Galen T. Shimoda (Cal. State Bar No. 226752) 2024 MAR 11 PH 2: 11 1 Justin P. Rodriguez (Cal. State Bar No. 278275) 2 Brittany V. Berzin (Cal. State Bar No. 325121) STEPHANIE BOHRER. CLERK& Shimoda & Rodriguez Law, PC 3 9401 East Stockton Boulevard, Suite 120 4 Elk Grove, CA 95624 Telephone: (916) 525-0716 5 Facsimile: (916) 760-3733 6 Attorneys for Plaintiffs ROSEMERI AROSEMENA, 7 MARIA RETANA and MARGARITA MEDINA 8 SUPERIOR COURT OF CALIFORNIA 9 10 FOR THE COUNTY OF SACRAMENTO 11 ROSEMERI AROSEMENA, MARIA Case No. STK-CV-UOE-2019-15963 12 RETANA, and MARGARITA MEDINA, as **CLASS ACTION** individuals and on behalf of all others 13 similarly situated, **DECLARATION OF BRITTANY V. BERZIN** 14 IN SUPPORT OF PLAINTIFFS' MOTION Plaintiffs, 15 FOR PRELIMINARY APPROVAL OF CLASS ACTION AND PAGA SETTLEMENT 16 VS. 17 RANCHHODRAI INC., a California Date: April 5, 2024 Corporation; KANJIBHAI PATEL, an 18 Time: 9:00 a.m. individual; CHAMP PATEL, an individual; Dept.: 10A 19 and DOES 1 to 100, inclusive, Judge: Hon. George J. Abdallah, Jr. 20 Defendants. Filed: December 2, 2019 21 FAC Filed: February 26, 2020 22 SAC Filed: October 19, 2020 Trial Date: None Set 23 24 25 26 27 28

BVB DECL. ISO MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION AND PAGA SETTLEMENT

 I, Brittany V. Berzin, declare:

- 1. I am an attorney at law duly admitted to practice before all the courts of the State of California and an attorney of record for Plaintiffs Rosemeri Arosemena, Maria Retana and Margarita Medina ("Plaintiffs") herein. I am making this declaration on behalf of the named Plaintiffs, the putative class members, and in support of Plaintiffs' Motion for Preliminary Approval of Class Action and PAGA Settlement ("Motion"). A true and correct copy of the Joint Stipulation Regarding Class Action and PAGA Settlement and Release ("Agreement") in this matter is filed with this Motion as Exhibit A.
- 2. This case was brought as a wage and hour class action based on Plaintiff's contention that Defendants Rachhodrai, Inc., Kanjibhai Patel and Champ Patel ("Defendants") failed to pay overtime wages, failed to pay minimum wages, failed to provide meal and rest period, failed to provide accurate wage statements, failed to timely pay final wages, and failed to reimburse expenses. Plaintiffs also alleged liability for civil penalties under the Private Attorneys General Act ("PAGA"). These claims were based allegations that Defendants violated California law by 1) failing to pay overtime premiums for all overtime hours worked; 2) automatically deducting 30 minutes for meal periods not received; 3) failing to provide all meal periods; 4) failing to provide all rest periods; 5) failing to pay reimbursements for business expenses, such as cleaning supplies; and 6) failing to have the correct address on its wage statements. The PAGA, waiting time penalty, and unfair competition claims also derive from these violations.
- 3. Plaintiff is the only named representative in this matter. From our initial investigations of Plaintiffs' claims and documents, we believed these claims had merit and could be maintained as a class action. We filed the action on or about December 2, 2019. Plaintiff Rosemeri Arosemena exhausted administrative remedies through the Labor and Workforce Development Agency ("LWDA") prior to amending the Complaint to add a PAGA claim. Plaintiff Rosemeri Arosemena filed a notice with the LWDA on November 25, 2019, setting forth the facts and theories of liability. A true and correct copy of the operative notice filed with the LWDA is being filed with this Motion as Exhibit C. Copies of the notices were also sent to Defendants via certified mail and the \$75.00 filing fee was remitted to the LWDA at that time. There was no response by the LWDA regarding its intent to

investigate the claims alleged in Plaintiff's notice for more than 65 days. As such, Plaintiff Rosemeri Arosemena became authorized to commence a civil action under the PAGA and filed a First Amended Complaint on February 26, 2020. A Second Amended Complaint was filed on October 19, 2020 to add named Plaintiffs Maria Retana and Margarita Medina. A true and correct copy of Plaintiffs' operative Complaint is filed with this Motion as Exhibit B. A copy of the Complaint was uploaded to the LWDA, after we received an endorsed copy back from Court.

- 4. The Court granted Plaintiffs' motion for class certification on November 14, 2022. A true and correct copy of the Court's Order is being filed with this Motion as Exhibit H.
- Defendants are represented in this matter by Sekhon Law. From the beginning,
 Defendants have contested the merits of this case, the manageability of the case at trial, and Plaintiffs'
 ability to prove a violation in each pay period for each employee among other defenses and contentions
 they made challenging the propriety of this action. Defendants further contended, even assuming there
 was a finding supporting the imposition of PAGA penalties, that the Court would likely exercise its
 discretion to substantially reduce any such penalties owed based on evidence of good faith attempts to
 comply with California Labor Code obligations by Defendants. Notwithstanding its agreement to settle
 this matter, Defendants believe the practices Plaintiffs are contending are unlawful either do not exist
 or, to the extent they do exist, fully comply with all state and federal employment laws with respect to
 Plaintiff and Class Members. For instance, Defendants contend they communicated to Class Members
 that lunches and breaks were available and contend that they supplied Class Members with any
 cleaning supplies they needed for work. Defendants also contend that in July 2020 they changed their
 practices to ensure all overtime premiums were paid to Class Members.
- 6. Based on the expected testimony from Plaintiffs and Class Members, a review of Defendants' policies and procedures and other documents relating to the alleged claims, information on the number of Class Members, Class Members' dates of employment, Class Members' time and payroll data, the scope of the potential damages to Plaintiffs and Class Members in light of the claims alleged, and the negotiations that have taken place, I believe that the proposed settlement is in the best interest of the class. Defendants' financial condition was also considered. Ranchhodrai, Inc. ceased operations in 2022 and Defendants claimed that any settlement would create a financial hardship and may necessitate

