et al., Case No. 2027518 (Stanislaus Sup. Ct.);
- Collazo v. T.O.P. Marketing Group, Inc., Case No. 34-2022-00314092 (Sac. Sup. Ct.);
- Cristobal v. BAT Residential Services, Inc., Case No. FCS056331 (Solano Sup. Ct.);
- Coronado v. MGD, Inc., Case No. STK-CV-UOE-2021-893 (San Joaquin Sup. Ct.);
- Estrada v. MAD Security Services, Inc., Case No. 34-2021-00300627 (Sac. Sup. Ct.);
- Ferreyra v. Point Digital Finance, Inc., et al., Case No. 20CV373776 (Santa Clara Sup. Ct.);

- Finance of America Wage And Hour Cases, Case No. JCCP 5081 (Orange County Sup. Ct.);
- Gomez, et al. v. Kleary Masonry, Inc., Case No. 34-2020-00278067 (Sac. Sup. Ct.);
- Gonzalez v. Northcentral Pizza, LLC, et. al., Case No. 34-2019-00252018 (Sac. Sup. Ct.);
- Gordon, et al. v. Hospice Source, LLC, Case No. 34-2019-00250022 (Sac. Sup. Ct.);
- Green v. Warden Security Associates, Inc., Case No. 22CV396140 (Santa Clara Sup. Ct.);
- Hampton v. Unlimited Security Specialists, Inc., Case No. CV2021-2130 (Yolo Sup. Ct.);
- Hercules, et al. v. Maximus Services, LLC, Case No. 34-2019-00268385 (Sac. Sup. Ct.);
- Insixiengmay v. Hyatt Corporation, Case No. 2:18-cv-02993-TLN-DB (E.D. Cal);
- Kurtz v. Perimeter Security Group, LLC, et al., Case No. CU19-083650 (Nevada Sup. Ct.);
- Leong-Call v. MRB Foods, Inc., Case No. 34-2020-00287486 (Sac. Sup. Ct.);
- Magat v. Medical Care Professionals, Inc., et. al., Case No. SCV0042579 (Placer Sup. Ct.);
- Mayorga v. Brown Strauss, Inc., Case No. STK-CV-UOE-2020-0010906 (San Joaquin Sup. Ct.);
- McGhee v. Salute Incorporated, Case No. 34-2022-00315317 (Sac Sup. Ct.);
- McMahon v. Airco Mechanical, Inc., Case No. 34-2019-00259269 (Sac. Sup. Ct.);
- Meals v. Grass Valley Extended Care, Inc., et al., Case No. CU19-083606 (Nevada Sup. Ct.);
- Munoz v. Wilmor And Sons Plumbing And Construction, Case No. 34-2021-00306609 (Sac. Sup. Ct.);
- Ruiz v. CTE Cal, Inc., Case No. 34-2020-00289168 (Sac. Sup. Ct.);
- Saavedra, et al. v. SMF Global, Inc., Case No. 34-2018-00243363 (Sac. Sup. Ct.);
- Scarano v. J.R. Putman, Inc., et al., Case No. 34-2018-00244753 (Sac. Sup. Ct.);
- Scoggins, et al. v. Energy Star Construction, Inc., Case No. 34-2018-00243048 (Sac. Sup. Ct.);
- Strawn v. Bridgestone Retail Operations, LLC, Case No. 34-2018-00242049 (Sac. Sup. Ct.);
- Sullivan v. National Response Corporation, Case No. 34-2018-00244757 (Sac. Sup. Ct.);
- Tracy v. Von Housen's Sacramento, Inc., Case No. 34-2020-00282778 (Sac. Sup. Ct.);
- *Uribe v. Ecoguard Pest Management, Inc.*, Case No. 34-2021-00300650 (Sac. Sup. Ct.);

