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10	Giovonnie Smin		
11	SUPERIOR COURT OF TH	E STATE OF CALIFORNIA	
12	SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF SAN FRANCISCO		
13	GIOVONNIE SMITH, as an individual and	Case No.: CGC-22-599900	
14	on behalf of others similarly situated,	Cuse 110 CGC 22 377700	
15	Plaintiff,	SECOND AMENDED STIPULATION	
16	VS.	OF CLASS ACTION SETTLEMENT AND RELEASE BETWEEN	
17	PETRA LIVING, INC., a Delaware Corporation d/b/a Oliver Space, and DOES	PLAINTIFF, ON BEHALF OF HIMSELF AND ALL OTHERS	
18	1 through 80, Inclusive,	SIMILARLY SITUATED, AND	
19	Defendants.	DEFENDANT	
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AMENDED STIPULATION OF CLASS ACTION SETTLEMENT AND RELEASE

## **CLASS ACTION SETTLEMENT AGREEMENT**

IT IS HEREBY STIPULATED, by and among Plaintiff GIOVONNIE SMITH ("Plaintiff"), on behalf of himself as an individual and on behalf of all others similarly situated, on the one hand, and Defendant PETRA LIVING, INC. D/B/A OLIVER SPACE ("Defendant"), on the other hand, subject to the approval of the Court, that this Action (as defined below) is hereby being compromised and settled pursuant to the terms and conditions set forth in this Class Action Settlement Agreement ("Agreement"), and subject to the definitions, recitals and terms set forth herein, which by this reference become an integral part of this Agreement.

This Settlement shall be binding on Plaintiff and the settlement class Plaintiff purports to represent and (i) Defendant; (ii) each of Defendant's respective past, present and future parents, subsidiaries, and affiliates including, without limitation, any corporation, limited liability company, partnership, trust, foundation, and non-profit entity which controls, is controlled by, or is under common control with Defendant; (iii) the past, present and future shareholders, directors, officers, agents, owners, employees, attorneys, insurers, members, partners, managers, contractors, agents, consultants, representatives, administrators, payroll service providers, fiduciaries, benefit plans, transferees, predecessors, successors, and assigns of any of the foregoing; (iv) the assignee for the benefit of creditors of Defendant, Petra (assignment for the benefit of creditors), LLC, a California limited liability company, and its representatives, agents, attorneys, officers, managers, members, and affiliates; and (v) any individual or entity which could be jointly liable with any of the foregoing, subject to the terms and conditions hereof and the approval of the Court.

## **DEFINITIONS**

The following definitions are applicable to this Agreement. Definitions contained elsewhere in this Agreement will also be effective:

1. "Action" means Plaintiff's putative class action lawsuit against Defendant entitled *Smith v. Petra Living Inc.* (Case No. CGC-22-599900), filed on May 31, 2022, pending in the Superior Court of the State of California, County of San Francisco, in which Plaintiff asserts the following claims on behalf of himself and all others similarly situated: (1) unpaid wages and