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filing for bankruptcy. The length and risks of trial and other normal perils of litigation that impact the value of the claims were also considered and weighed in reaching the Agreement. In addition, I carefully considered the difficulties of complex litigation, and the lengthy process of establishing specific damages and various possible delays and appeals in agreeing to the proposed settlement. I further considered the fact that penalties under the PAGA could be substantially cut at the discretion of the Court even if Plaintiffs were successful on proving those claims and there was risk that a Court could find no willfulness in the failure to pay wages at separation, which would eliminate the value of the waiting time penalty claim entirely. Overall, I believe it is more beneficial to secure a guaranteed benefit to the class now rather than to proceed with litigation and potentially obtain zero funds to the class due to financial, legal or factual issues in the case.

7. My office, including the partners Galen T. Shimoda and Justin P. Rodriguez, our paralegal, and myself, along with Plaintiffs' assistance, thoroughly investigated the merits of the claims and potential damages for such claims. The parties engaged in discovery and exchange of documents, including employee data, such as timecards, paystubs, payroll data and relevant policies for the entirety of the statute of limitations applicable to the alleged claims. The discovery covered all aspects of the asserted claims, including certification issues, merits issues, damages, the scope and configuration of Class Members, the content and implementation of the wage and hour policies at issue, and issues relating to manageability concerns at trial. From this production we were able to determine information critical to a reliable damages analysis such as the average hourly rate, average daily hours worked, average number of workweeks and pay periods that had potential violations based on the asserted claims, the frequency with which violations occurred in a given week and/or pay period, and the number of former employees. This information allowed my office to assess both liability and damages and create an accurate damages model. Plaintiffs assisted in all aspects of this litigation including providing factual information relating to Plaintiffs' and Class Members' employment conditions, providing a substantial number of documents, and answering questions regarding Defendants' factual contentions in this matter. This was important because it directly related to our ability to maintain this case as a class action and our ability to obtain a favorable settlement for the class.

- 8. Throughout this litigation our office had numerous communications with Defendants' Counsel discussing our respective positions. The parties engaged in mediation on July 22, 2020 using an experienced mediator, Hon. Lesley Holland (Ret). However, the case did not settle at mediation and required continued litigation and negotiations lasting several years. The negotiations were at all times contentious and adversarial, though still professional in nature.
- 9. The parties reviewed and analyzed substantial amounts of data regarding the class claims. Based on our analysis and review of all relevant documents and Class Member information, I have determined that the maximum damages for the asserted class claims is approximately \$1,021,282.20, which includes civil penalties under the PAGA. The likely exposure for PAGA penalties is approximately \$188,677.25 based on the counts alleged to the LWDA and the class data. Based on our research, we did not find any prior labor commissioner or court decisions that stated Defendants' practices and/or policies were improper. As such, a "subsequent violation" may not be found for penalty calculation purposes and the exposure analysis here is based on an "initial violation" valuation being adopted by any fact finder if this matter went to trial.
- 10. However, I believe the PAGA penalties in this case may be reduced due to the fact that the penalties would be in addition to amounts owed for substantive violations. Furthermore, a Court may find Defendants' testimony regarding the policies they had in place and documents reflecting their policies demonstrates a good faith attempt at compliance that merits the reduction of civil penalties. Courts regularly cut civil penalties on PAGA claims where statutory penalties for the same violations are assessed as well. Courts are statutorily authorized to use discretion to reduce penalties and the range of discretion used varies substantially. See Thurman v. Bayshore Transit Mgmt., Inc., 203
 Cal.App.4th 1112, 1135 (2012) (30% reduction); Fleming v. Covidien, Inc., 2011 U.S. DIST. LEXIS 154590, *9 (C.D. Cal. 2011) (82% reduction). Thus, civil penalties may be cut to approximately \$33,961.91 (82% reduction) or lower given the derivative recovery. Taking this into account, the likely recovery if Plaintiff was successful at trial on proving all the substantive class damages plus civil penalties after a reduction of the PAGA penalties would be approximately \$866,566.85. Taking this into account, Plaintiffs' \$135,000 gross recovery under the Agreement represents approximately 15.6% of the maximum likely value in this matter. After deducting from the Gross Settlement Amount the

proposed allocations for attorneys' fees and costs, any Enhancement Payment to the Class Representative, Claims Administrator Costs, and the PAGA Payment, the net recovery under the Agreement represents approximately 3% of the maximum likely value in this matter. The average net award is approximately \$559.78. I believe the Agreement represents a reasonable compromise of claims based on the legal and factual disputes in this case as well as Defendants' financial condition and the possibility of Defendants filing for bankruptcy. The ability to secure a guaranteed settlement now and ensure Class Members receive some compensation, rather than proceed to further litigation and potentially recover nothing, was a motivating factor in reaching this Agreement.