- Vasquez v. Chriswell Home Improvements, Inc., Case No. 34-2021-00305938 (Sac. Sup. Ct.);
- Villarruel, et al. v. General Produce Company, et al., Case No. 34-2021-00311463 (Sac. Sup. Ct.);
- Walker v. Yan Kalika Dental Corporation, Case No. 34-2021-00305106 (Sac. Sup. Ct.); and
- Webb v. Professional Healthcare At Home, LLC, Case No. FCS055317 (Solano Sup. Ct.).
- 13. The preceding list of cases does not include those where, for a variety of reasons, the case was initially filed as a class and/or PAGA action, but did not maintain that status through the end of the case.
- 14. The partner, Justin P. Rodriguez, Esq. also worked with me on this matter and was critical in assisting with all aspects of the litigation of this case. Mr. Rodriguez attended and graduated college from U.C. Davis, receiving a Bachelor of Arts in Philosophy and the Departmental Citation for Academic Achievement in the Philosophy program. He was one of only two recipients of this award out of the entire Philosophy Department. After U.C. Davis, Mr. Rodriguez attended the University of the Pacific, McGeorge School of Law, graduating in 2011 and receiving a Juris Doctorate. He graduated in the top 20% of his class and was a member of the Traynor Honor Society at McGeorge. Other academic achievements of his include receiving a Witkin Award (top grade) in his legal research and writing course, a Witkin Award in complex civil litigation, being a member of the Dean's List from 2008 to 2011, being a Legislative Staff Writer for the *McGeorge Law Review* from 2009–2010, being an Associate Comment Editor for the *Pacific McGeorge Global Business & Development Law Journal* from 2010–2011, and being selected as a Sacramento County Bar Association Diversity Fellow in 2009. Mr. Rodriguez was also a member of the Employment and Labor Law Society and an officer for the Latino Law Students Association from 2009 to 2010.
- 15. Mr. Rodriguez was an associate of the Shimoda Law Corp from 2011 to 2016 and became a partner in 2017. Shimoda Law Corp. became Shimoda & Rodriguez Law, PC, in 2022. Since 2017, he has received an AV Preeminent rating from Martindale-Hubbell for his legal ability and ethical standards. From 2018 to present, he has been recognized as a Super Lawyer (Rising Star). Mr. Rodriguez has been a panel speaker and presented a number of seminars covering issues wage and hour

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- Adams-Anguay v. Placer Title Company, et al., Case No. SCV0040845 (Placer Sup. Ct.);
- Adewumi v. GHS Interactive Security, LLC, Case No. 34-2017-00210768 (Sac. Sup. Ct.);
- Arrington v. Capital Express Lines, Inc., et al., Case No. 34-2012-00134195 (Sac. Sup. Ct.);
- Aslam v. American Custom Private Security, Inc., Case No. STK-CV-UOE-2018-0012080 (San Joaquin Sup. Ct.);
- Aslam v. Cypress Security, LLC, Case No. 34-2017-00220143 (Sac. Sup. Ct.);
- Aslam v. Surveillance, Security, Inc., Case No. 34-2017-00220142 (Sac. Sup. Ct.);
- Azzolino v. Brake Masters of Sacramento, LLC, et al., Case No. 34-2017-00218293 (Sac. Sup. Ct.);
- Barkhousen v. Bank of Stockton, Case No. STK-CV-UOE-2019-17145 (San Joaquin Sup. Ct.);
- Benak v. MDStat Urgent Care, Inc., Case No. 34-2015-00188181 (Sac. Sup. Ct.);

- Bigornia v. Quest Diagnostics Clinical Laboratories, Inc., et al., Case No. 34-2019-00271174 (Sac. Sup. Ct.);
- Blig v. Medical Management International, Inc., Case No. 34-2017-00213906 (Sac. Sup. Ct.);
- Caguioa, et al. v. Fortune Senior Enterprises, et al., Case No. 34-2014-00171831 (Sac. Sup. Ct.);
- Camacho, et al. v. Z Street, Inc., d.b.a. Tower Café, et al., Case No. 34-2014-00163880 (Sac. Sup. Ct.);
- Castorena v. Flowmaster, Inc., Case No. CV18-2191 (Yolo Sup. Ct.);
- Cannon v. Miller Event Management, Inc., et al., Case No. 34-2014-00168103 (Sac. Sup. Ct.);
- Carr, et al. v. CableCom, LLC, Case No. 34-2017-00212739 (Sac. Sup. Ct.);
- Chace v. Daisy Holdings, LLC, dba Pine Creek Care Center, et al., Case No. 34-2017-00209613 (Sac. Sup. Ct.);
- Clamens-Hollenback v. Atterro, Inc., Case No. 17-CV-305535 (Santa Clara Sup. Ct.);
- Cress, et al. v. Mitsubishi Chemical Carbon Fiber and Composites, Inc., Case No. 34-2017-00222101 (Sac. Sup. Ct.);
- De Arcos v. Amware Pallet Services, LLC, Case No. CV-17-629 (Yolo Sup. Ct.);
- Ferreyra v. Point Digital Finance, Inc., et al., Case No. 20CV373776 (Santa Clara Sup. Ct.);
- Foye v. The Golden 1 Credit Union, Case No. 34-2018-00235003 (Sac. Sup. Ct.);
- Garcia v. A-L Financial Corp., Case No. 34-2014-00171831 (Sac. Sup. Ct.);
- Garcia v. Royal Plywood Company, LLC, et al., Case No. 34-2017-00221627 (Sac. Sup. Ct.);
- Gomes v. Progressive Casualty Insurance Company, Case No. 34-2018-00241979 (Sac. Sup. Ct.);
- Gomez v. Mayflower Farms Incorporated, et al., Case No. CV24157 (Colusa Sup. Ct.);
- Gilliam v. Matrix Energy Services, Inc. Case No. RG 11592345 (Alameda Sup. Court);
- Gonzalez v. Northcentral Pizza, LLC, et al., Case No. 34-2019-00252018 (Sac Sup. Ct.);
- Gordon, et al. v. Hospice Source, LLC, et al., Case No. 34-2019-00250022 (Sac. Sup. Ct.);