- compensation; (2) failure to provide lawful meal periods; (3) failure to provide lawful rest periods; (4) failure to reimburse business expenses; (5) failure to provide accurate itemized wage statements; (6) failure to pay all wages upon separation; (7) unfair competition; and (8) civil penalties pursuant to the California Private Attorneys General Act ("PAGA").
- 2. "Aggrieved Employees" or "Settlement Aggrieved Employees" means all current and former non-exempt drivers and drivers' assistants employed by Defendant from April 1, 2021 to the March 31, 2023, but excluding those individuals who provided Defendant a release of claims.
- 3. "Class" or "Settlement Class" means all current and former non-exempt drivers and drivers' assistants employed by Defendant in the State of California who worked for Defendant during the Class Period, but excluding those individuals who provided Defendant a release of claims.
- 4. "Class Counsel" means Hennig Kramer Ruiz & Singh, LLP and Law Offices of Angel J. Horacek, PC.
- 5. "Class Counsel Fees Payment" and "Class Counsel Litigation Expenses Payment" (collectively, "Class Counsel Award") mean the amounts allocated to Class Counsel for reasonable attorneys' fees and expenses, respectively, incurred to prosecute the Action, to be paid from the Gross Settlement Amount.
- 6. "Class Information" means information regarding Settlement Class Members that Defendant will in good faith compile from its records and provide to the Settlement Administrator. It shall be formatted as a Microsoft Excel spreadsheet and shall include: each Settlement Class Member's full name; last-known address; last-known telephone number, if any; email address, if any; Social Security number; start date of employment; and end date of employment. It shall be provided by Defendant to the Settlement Administrator within twenty-one (21) calendar days after the Court issues the Preliminary Approval Order. If the 21st day falls on a Saturday, Sunday, or federal holiday, the deadline will be extended to the next business day.
  - 7. "Class Period" means the period from May 31, 2018 through March 31, 2023.
  - 8. "Class Representative" or "Plaintiff" means Giovonnie Smith.

- "Class Representative Enhancement Award" means the amount that the Court authorizes to be paid to the Plaintiff from the Gross Settlement Amount, in addition to his Individual Settlement Payment, in recognition of his effort and risk in assisting with the
- "Class Settlement Fund" means the Gross Settlement Amount, minus the PAGA Settlement Fund which comprises of the Individual PAGA Settlement Payments and the LWDA
  - "Court" means the San Francisco County Superior Court.
- "Effective Date" means the date by when both of the following have occurred: (a) the Court enters a Judgment on its Final Approval Order of the Settlement; and (b) the Judgment is final. The Judgment is final as of the latest of the following occurrences: (a) if no Settlement Class Member objects to the Settlement, sixty-five (65) calendar days after the day the Court enters Judgment; (b) if one or more Settlement Class Members objects to the Settlement, the day after the deadline for filing a notice of appeal from the Judgment; or (c) if a timely appeal from the Judgment is filed, ten (10) calendar days after the appellate court affirms the Judgment and
- "Final Approval Order" means the Court's Order granting final approval of the
- "Final Approval/Settlement Fairness Hearing" means the Court's hearing on the
- "Judgment" shall mean and refer to the final judgment entered by the Court upon Final Approval of the Settlement. The Parties agree to submit as the Judgment the form attached hereto as Exhibit 1, subject to the missing information provided by the Parties and any changes
- "Gross Settlement Amount" means Two Hundred Fifteen Thousand Dollars and Zero Cents (\$215,000.00) which is the total amount Defendant agrees to pay under the Settlement. The Gross Settlement Amount will be used to pay Individual Settlement Payments, Individual

the LWDA PAGA Payment (\$18,750).

- 26. "Parties" means Plaintiff and Defendant, collectively, and "Party" shall mean either Plaintiff or Defendant.
- 27. "Payment Ratio" means the respective Total Weeks Worked for each Settlement Class Member divided by the sum of Total Weeks Worked for all participating Settlement Class Members and/or the respective Total Weeks Worked for each Settlement Aggrieved Employee divided by the sum of Total Weeks Worked for all participating Settlement Aggrieved Employees.
- 28. "Preliminary Approval Hearing" means the Court's hearing on the Motion for Preliminary Approval of the Settlement.
- 29. "Preliminary Approval Order" means the Court's Order Granting Preliminary Approval and Approval of the PAGA Settlement.
- 30. "Released Claims Class Settlement" means all Class Members, who have not submitted a Request for Exclusion, are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors and assigns, the Released Parties from all claims under state, federal, the Federal Labor Standards Act ("FLSA"), or local law, whether statutory, administrative, or common law arising out of the claims expressly pleaded in the Action and all other claims not pled, such as those under the California Labor Code, applicable Wage Orders, regulations, and/or other provisions of law, that could have been pled based on the facts pleaded in the Action for unpaid wages (including without limitation overtime and double time pay), meal/rest periods, wage statements, business expense reimbursement, violation of Business and Professions Code §17200, et seq., penalties including waiting time penalties and penalties under PAGA (arising out of the facts alleged in the Operative Complaint and PAGA Notice), interest, and attorney's fees.
- 31. "Released Claims PAGA Settlement" means all Settlement Aggrieved Employees are deemed to release, on behalf of themselves and their respective former and present representatives, agents, attorneys, heirs, administrators, successors and assigns, the Released Parties from all claims for PAGA penalties that were alleged, or reasonably could have been alleged, based on the PAGA Period facts stated in the operative Complaint and PAGA Notice. Settlement Aggrieved Employees cannot opt out of the PAGA portion of the Settlement.