- 11. In agreeing to represent Plaintiffs and take on the case for all Class Members, our office agreed to take this case on a contingency basis, meaning that we would take a percentage of any settlement or judgment should we recover a monetary amount. We took a risk that we would not recover any money in this matter if we were unsuccessful at trial. We also took on the risk that the case may be subject to an unfavorable summary judgment ruling. However, we believe it is important to make sure employees are able to find affordable representation in order to ensure that employers are complying with all their legal obligations towards employees and paying employees all their hard-earned wages.
- 12. I am a Senior Associate at Shimoda & Rodriguez Law, PC. Our law firm is a boutique law practice that focuses primarily on employment litigation, emphasizing wage and hour litigation. I attended and graduated college from U.C. Davis, receiving a Bachelor of Arts in Psychology. I received my J.D. from the University of the Pacific McGeorge School of Law. I joined Shimoda & Rodriguez Law, PC as a law clerk in February 2015 where I gained civil litigation experience working on individual, class action and PAGA employment cases throughout law school. I also participated in an employment law clinic in 2015 and 2016 that helps low-income workers by providing free legal consultations, advising employees of their legal remedies on a variety of matters (e.g., wage and hour, discrimination/harassment, California leave laws, unemployment, workers' compensation, retaliation, and wrongful termination, etc.) under the supervision of an attorney, preparing wage claims, and providing representation in wage claims before the California Labor Commissioner. From 2016-2017, I completed an externship at the Federal Public Defenders Office as a Certified Law Student where I

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obtained discovery, completed legal research, drafted motions, negotiated plea deals, represented clients in a variety of hearings (e.g., arraignments, motion hearings, sentencing hearings, etc.), and defended a client against five misdemeanor charges in a jury trial in the United States District Court for The Eastern District of California. I was also a member of the nationally recognized McGeorge Mock Trial Team and went on to coach a high school Mock Trial team in 2018 after graduating from law school. In May 2017, I graduated from the University of the Pacific, McGeorge School of Law with Great Distinction and was inducted into the Order of the Coif, graduating in the top 10% of my class. I received the Witkin Award for Academic Excellence in Legal Research and Writing, Civil Procedure, Bankruptcy, and Criminal Procedure. From 2020 to present, I have been recognized as a Super Lawyer (Rising Star). I have been a member of the executive committee of the Sacramento County Bar Association Labor & Employment Section since January 2020, serving as Co-Chair of the committee in 2021. I have over seven years of experience working on civil litigation and employment law matters. Most of that experience has been specific to analyzing and litigating wage and hour claims. As an associate, I have worked on a variety of individual, class action, and PAGA cases involving wage and hour claims, such as failure to pay overtime, failure to pay minimum wages, failure to provide meal and rest periods, failure to pay reimbursement expenses, unlawful deductions, failure to keep accurate time records, failure to provide paid sick leave, failure to pay all wages upon separation, unfair competition, breach of contract, independent contractor misclassification, and salaried misclassifications. Some of the class action and/or PAGA cases I am litigating and/or have litigated as lead or co-counsel include the following:

- Arosemena v. Ranchhodrai, Inc., et al., Case No. STK-CV-UOE-2019-15963 (San Joaquin Sup. Ct.);
- Arroyo v. Epic Home Solar, Case No. 34-2021-00310634 (Sac. Sup. Ct.);
- Balli v. Brown Box Investments, Inc., et al., Case No. 34-2018-00232656 (Sac. Sup. Ct.);
- Barkhousen, et al. v. Bank of Stockton, Case No. STK-CV-UOE-2019-17145 (San Joaquin Sup. Ct.);
- Barrios v. American Property Management, Inc., Case No. 1:18-cv-00352-AWI-SKO (E.D. Cal.);

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Saavedra, et al. v. SMF Global, Inc., Case No. 34-2018-00243363 (Sac. Sup. Ct.);

Scarano v. J.R. Putman, Inc., et al., Case No. 34-2018-00244753 (Sac. Sup. Ct.);

Ruiz v. CTE Cal, Inc., Case No. 34-2020-00289168 (Sac. Sup. Ct.);

- Scoggins, et al. v. Energy Star Construction, Inc., Case No. 34-2018-00243048 (Sac. Sup. Ct.);
- Strawn v. Bridgestone Retail Operations, LLC, Case No. 34-2018-00242049 (Sac. Sup. Ct.);
- Sullivan v. National Response Corporation, Case No. 34-2018-00244757 (Sac. Sup. Ct.);
- Tracy v. Von Housen's Sacramento, Inc., Case No. 34-2020-00282778 (Sac. Sup. Ct.);
- Uribe v. Ecoguard Pest Management, Inc., Case No. 34-2021-00300650 (Sac. Sup. Ct.);
- Vasquez v. Chriswell Home Improvements, Inc., Case No. 34-2021-00305938 (Sac. Sup. Ct.);
- Villarruel, et al. v. General Produce Company, et al., Case No. 34-2021-00311463 (Sac. Sup. Ct.);
- Walker v. Yan Kalika Dental Corporation, Case No. 34-2021-00305106 (Sac. Sup. Ct.); and
- Webb v. Professional Healthcare At Home, LLC, Case No. FCS055317 (Solano Sup. Ct.).
- 13. The preceding list of cases does not include those where, for a variety of reasons, the case was initially filed as a class and/or PAGA action, but did not maintain that status through the end of the case.
- 14. The partner, Justin P. Rodriguez, Esq. also worked with me on this matter and was critical in assisting with all aspects of the litigation of this case. Mr. Rodriguez attended and graduated college from U.C. Davis, receiving a Bachelor of Arts in Philosophy and the Departmental Citation for Academic Achievement in the Philosophy program. He was one of only two recipients of this award out of the entire Philosophy Department. After U.C. Davis, Mr. Rodriguez attended the University of the Pacific, McGeorge School of Law, graduating in 2011 and receiving a Juris Doctorate. He graduated in the top 20% of his class and was a member of the Traynor Honor Society at McGeorge. Other academic achievements of his include receiving a Witkin Award (top grade) in his legal research and writing course, a Witkin Award in complex civil litigation, being a member of the Dean's List from 2008 to 2011, being a Legislative Staff Writer for the McGeorge Law Review from 2009–2010, being an Associate Comment Editor for the Pacific McGeorge Global Business & Development Law Journal from 2010–2011, and being selected as a Sacramento County Bar Association Diversity Fellow in

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2009. Mr. Rodriguez was also a member of the Employment and Labor Law Society and an officer for the Latino Law Students Association from 2009 to 2010.