- Gotts v. John L. Sullivan Chevrolet, Inc., Case No. 34-2018-00231576 (Sac Sup. Ct.);
- Hartwell v. Techforce Telecom, Inc., Case No. 39-2014-00307197 (San Joaquin Sup. Ct.);
- Hellum v. A1 Protective Services, LLC, et al., Case No. 34-2018-00234449 (Sac. Sup. Ct.);
- Hercules v. Maximus Services, LLC, et al., Case No. 34-2019-00268385 (Sac Sup. Ct.);
- Hernandez v. Snyir, Inc., Case No. 34-2017-00207641 (Sac. Sup. Ct.);
- Heinz v. Wright Tree Services, Case No. 34-2012-00131949 (Sac. Sup. Ct.);
- *Hoover v. Mom365*, Case No. 2:17-cv-01328-TLN-CKD (E.D. Cal.);
- Insixiengmay v. Hyatt Corporation, et al., Case No. 2:18-cv-02993-TLN-DB (E.D. Cal.);
- *Josol v. Dial Medical Corp.*, Case No. 34-2008-00010040 (Sac. Sup. Ct.);
- McMahon v. Airco Mechanical, Inc., Case No. 34-2019-00259269 (Sac. Sup. Ct.);
- Muhieddine v. KBA Docusys, Inc., Case No. 34-2014-00164720 (Sac. Sup. Ct.);
- Nguyen v. Cardinal Health Pharmacy Services, LLC, et al., Case No. 34-2019-00263185 (Sac. Sup. Ct.);
- Prasad v. D. G. Smith Enterprises, Inc., Case No. 34-2017-00215046 (Sac. Sup. Ct.);
- Ralston v. JMJ Incorporated, Inc. et al., Case No. 34-2017-00217047 (Sac. Sup. Ct.);
- Roberts v. CableCom, LLC, Case No. 34-2017-00212739 (Sac. Sup. Ct.);
- Robinson v. West of Chicago Restaurants, Inc., dba Chicago Fire, Case No. 34-2010-00082201 (Sac Sup. Ct.);
- Salas, et al. v. Joint Ventures, LLC, et al., Case No. 34-2018-00227493 (Sac. Sup. Ct.);
- Salmon v. Ovations Fanfare, L.P., et al., Case No. 34-2018-00244749 (Sac. Sup. Ct.);
- Scarano v. J.R. Putman, Inc., Case No. 34-2018-00244753 (Sac. Sup. Ct.);
- Smith v. Greyhound Lines, Inc., Case No. 34-2017-00219188 (Sac. Sup. Ct.);
- Sullivan v. National Response Corporation, Case No. 34-2018-00244757 (Sac. Sup. Ct.);
- Talent v. Leslie's Poolmart, Inc., Case No. 34-2012-00128539 (Sac. Sup. Ct.);
- Thornton v. McConnell Jones Lanier & Murphy LLP, Case No. 34-2017-00211553 (Sac. Sup. Ct.);
- Watson v. Quarter At A Time, LLC, Case No. 34-2017-00217570 (Sac. Sup. Ct.); and
- Willis v. Premier Pools, Incorporated, Case No. 34-2017-00211710 (Sac. Sup. Ct.).