- 32. "Released Parties" means Defendant and all of its present and former parent companies, subsidiaries, divisions, concepts, related or affiliated companies, shareholders, officers, directors, employees, agents, attorneys, insurers, successors and assigns, the assignee for the benefit of creditors of Defendant, Petra (assignment for the benefit of creditors), LLC, a California limited liability company, and its representatives, agents, attorneys, officers, managers, members, and affiliates, and any individual or entity which could be liable for any of the Released Claims and Defendant's Counsel of record in the Action.
- 33. "Request for Exclusion" means a Settlement Class Member's submission of a written request to be excluded from the Settlement signed by the Settlement Class Member.
- 34. "Response Deadline" means the date forty-five (45) calendar days after the Settlement Administrator mails the Notice to Settlement Class Members and the last date on which Settlement Class Members may postmark written Requests for Exclusion or a Notice of Objection to the Settlement. If the 45<sup>th</sup> day falls on a Saturday, Sunday, or federal holiday, the deadline will be extended to the next business day.
- 35. "Settlement" means the disposition of the Action pursuant to this Agreement and Judgment.
- 36. "Settlement Administrator Costs" means the amount to be paid to the Settlement Administrator from the Gross Settlement Amount for reasonable fees and expenses associated with the administration of this Settlement, in accordance with the Administrator's "not to exceed" bid submitted to the Court in connection with the Motion for Preliminary Approval of the Settlement.
  - 37. "Settlement Administrator" means APEX Settlement Administrators.
- 38. "Settlement Class Members" means all current and former non-exempt drivers and drivers' assistants employed by Petra Living, Inc. dba Oliver Spaces ("Oliver Spaces") from May 31, 2018 to the March 31, 2023 or the date of preliminary approval, whichever date comes first, except for those individuals who previously signed releases of the claims pled in Plaintiff's operative Complaint.

- 39. "Total Weeks Worked" means the number of weeks worked by Settlement Class Members during the Class Period, as estimated by the start and end dates of employment.
- 40. "Total PAGA Pay Periods" means the number of pay periods worked by Settlement Aggrieved Employees during the PAGA Period, as estimated by the start and end dates of employment.

## **RECITALS**

- 41. <u>Procedural History</u>. On April 1, 2022 Plaintiff submitted a PAGA notice to the LWDA i. The notice detailed the following claims: (a) failure to provide meal periods or meal period premium pay; (b) failure to provide rest periods or rest period premium pay; (c) failure to pay at least minimum wage; (d) failure to pay all wages due; (e) failure to pay all wages due at termination; (f) failure to maintain and provide accurate wage statements; and (g) failure to reimburse business expenses.
- 42. On May 31, 2022, Plaintiff filed a putative class action against Defendant in the Superior Court of the County of San Francisco alleging the following causes of action on a classwide basis: (1) unpaid wages and compensation; (2) failure to provide lawful meal periods; (3) failure to provide lawful rest periods; (4) failure to reimburse business expenses; (5) failure to provide accurate itemized wage statements; (6) failure to pay all wages upon separation; (7) unfair competition; and (8) civil penalties pursuant to PAGA.
- 43. The Parties attended mediation on March 31, 2023 with David A. Rotman, Esq. The Parties reached an agreement in principle for this Settlement on April 24, 2023 subject to Plaintiff reviewing certain confirmatory discovery from Defendant for the purpose of this Settlement.
- 44. Prior to mediation, Plaintiff obtained, through informal discovery, time records, pay records, and other information relating to the size and scope of the Class, as well as data permitting Plaintiff to fully understand the nature and scope of the allegations. Plaintiff's investigation was sufficient to satisfy the criteria for court approval set forth in *Dunk v. Foot Locker Retail, Inc.* (1996) 48 Cal.App.4th 1794, 1801 and *Kullar v. Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 129-130 ("*Dunk/Kullar*").