- Mr. Rodriguez was an associate of the Shimoda Law Corp from 2011 to 2016 and 15. became a partner in 2017. Shimoda Law Corp. became Shimoda & Rodriguez Law, PC, in 2022. Since 2017, he has received an AV Preeminent rating from Martindale-Hubbell for his legal ability and ethical standards. From 2018 to present, he has been recognized as a Super Lawyer (Rising Star). Mr. Rodriguez has been a panel speaker and presented a number of seminars covering issues wage and hour litigation in general and complex class and PAGA litigation in particular. These engagements include the following: (1) Epic Systems, PAGA, and the Future of Employment Arbitration in California (Sacramento County Bar Assoc., Sept. 2018); (2) Class Actions and PAGA Claims (Assoc. of Defense Counsel of Northern California & Nevada, Jul. 2020); (3) Mediation: The Experienced Trial Lawyers Perspective (Sacramento County Bar Assoc., Sept. 2020); (4) How to Become a Pivotal Part of Any Wage and Hour Practice Group (Sacramento County Bar Assoc,, Mar. 2021); (5) Emerging Trends and Issues Relating to Arbitration and PAGA Claims in a Post-Viking River Cruises World (Sacramento County Bar Assoc., Nov. 2022). Mr. Rodriguez was elected to the Sacramento County Bar Association Labor and Employment Law Section's executive committee in 2019 and was the Chair of the executive committee for 2022. Mr. Rodriguez has also been a member of the Presiding Judge Civil Law Advisory Committee for Sacramento County Superior Court since August 2020. His practice focuses on complex civil litigation, including wage and hour class actions, PAGA claims, and Fair Labor Standards Act ("FLSA") claims. He is actively involved in most of the complex litigation handled by our firm. Class and/or PAGA actions he has litigated or is currently litigating include, but is not limited to, the following:
 - Aanerud v. Neumann Ltd., et al., Case No. 34-2014-00169324 (Sac. Sup. Ct.);
 - Adams-Anguay v. Placer Title Company, et al., Case No. SCV0040845 (Placer Sup. Ct.);
 - Adewumi v. GHS Interactive Security, LLC, Case No. 34-2017-00210768 (Sac. Sup. Ct.);
 - Arrington v. Capital Express Lines, Inc., et al., Case No. 34-2012-00134195 (Sac. Sup. Ct.);
 - Aslam v. American Custom Private Security, Inc., Case No. STK-CV-UOE-2018-0012080 (San Joaquin Sup. Ct.);

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- Aslam v. Cypress Security, LLC, Case No. 34-2017-00220143 (Sac. Sup. Ct.);
- Aslam v. Surveillance, Security, Inc., Case No. 34-2017-00220142 (Sac. Sup. Ct.);
- Azzolino v. Brake Masters of Sacramento, LLC, et al., Case No. 34-2017-00218293 (Sac. Sup. Ct.);
- Barkhousen v. Bank of Stockton, Case No. STK-CV-UOE-2019-17145 (San Joaquin Sup. Ct.);
- Benak v. MDStat Urgent Care, Inc., Case No. 34-2015-00188181 (Sac. Sup. Ct.);
- Bigornia v. Quest Diagnostics Clinical Laboratories, Inc., et al., Case No. 34-2019-00271174 (Sac. Sup. Ct.);
- Blig v. Medical Management International, Inc., Case No. 34-2017-00213906 (Sac. Sup. Ct.);
- Caguioa, et al. v. Fortune Senior Enterprises, et al., Case No. 34-2014-00171831 (Sac. Sup. Ct.);
- Camacho, et al. v. Z Street, Inc., d.b.a. Tower Café, et al., Case No. 34-2014-00163880 (Sac. Sup. Ct.);
- Castorena v. Flowmaster, Inc., Case No. CV18-2191 (Yolo Sup. Ct.);
- Cannon v. Miller Event Management, Inc., et al., Case No. 34-2014-00168103 (Sac. Sup. Ct.);
- Carr, et al. v. CableCom, LLC, Case No. 34-2017-00212739 (Sac. Sup. Ct.);
- Chace v. Daisy Holdings, LLC, dba Pine Creek Care Center, et al., Case No. 34-2017-00209613 (Sac. Sup. Ct.);
- Clamens-Hollenback v. Atterro, Inc., Case No. 17-CV-305535 (Santa Clara Sup. Ct.);
- Cress, et al. v. Mitsubishi Chemical Carbon Fiber and Composites, Inc., Case No. 34-2017-00222101 (Sac. Sup. Ct.);
- De Arcos v. Amware Pallet Services, LLC, Case No. CV-17-629 (Yolo Sup. Ct.);
- Ferreyra v. Point Digital Finance, Inc., et al., Case No. 20CV373776 (Santa Clara Sup. Ct.);
- Foye v. The Golden 1 Credit Union, Case No. 34-2018-00235003 (Sac. Sup. Ct.);
- Garcia v. A-L Financial Corp., Case No. 34-2014-00171831 (Sac. Sup. Ct.);