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- 16. The preceding list of cases does not include those where, for a variety of reasons, the case was initially filed as a class and/or PAGA action, but did not maintain that status through the end of the case.
- 17. The partner, Galen T. Shimoda, Esq. also assisted with this case. Mr. Shimoda graduated from the University of Utah in 2000 with a B.S. in Business Management and a B.A. in Asian Studies, with a minor in Japanese language. He then attended and graduated from the University of the Pacific, McGeorge School of Law and received his J.D. degree in 2003. He graduated from McGeorge in the top 5% of his class and was a member of the Order of the Coif and Traynor Honor Society. Since graduating from McGeorge, he has authored a number of employment law articles for journals and regularly publishes articles on our firm's website.
- 18. He has been a regular panel speaker for the CEB (Continuing Education of the Bar) Employment Review seminars from 2014 to the present. His speaking engagements include the following: 1) Lorman Military Leave Law Speaker; 2) Restaurant Association Speaker at Annual Seminar (Los Angeles); 3) Federal Bar Association, Sacramento Chapter: 2015 Amendments to the Federal Rules of Civil Procedure (Mar. 30, 2016); 4) CEB – Employment Law Practice: 2016 Year in Review (Jan. 20, 2017); 5) CEB – Employment Law Practice: 2015 Year in Review (Jan. 22, 2016); 6) CEB – Employment Law Practice: Year in Review (2014) (Jan. 9, 2015); 7) CEB - Employment Law Practice: Year in Review (2013) (Jan. 10, 2014); 8) Sacramento County Bar Association - Class Actions from the Trenches: Real World Experiences from the Plaintiff and Defense Bar (Feb. 21, 2012); 9) Sacramento Employer Advisory Council – Wage and Hour Workshop: Going Beyond the Exemption Discussion (Apr. 7, 2016); 10) Sacramento Employer Advisory Council - Wage & Hour Panel and AB 1825 Training: Updates on California's New Wage Laws and Manager Compliance Training (Apr. 25, 2017); 11) Sacramento County Bar Association, Labor and Employment Section – PAGA Representative Litigation: Emerging Trends and Issues (May 17, 2016); 12) Sacramento Business Journal Panel – Overtime Rules (Jun. 23, 2016); 13) Association of Defense Counsel of Norther California & Nevada - Employment Law Update – Do the Math: Calculation Exposure and Damages in Wage and Hour Cases (Aug. 12, 2016); 14) California Employment Lawyers Association -PAGA Today and PAGA Tomorrow: Moderate-Advanced Issues In PAGA Litigation (Oct. 20, 2017);

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15) California Employment Lawyers Association Advanced Wage and Hour Seminar – Better Know a Venue Roundup (May 17, 2019). He has been AV rated by Martindale Hubbell since 2013, was recognized as a Super Lawyer (Rising Star) from approximately 2009 to 2013 and was recognized as a Super Lawyer from 2014 to present.

- 19. He has practiced law in California since being admitted to the State Bar in 2003, litigating wage and hour class actions and individual wage and hour litigation among other cases. He began practicing class action law on the defense side at the firm of Orrick, Herrington & Sutcliffe LLP. He then switched to plaintiff class action work in 2005. His class action experience is in wage and hour law. He has litigated several class action cases in California State and Federal Courts, including up to certification, settlement, preliminary and final approval, and disbursement of monies, and has been found to be satisfy the adequacy requirements for class counsel. Some of the class action and/or PAGA cases he is litigating and/or has litigated as lead or co-counsel over the past nineteen (19) years include, but are not limited to, the following:
 - Aanerud v. Neumann Ltd., et al., Case No. 34-2014-00169324 (Sac. Sup. Ct.);
 - Acosta v. Acosta Sales, LLC, et al., Case No. 2:11-CV-01796 (C.D. Cal.);
 - Atchley v. Blaggs Food Service, LLC, 34-2017-0215930 (Sac. Sup. Ct.);
 - Adewumi v. GHS Interactive Security, LLC, Case No. 34-2017-00210768 (Sac. Sup. Ct.);
 - Arnall v. North American Merchandising Service Inc., Case No. 06AS01439 (Sac. Sup. Ct.);
 - Arrington v. Capital Express Lines, Inc., et al., Case No. 34-2012-00134195 (Sac. Sup. Ct.);
 - Aslam v. Cypress Security, LLC, Case No. 34-2017-00220143 (Sac. Sup. Ct.);
 - Aslam v. Surveillance, Security, Inc., Case No. 34-2017-00220142 (Sac. Sup. Ct.);
 - Azzolino v. Brake Masters of Sacramento, LLC, et al., Case No. 34-2017-00218293 (Sac. Sup. Ct.);
 - Benak v. MDStat Urgent Care, Inc., No. 34-2015-00188181 (Sac. Sup. Ct.);
 - Blig v. Medical Management International, Inc., Case No. 34-2017-00213906 (Sac. Sup. Ct.);
 - Caguioa, et al. v. Fortune Senior Enterprises, et al., Case No. 34-2014-00171831 (Sac. Sup. Ct.);