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- 45. The Court has not granted class certification.
- 46. The Parties, Class Counsel and Defendant's Counsel represent that they are not aware of any other pending matter or action asserting claims that will be extinguished or affected by the Settlement.
- 47. The Parties expressly acknowledge that this Agreement is entered into solely for the purpose of compromising significantly disputed claims and that nothing herein is an admission of any liability or wrongdoing by Defendant. If, for any reason this Agreement is not approved, it will be of no force or effect, and the Parties shall be returned to their original respective positions.
- 48. The Parties agree to jointly file a motion for preliminary approval ("Motion for Preliminary Approval") that complies with the Court's current checklist for Preliminary Approvals.
- 49. Plaintiff will file in Court, a motion for final approval of the Settlement that includes a request for approval of the settlement of Plaintiff's PAGA claim in accordance with California Labor Code § 2699(1)(2), a Proposed Final Approval Order, and a proposed Judgment (collectively "Motion for Final Approval").

## TERMS OF AGREEMENT

50. Release As to All Class Members. As of the Effective Date, Plaintiff and the Settlement Class Members who do not submit a timely written Request for Exclusion, on behalf of themselves and each of their heirs, representatives, successors, assigns and attorneys, hereby release Defendant and Released Parties from the Released Claims - Class Settlement as consideration for Defendant's payment of the Gross Settlement Amount.

Settlement Class Members who do not submit a timely written Request for Exclusion will be deemed to have acknowledged and agreed that their claims for wages and/or penalties in the Action are disputed, and that their Individual Settlement Payments constitute payment of all sums allegedly due to them. Settlement Class Members will be deemed to have acknowledged and agreed that California Labor Code § 206.5 is not applicable to the Individual Settlement Payments. That section provides in pertinent part as follows: "An employer shall not require the execution

of a release of a claim or right on account of wages due, or to become due, or made as an advance on wages to be earned, unless payment of those wages had been made."

- 51. Release As to All Settlement Aggrieved Employees. As of the Effective Date, Plaintiff and the Settlement Aggrieved Employees, on behalf of themselves and each of their heirs, representatives, successors, assigns and attorneys, hereby release Defendant and Released Parties from the Released Claims PAGA Settlement as consideration for Defendant's payment of the PAGA Settlement Fund.
- 52. Settlement Aggrieved Employees will be deemed to have acknowledged and agreed that their claims for penalties under PAGA are released pursuant to the Settlement. Settlement Aggrieved Employees cannot opt out of the PAGA portion of the Settlement, even if they properly exclude themselves from the Class Settlement.
- 53. <u>Plaintiff's Individual General Release</u>. Additionally, Plaintiff, on behalf of himself and his heirs, representatives, successors, and assigns releases Defendant and the Released Parties from any and all claims, demands, rights, liabilities and causes of action of every nature and description whatsoever, known or unknown, asserted or unasserted, whether in tort, contract, or for violation of any state or federal statute, rule, ordinance or regulation arising out of, relating to, or in connection with any act or omission by or on the part of any of the Released Parties prior to the execution of this Agreement. Plaintiff stipulates and agrees that he expressly waives and relinquishes, to the fullest extent permitted by law, the provisions, rights and benefits of Section 1542 of the California Civil Code, or any comparable provision under federal or state law, which provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.