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- Garcia v. Royal Plywood Company, LLC, et al., Case No. 34-2017-00221627 (Sac. Sup. Ct.);
- Gomes v. Progressive Casualty Insurance Company, Case No. 34-2018-00241979 (Sac. Sup. Ct.);
- Gomez v. Mayflower Farms Incorporated, et al., Case No. CV24157 (Colusa Sup. Ct.);
- Gilliam v. Matrix Energy Services, Inc. Case No. RG 11592345 (Alameda Sup. Court);
- Gonzalez v. Northcentral Pizza, LLC, et al., Case No. 34-2019-00252018 (Sac Sup. Ct.);
- Gordon, et al. v. Hospice Source, LLC, et al., Case No. 34-2019-00250022 (Sac. Sup. Ct.);
- Gotts v. John L. Sullivan Chevrolet, Inc., Case No. 34-2018-00231576 (Sac Sup. Ct.);
- Hartwell v. Techforce Telecom, Inc., Case No. 39-2014-00307197 (San Joaquin Sup. Ct.);
- Hellum v. A1 Protective Services, LLC, et al., Case No. 34-2018-00234449 (Sac. Sup. Ct.);
- Hercules v. Maximus Services, LLC, et al., Case No. 34-2019-00268385 (Sac Sup. Ct.);
- Hernandez v. Snyir, Inc., Case No. 34-2017-00207641 (Sac. Sup. Ct.);
- Heinz v. Wright Tree Services, Case No. 34-2012-00131949 (Sac. Sup. Ct.);
- Hoover v. Mom365, Case No. 2:17-cv-01328-TLN-CKD (E.D. Cal.);
- Insixiengmay v. Hyatt Corporation, et al., Case No. 2:18-cv-02993-TLN-DB (E.D. Cal.);
- Josol v. Dial Medical Corp., Case No. 34-2008-00010040 (Sac. Sup. Ct.);
- McMahon v. Airco Mechanical, Inc., Case No. 34-2019-00259269 (Sac. Sup. Ct.);
- Muhieddine v. KBA Docusys, Inc., Case No. 34-2014-00164720 (Sac. Sup. Ct.);
- Nguyen v. Cardinal Health Pharmacy Services, LLC, et al., Case No. 34-2019-00263185
 (Sac. Sup. Ct.);
- Prasad v. D. G. Smith Enterprises, Inc., Case No. 34-2017-00215046 (Sac. Sup. Ct.);
- Ralston v. JMJ Incorporated, Inc. et al., Case No. 34-2017-00217047 (Sac. Sup. Ct.);
- Roberts v. CableCom, LLC, Case No. 34-2017-00212739 (Sac. Sup. Ct.);
- Robinson v. West of Chicago Restaurants, Inc., dba Chicago Fire, Case No. 34-2010-00082201 (Sac Sup. Ct.);
- Salas, et al. v. Joint Ventures, LLC, et al., Case No. 34-2018-00227493 (Sac. Sup. Ct.);
- Salmon v. Ovations Fanfare, L.P., et al., Case No. 34-2018-00244749 (Sac. Sup. Ct.);
- Scarano v. J.R. Putman, Inc., Case No. 34-2018-00244753 (Sac. Sup. Ct.);

- Smith v. Greyhound Lines, Inc., Case No. 34-2017-00219188 (Sac. Sup. Ct.);
- Sullivan v. National Response Corporation, Case No. 34-2018-00244757 (Sac. Sup. Ct.);
- Talent v. Leslie's Poolmart, Inc., Case No. 34-2012-00128539 (Sac. Sup. Ct.);
- Thornton v. McConnell Jones Lanier & Murphy LLP, Case No. 34-2017-00211553 (Sac. Sup. Ct.);
- Watson v. Quarter At A Time, LLC, Case No. 34-2017-00217570 (Sac. Sup. Ct.); and
- Willis v. Premier Pools, Incorporated, Case No. 34-2017-00211710 (Sac. Sup. Ct.).
- 16. The preceding list of cases does not include those where, for a variety of reasons, the case was initially filed as a class and/or PAGA action, but did not maintain that status through the end of the case.
- 17. The partner, Galen T. Shimoda, Esq. also assisted with this case. Mr. Shimoda graduated from the University of Utah in 2000 with a B.S. in Business Management and a B.A. in Asian Studies, with a minor in Japanese language. He then attended and graduated from the University of the Pacific, McGeorge School of Law and received his J.D. degree in 2003. He graduated from McGeorge in the top 5% of his class and was a member of the Order of the Coif and Traynor Honor Society. Since graduating from McGeorge, he has authored a number of employment law articles for journals and regularly publishes articles on our firm's website.
- 18. He has been a regular panel speaker for the CEB (Continuing Education of the Bar) Employment Review seminars from 2014 to the present. His speaking engagements include the following: 1) Lorman Military Leave Law Speaker; 2) Restaurant Association Speaker at Annual Seminar (Los Angeles); 3) Federal Bar Association, Sacramento Chapter: 2015 Amendments to the Federal Rules of Civil Procedure (Mar. 30, 2016); 4) CEB Employment Law Practice: 2016 Year in Review (Jan. 20, 2017); 5) CEB Employment Law Practice: 2015 Year in Review (Jan. 22, 2016); 6) CEB Employment Law Practice: Year in Review (2014) (Jan. 9, 2015); 7) CEB Employment Law Practice: Year in Review (2013) (Jan. 10, 2014); 8) Sacramento County Bar Association Class Actions from the Trenches: Real World Experiences from the Plaintiff and Defense Bar (Feb. 21, 2012); 9) Sacramento Employer Advisory Council Wage and Hour Workshop: Going Beyond the Exemption Discussion (Apr. 7, 2016); 10) Sacramento Employer Advisory Council Wage & Hour

Panel and AB 1825 Training: Updates on California's New Wage Laws and Manager Compliance
Training (Apr. 25, 2017); 11) Sacramento County Bar Association, Labor and Employment Section –
PAGA Representative Litigation: Emerging Trends and Issues (May 17, 2016); 12) Sacramento
Business Journal Panel – Overtime Rules (Jun. 23, 2016); 13) Association of Defense Counsel of
Norther California & Nevada - Employment Law Update – Do the Math: Calculation Exposure and
Damages in Wage and Hour Cases (Aug. 12, 2016); 14) California Employment Lawyers Association –
PAGA Today and PAGA Tomorrow: Moderate-Advanced Issues In PAGA Litigation (Oct. 20, 2017);
15) California Employment Lawyers Association Advanced Wage and Hour Seminar – Better Know a
Venue Roundup (May 17, 2019). He has been AV rated by Martindale Hubbell since 2013, was
recognized as a Super Lawyer (Rising Star) from approximately 2009 to 2013 and was recognized as a
Super Lawyer from 2014 to present.