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- Camacho, et al. v. Z Street, Inc., d.b.a. Tower Café, et al., Case No. 34-2014-00163880 (Sac. Sup. Ct.);
- Carlos v. Abel Mendoza, Inc., et al., Case No. 34-2016-00195806 (Sac. Sup. Ct.);
- Cannon v. Miller Event Management, Inc., et al., Case No. 34-2014-00168103 (Sac. Sup. Ct.);
- Carr et al. v. CableCom, LLC, Case No. 34-2017-00212739 (Sac. Sup. Ct.);
- Chace v. Daisy Holdings, LLC, dba Pine Creek Care Center, et al., Case No. 34-2017-00209613 (Sac. Sup. Ct.);
- Clamens-Hollenback v. Atterro, Inc., Case No. 17-CV-305535 (Santa Clara Sup. Ct.);
- Colbert v. American Home Craft Inc., Case No. 05AS05012 (Sac. Sup. Ct.);
- De Arcos v. Amware Pallet Services, LLC, Case No. CV-17-629 (Yolo Sup. Ct.)
- Diosdado v. Nor-Cal Venture Group, Inc., et al., Case No. STK-CV-UOE-2020-0008242 (San Joaquin Sup. Ct.);
- Dugue v. Sierra Forever Families, et al., Case No. 34-2017-00210770 (Sac. Sup. Ct.);
- Fadhl v. Siemens Healthcare Diagnostics, Inc., et al., Case No. 34-2017-00209518 (Sac. Sup. Ct.);
- Fujimoto v. Nabe-Ya, Inc., et al., Case No. 20CV01255 (Butte Sup. Ct.);
- Garcia v. A-L Financial Corp., Case No. 34-2014-00171831 (Sac. Sup. Ct.);
- Gerard v. Les Schwab Tires Center of California, Inc., Case No. 34-2007-30000003 (Sac. Sup. Ct.);
- Gomez v. Mayflower Farms Incorporated, et al., Case No. CV24157 (Colusa Sup. Ct.);
- Gilliam v. Matrix Energy Services, Inc. Case No. RG 11592345 (Alameda Sup. Court);
- Hartwell v. Techforce Telecom, Inc., Case No. 39-2014-00307197 (San Joaquin Sup. Ct.);
- Hernandez et al. v. MP Nexlevel, LLC et al, Case No. 3:16-cv-03015-JCS (N.D. Cal.);
- Hernandez v. Snyir, Inc., Case No. 34-2017-00207641 (Sac Sup. Ct.);
- Heinz v. Wright Tree Services, Case No. 34-2012-00131949 (Sac. Sup. Ct.);
- *Hoover v. Mom365*, Case No. 2:17-cv-01328-TLN-CKD (E.D. Cal.);
- James v. Language World Services, Inc., et al., Case No. 34-2020-00279929 (Sac. Sup. Ct.);