- 19. He has practiced law in California since being admitted to the State Bar in 2003, litigating wage and hour class actions and individual wage and hour litigation among other cases. He began practicing class action law on the defense side at the firm of Orrick, Herrington & Sutcliffe LLP. He then switched to plaintiff class action work in 2005. His class action experience is in wage and hour law. He has litigated several class action cases in California State and Federal Courts, including up to certification, settlement, preliminary and final approval, and disbursement of monies, and has been found to be satisfy the adequacy requirements for class counsel. Some of the class action and/or PAGA cases he is litigating and/or has litigated as lead or co-counsel over the past nineteen (19) years include, but are not limited to, the following:
 - Aanerud v. Neumann Ltd., et al., Case No. 34-2014-00169324 (Sac. Sup. Ct.);
 - Acosta v. Acosta Sales, LLC, et al., Case No. 2:11-CV-01796 (C.D. Cal.);
 - Atchley v. Blaggs Food Service, LLC, 34-2017-0215930 (Sac. Sup. Ct.);
 - Adewumi v. GHS Interactive Security, LLC, Case No. 34-2017-00210768 (Sac. Sup. Ct.);
 - Arnall v. North American Merchandising Service Inc., Case No. 06AS01439 (Sac. Sup. Ct.);
 - Arrington v. Capital Express Lines, Inc., et al., Case No. 34-2012-00134195 (Sac. Sup. Ct.);
 - Aslam v. Cypress Security, LLC, Case No. 34-2017-00220143 (Sac. Sup. Ct.);
 - Aslam v. Surveillance, Security, Inc., Case No. 34-2017-00220142 (Sac. Sup. Ct.);

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- Azzolino v. Brake Masters of Sacramento, LLC, et al., Case No. 34-2017-00218293 (Sac. Sup. Ct.);
- Benak v. MDStat Urgent Care, Inc., No. 34-2015-00188181 (Sac. Sup. Ct.);
- Blig v. Medical Management International, Inc., Case No. 34-2017-00213906 (Sac. Sup. Ct.);
- Caguioa, et al. v. Fortune Senior Enterprises, et al., Case No. 34-2014-00171831 (Sac. Sup. Ct.);
- Camacho, et al. v. Z Street, Inc., d.b.a. Tower Café, et al., Case No. 34-2014-00163880 (Sac. Sup. Ct.);
- Carlos v. Abel Mendoza, Inc., et al., Case No. 34-2016-00195806 (Sac. Sup. Ct.);
- Cannon v. Miller Event Management, Inc., et al., Case No. 34-2014-00168103 (Sac. Sup. Ct.);
- Carr et al. v. CableCom, LLC, Case No. 34-2017-00212739 (Sac. Sup. Ct.);
- Chace v. Daisy Holdings, LLC, dba Pine Creek Care Center, et al., Case No. 34-2017-00209613 (Sac. Sup. Ct.);
- Clamens-Hollenback v. Atterro, Inc., Case No. 17-CV-305535 (Santa Clara Sup. Ct.);
- Colbert v. American Home Craft Inc., Case No. 05AS05012 (Sac. Sup. Ct.);
- De Arcos v. Amware Pallet Services, LLC, Case No. CV-17-629 (Yolo Sup. Ct.)
- Diosdado v. Nor-Cal Venture Group, Inc., et al., Case No. STK-CV-UOE-2020-0008242 (San Joaquin Sup. Ct.);
- Dugue v. Sierra Forever Families, et al., Case No. 34-2017-00210770 (Sac. Sup. Ct.);
- Fadhl v. Siemens Healthcare Diagnostics, Inc., et al., Case No. 34-2017-00209518 (Sac. Sup. Ct.);
- Fujimoto v. Nabe-Ya, Inc., et al., Case No. 20CV01255 (Butte Sup. Ct.);
- Garcia v. A-L Financial Corp., Case No. 34-2014-00171831 (Sac. Sup. Ct.);
- Gerard v. Les Schwab Tires Center of California, Inc., Case No. 34-2007-30000003 (Sac. Sup. Ct.);
- Gomez v. Mayflower Farms Incorporated, et al., Case No. CV24157 (Colusa Sup. Ct.);

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- Gilliam v. Matrix Energy Services, Inc. Case No. RG 11592345 (Alameda Sup. Court);
- Hartwell v. Techforce Telecom, Inc., Case No. 39-2014-00307197 (San Joaquin Sup. Ct.);
- Hernandez et al. v. MP Nexlevel, LLC et al, Case No. 3:16-cv-03015-JCS (N.D. Cal.);
- Hernandez v. Snyir, Inc., Case No. 34-2017-00207641 (Sac Sup. Ct.);
- Heinz v. Wright Tree Services, Case No. 34-2012-00131949 (Sac. Sup. Ct.);
- Hoover v. Mom365, Case No. 2:17-cv-01328-TLN-CKD (E.D. Cal.);
- James v. Language World Services, Inc., et al., Case No. 34-2020-00279929 (Sac. Sup. Ct.);
- Josol v. Dial Medical Corp., Case No. 34-2008-00010040 (Sac. Sup. Ct.);
- Koretsky v. Furniture USA, Inc., Case No. 34-2014-00172142 (Sac. Sup. Ct.);
- Muhieddine v. KBA Docusys, Inc., Case No. 34-2014-00164720 (Sac. Sup. Ct.);
- Massey v. V3 Electric, Inc., et al., Case No. 34-2019-00263666 (Sac. Sup. Ct.);
- Miller v. Caldwell Transportation Company, LLC, et al., Case No. 34-2018-00234954 (Sac. Sup. Ct.);
- Miller v. Leaders in Community Alternatives, Case No. FCSO47249 (Solano Sup. Ct.);
- Pickens v. Elica Health Centers, Case No. 34-2016-00200382 (Sac. Sup. Ct.);
- Prasad v. D. G. Smith Enterprises, Inc., Case No. 34-2017-00215046 (Sac. Sup. Ct.);
- Ralston v. JMJ Incorporated, Inc. et al., Case No. 34-2017-00217047 (Sac. Sup. Ct.);
- Rickwalt v. Direct Reconditioning, LLC, et al., Case No. 34-2015-00175642 (Sac. Sup. Ct.);
- Robinson v. West of Chicago Restaurants, Inc., dba Chicago Fire, Case No. 34-2010-00082201 (Sac Sup. Ct.);
- Rogers v. Les Scwhab Tires Center of California, Inc., Case No. 34-2009-00066320 (Sac. Sup. Ct.);
- Schechter et al. v. Isys Solutions, Inc., Case No. RG10550517 (Alameda Sup. Ct.);
- Smith v. Greyhound Lines, Inc., Case No. 34-2017-00219188 (Sac. Sup. Ct.);
- Talent v. Leslie's Poolmart, Inc., Case No. 34-2012-00128539 (Sac. Sup. Ct.);
- Thornton v. McConnell Jones Lanier & Murphy LLP, Case No. No. 34-2017-00211553 (Sac. Sup. Ct.);
- Valencia v. Lowbrau Bier Garten, LLC, et al., Case No. 34-2019-00258038 (Sac Sup. Ct.);

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- Watson v. Quarter At A Time, LLC, Case No. 34-2017-00217570 (Sac. Sup. Ct.);
- Williams v. Civic Development Group, Case No. 06AS00267 (Sac. Sup. Ct.); and
- Willis v. Premier Pools, Incorporated, Case No. 34-2017-00211710 (Sac. Sup. Ct.).
- 20. The preceding list also does not include those cases where, for various reasons, the case was filed as a class action and/or PAGA action, but did not maintain that status through the end of the case.
- 21. I am requesting attorneys' fees and costs pursuant to the common fund doctrine as I believe it to be applicable to the present case pursuant to Serrano v. Priest, 20 Cal.3d 25, 34-35 (1977), Laffitte v. Robert Half Internat. Inc., 1 Cal.5th 480 (2016), and Paul, Johnson, Alston & Hunt v. Graulty, 886 F.2d 268, 271 (9th Cir. 1989). Plaintiffs and our firm have been able to secure an identifiable benefit on behalf of the class and equity counsels that the cost of the representation should be born equally amongst all class members receiving these benefits. The settlement recovery is the product of substantial time and effort in analyzing the facts and law applicable to this case. My office agreed to take this case on a contingency basis and as a class action with the possibility that we would not receive any compensation for our time and efforts due to issues regarding the merits and/or Defendants' financial issues and have carried that risk over the course of the case. I have reviewed fee arrangements and Court ordered fee awards in similar class cases and I believe that the thirty-five percent (35%) fee request is within the accepted ranges. In my experience with contingency cases in employment law cases, the typical percentage negotiated between parties ranges from thirty-five to forty percent (35% to 40%) in individual litigation. In class action litigation, my experience in my own firm and working with several other firms has been that the typical percentage negotiated between parties and approved by a court ranges from thirty to forty percent (30% to 40%) based on the same factors. I have also reviewed several recent Federal District Court Cases where the Court has approved common fund based fee requests. These cases include In re Activision Sec. Litigation, 723 F.Supp. 1373, 1379 (N.D. Cal. 1989), Watson v. Raytheon Company, USDC Southern District, Case No. 3:10cv-0063, Dirienzo v. Dunbar Armored, Inc., USDC Southern District, Case No. 3:09-cv-02745, Green, et al. v. Penske Logistics, L.L.C., et al., USDC Southern District, Case No. 3:09-cv-00069, Benitez et al. v. Wilbur, USDC Eastern District, Case No. 1:08-cv-01122, Chavez et al. v. Petrissans et al., USDC

Eastern District, Case No. 1:08-cv-00122, and *Willis et al. v. Cal-Western Transport*, USDC Eastern District, Case No. 1:00-cv-05695, which are cited in Plaintiffs' Memorandum of Points and Authorities. I believe my request of \$47,250 (35%) for attorneys' fees is justified given the results obtained on behalf of the class. Moreover, at this time, all costs have been advanced by my firm, and we have not received any compensation whatsoever for our time expended in this case. The expected total costs through final approval by Class Counsel are not expected to exceed \$12,000. Filed with this Motion as Exhibit E is a true and correct copy of the costs incurred to date and expected to be incurred through the completion of this case. Any difference in the actual costs and the maximum amount allocated under the Agreement will be added to the Net Settlement Amount.