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- *Josol v. Dial Medical Corp.*, Case No. 34-2008-00010040 (Sac. Sup. Ct.);
- Koretsky v. Furniture USA, Inc., Case No. 34-2014-00172142 (Sac. Sup. Ct.);
- Muhieddine v. KBA Docusys, Inc., Case No. 34-2014-00164720 (Sac. Sup. Ct.);
- *Massey v. V3 Electric, Inc., et al.,* Case No. 34-2019-00263666 (Sac. Sup. Ct.);
- *Miller v. Caldwell Transportation Company, LLC, et al.*, Case No. 34-2018-00234954 (Sac. Sup. Ct.);
- Miller v. Leaders in Community Alternatives, Case No. FCSO47249 (Solano Sup. Ct.);
- Pickens v. Elica Health Centers, Case No. 34-2016-00200382 (Sac. Sup. Ct.);
- Prasad v. D. G. Smith Enterprises, Inc., Case No. 34-2017-00215046 (Sac. Sup. Ct.);
- Ralston v. JMJ Incorporated, Inc. et al., Case No. 34-2017-00217047 (Sac. Sup. Ct.);
- Rickwalt v. Direct Reconditioning, LLC, et al., Case No. 34-2015-00175642 (Sac. Sup. Ct.);
- Robinson v. West of Chicago Restaurants, Inc., dba Chicago Fire, Case No. 34-2010-00082201 (Sac Sup. Ct.);
- Rogers v. Les Scwhab Tires Center of California, Inc., Case No. 34-2009-00066320 (Sac. Sup. Ct.);
- Schechter et al. v. Isys Solutions, Inc., Case No. RG10550517 (Alameda Sup. Ct.);
- Smith v. Greyhound Lines, Inc., Case No. 34-2017-00219188 (Sac. Sup. Ct.);
- Talent v. Leslie's Poolmart, Inc., Case No. 34-2012-00128539 (Sac. Sup. Ct.);
- Thornton v. McConnell Jones Lanier & Murphy LLP, Case No. No. 34-2017-00211553 (Sac. Sup. Ct.);
- Valencia v. Lowbrau Bier Garten, LLC, et al., Case No. 34-2019-00258038 (Sac Sup. Ct.);
- Watson v. Quarter At A Time, LLC, Case No. 34-2017-00217570 (Sac. Sup. Ct.);
- Williams v. Civic Development Group, Case No. 06AS00267 (Sac. Sup. Ct.); and
- Willis v. Premier Pools, Incorporated, Case No. 34-2017-00211710 (Sac. Sup. Ct.).

The preceding list also does not include those cases where, for various reasons, the case was filed as a class action and/or PAGA action, but did not maintain that status through the end of the case.

20. In connection with any final approval hearing, I will be seeking attorneys' fees and costs, an Enhancement Payment to the Class Representative, and Settlement Administrator Costs as set

forth in the Agreement. I will be requesting attorneys' fees and costs pursuant to the common fund doctrine as I believe it to be applicable to the present case pursuant to *Serrano v. Priest*, 20 Cal.3d 25, 34-35 (1977), *Laffitte v. Robert Half Internat. Inc.*, 1 Cal.5th 480 (2016), and *Paul, Johnson, Alston & Hunt v. Graulty*, 886 F.2d 268, 271 (9th Cir. 1989). The facts and case law supporting the requested amounts will be set forth in the final approval motion, including information for the Court to perform a lodestar cross check of the requested attorney's fees, quantify the amount of time spent by Plaintiff on this case and any further risks and/or burdens incurred as a result of acting as Class Representative, an updated declaration in support of actual litigation costs and itemized cost spreadsheet, and declaration from the Settlement Administrator detailing the work performed and Settlement Administrator Costs incurred. My firm's expected costs through final approval are not expected to exceed \$10,000.

Attached as Exhibit E is a true and correct copy of the costs incurred prior to the filing of this Motion and the expected costs incurred through the final approval and fairness hearing. Any difference in the awarded fees and costs, Class Representative's Enhancement Payment, and Settlement Administrator Costs and the amounts allocated for each under the Agreement will be added back to the Net Settlement Amount and distributed pro rata to Class Members.

- 21. I have used several class action administrator companies in the wage and hour class actions I have resolved in the past and believe Apex Class Action will provide the best service to administer the proposed class settlement. The CEO of Apex Class Action, Sean Hartranft, has worked in the administration industry for a decade and utilizes case managers with considerable experience in the field. Apex Class Action has procedures in place to protect the security of class data as well as insurance. Apex Class Action has provided a quote for the estimated maximum cost of administering the class settlement of approximately \$5,900. A true and correct copy of a cost estimate provided by Apex Class Action along with information about their data procedures and insurance is filed with this Motion as Exhibit D. This is only an estimate, and final pricing may vary depending on the issues, if any, that arise during the administration of the settlement. However, the difference between the actual, lesser costs and \$10,000, if any, will be paid to the Participating Class Members on a pro rata basis.
- 22. A copy of the Agreement and the entire Motion was submitted to the LWDA for review at the same time the Motion was submitted to the Court pursuant to California Labor Code section