- 22. I have used several class action administrator companies in the wage and hour class actions I have resolved in the past and believe Apex Class Action will provide the best service to administer the proposed class settlement. The CEO of Apex Class Action, Sean Hartranft, has worked in the administration industry for a decade and utilizes case managers with considerable experience in the field. Apex Class Action has procedures in place to protect the security of class data as well as insurance. Apex Class Action has provided a quote for the estimated maximum cost of administering the class settlement of approximately \$5,500. A true and correct copy of a cost estimate provided by Apex Class Action is filed with this Motion as Exhibit D. The difference between the actual, lesser costs and \$10,000, if any, will be paid to the Participating Class Members on a pro rata basis.
- 23. A copy of the Agreement and the entire Motion was submitted to the LWDA for review at the same time the Motion was submitted to the Court pursuant to California Labor Code section 2699(l)(2). A true and correct copy of documents demonstrating the settlement documents were provided to the LWDA and that the LWDA has confirmed receipt are being filed with this Motion as Exhibit G.
- 24. A true and correct copy of the proposed Notice of Settlement is being filed with this Motion as Exhibit F.
- 25. The designated *cy pres* beneficiaries in this case are Capital Pro Bono, Inc. ("CPB") and The Center For Workers Rights ("CFWR"). Only those funds that remain from uncashed settlement checks will be sent to the *cy pres* beneficiaries pursuant to section 5.6 of the Agreement.

- 26. CPB is a 501(c)(3) nonprofit in good standing with the State of California that was established in 1981 and incorporated in 1986 to provide free civil legal services to the indigent, primarily through the use of volunteer attorneys. The formal service area includes Sacramento, Yolo, San Joaquin, El Dorado and Placer counties, however it also regularly provides assistance, whether in person or by phone, to individuals residing outside those counties, including Solano, Nevada, Merced, Sutter, Yuba, and Stanislaus counties. CPB changed its name in 2020 from Voluntary Legal Services Program of Northern California ("VLSP") to Capital Pro Bono, Inc. CPB has been the recipient of *cy pres* funds from several jurisdictions in the State of California, including from Sacramento County Superior Court.
- 27. If CPB is approved as a *cy pres* beneficiary, any funds received will be dedicated to the Employment Law Clinic and Advice Line project, which assists the indigent with legal matters related to their current or former employment. This assistance regularly includes, but is not limited to, free legal advice regarding claims for unpaid wages, failure to provide meal and rest periods, failure to pay reimbursement, and waiting time penalty claims. CPB provides legal advice, assistance with legal forms, and direct representation in administrative hearings, including administrative hearings in front of the California Labor Commissioner for unpaid wages. CPB has a staff attorney and clinic coordinator who provide assistance, along with experienced employment law attorney volunteers. These services have been a focus of the Employment Law Clinic and Advice Line project since its inception with VLSP and continuing through today under CPB.
- 28. CFWR is also a qualified *cy pres* designee in class actions, under section 384, as it is a 501(c)(3) nonprofit in good standing with the State of California providing free civil legal services to the indigent. Since its inception in 2014 and in partnership with Legal Aid at Work, the CFWR offers one-on-one legal consultations for low-wage workers. The CFWR discusses employment issues with workers and advises them as to the available legal remedies. In addition to individual counseling on employment issues, the CFWR educates workers, unions, and community members about workplace laws and remedies through "Know-Your-Rights" trainings conducted by the CFWR staff and volunteers.
- 29. The CFWR provides limited representation for low-wage workers in wage claims before the California Labor Commissioner. The CFWR has provided services to low-wage workers in a variety

of industries across the entire state of California. CFWR primarily focuses on the enforcement of basic workplace protections, including claims for unpaid wages, minimum wage violations, failure to pay overtime, failure to pay reimbursement, waiting time penalties, and meal and rest period violations. The CFWR helps workers navigate the wage claim process before the California Labor Commissioner through advice given at its legal consultation clinics and/or, in some cases, through representing workers in these claims. If the CFWR is approved as a *cy pres* beneficiary, the funds received will be dedicated towards assisting low-wage workers with wage claims and enforcing the California Labor Code with respect to those wage claims.

- 30. I believe the services provided by CPB and the CFWR promote the law consistent with the objective of wage and hour class actions in general and in this case specifically.
- 31. I have spoken with every other attorney at my firm to determine whether they have any relationship with either of the proposed *cy pres* beneficiaries.
- 32. Justin P. Rodriguez has volunteered for both organizations numerous times over the past several years, either directly in the advice clinics or by presenting seminars on wage and hour laws for law students seeking to also volunteer at advice clinics. He has also volunteered by sitting on CPB's advisory committee. These organizations are non-profits that assist low-income workers throughout California, giving free legal advice regarding employment law issues and representing employees with wage claims before the California Labor Commissioner.
- 33. I have never done any work, volunteer or otherwise, with CPB. During law school, I was a student volunteer for the CFWR for two summers. Since graduating law school, I have volunteered for the CFWR approximately one to two times per year, assisting in the advice clinic. Recently, I volunteered to be on the Board of Directors and is currently the Chair, which is an unpaid position. As Chair, I am one of seven Board Members, who are all from different law firms and/or local public and private organizations. No compensation or benefits, monetary or otherwise, are provided to any Board Member or organization a Board Member is associated with. The Executive Director of the CFWR supervises, directs, and controls the day-to-day operations of the CFWR, neither I nor the Board of Directors. As Chair, my additional duties include scheduling meetings, drafting meeting agendas and

presiding over meetings. Furthermore, CFWR has not, and does not, provide any referrals to Shimoda & Rodriguez Law, P.C.

- 34. Galen T. Shimoda has volunteered for both organizations on and off over the past several years through either presenting wage and hour seminars to law students who staff the free advice clinics or helping at the advice clinics themselves. However, Mr. Shimoda has not performed any volunteer work with either organization since approximately March 2020. Mr. Shimoda has never received payment or compensation of any kind in connection with any work he's done with either of the proposed cy pres beneficiaries.
- 35. Neither my firm, myself, Mr. Rodriguez, nor Mr. Shimoda have ever received any compensation, direct or indirect, for designating CFWR or CPB as *cy pres* beneficiaries or in connection with any of the volunteer work we have done with the organizations.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on March 11, 2024 in Elk Grove, California.

Brittany Berzin
Brittany V. Berzin