Plaintiff may hereafter discover facts in addition to or different from those he now knows or believes to be true with respect to the subject matter of any claims, but shall be deemed to have, and by operation of the Judgment to have, fully, finally, and forever settled

and released any and all claims, whether known or unknown, suspected or unsuspected, contingent or non-contingent, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct that is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Nonetheless, Plaintiff waives and relinquishes any and all rights and protections afforded to him under Section 1542 of the California Civil Code.

- 54. <u>Tax Liability</u>. The Parties make no representations as to the tax treatment or legal effect of the payments called for hereunder, other than that payroll taxes are to be withheld from the portion of the Individual Settlement Payments classified as wages. The responsibility for any taxes withheld from any Individual Settlement Payment lies with the individual Settlement Class Member receiving such Individual Settlement Payment. Except as specifically detailed herein, Settlement Class Members are not relying on any statement or representation by the Parties with respect to the taxability of any other Individual Settlement Payments.
- Notice and Preliminary Approval of Settlement. As part of this Settlement, Plaintiff will request that the Court: (a) grant preliminary approval of the Settlement, (b) certify a Settlement Class, (c) approve distribution of Notice to Settlement Class Members, and (d) grant final approval of the Settlement. Plaintiff shall request a Preliminary Approval Hearing. In conjunction with the Hearing, Plaintiff will submit this Agreement, which sets forth the terms of this Settlement, and will include a proposed Notice, as necessary to implement the Settlement.
- 56. Class Counsel will prepare the Motion for Preliminary Approval. Class Counsel shall also submit this Agreement to the LWDA in compliance with PAGA.
- 57. <u>Settlement Administration</u>. Within twenty-one (21) calendar days of the Preliminary Approval Order, Defendant shall provide the Settlement Administrator with the Class Information for purposes of mailing the Notice to Settlement Class Members. If the 21<sup>st</sup> day falls on a Saturday, Sunday, or federal holiday, the deadline will be extended to the next business day.

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a. Notice By E-Mail and First Class U.S. Mail with Business Reply Mail

Postage. Within fifteen (15) calendar days of receipt of the Class Information
from Defendant, the Settlement Administrator shall send copies of the Notice to
all Settlement Class Members via regular First-Class U.S. Mail. The Settlement
Administrator shall exercise its best judgment to determine the current mailing
address for each Settlement Class Member. The address identified by the
Settlement Administrator as the current mailing address shall be presumed to be
the best mailing address for each Settlement Class Member. Where available,
Notice will also be sent to Settlement Class Members via email at the last known
email address in Defendant's possession. If the 15<sup>th</sup> day falls on a Saturday,
Sunday, or federal holiday, the deadline will be extended to the next business
day.

i. Undeliverable Notices. Any Notice returned to the Settlement Administrator as non-delivered via mail on or before the Response Deadline shall be re-mailed to the forwarding address affixed thereto within five business days. If no forwarding address is provided, the Settlement Administrator shall promptly attempt to determine a correct address by use of skip-tracing, or other search using the name, address and/or Social Security number of the respective Settlement Class Member and perform a re-mailing if another mailing address is identified by the Settlement Administrator. If a Settlement Class Member's Notice is returned to the Settlement Administrator more than once as non-deliverable on or before the Response Deadline, then an additional Notice need not be re-mailed, and the Settlement Class Member is deemed to have received Notice. Settlement Class Members who receive a re-mailed Notice, whether by skip trace or by request, will have between the later of (a) fifteen (15) calendar days (unless the 15<sup>th</sup> day falls on a Saturday, Sunday, or federal holiday, in

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which case the deadline will be extended to the next business day) or (b) the Response Deadline to submit a Request for Exclusion or Notice of Objection.

- b. <u>No Claim Form Necessary</u>. All Settlement Class Members who do not timely submit a Request for Exclusion will receive Individual Settlement Payments from the Net Settlement Amount; submission of a claim form is not necessary to receive an Individual Settlement Payment. Estimated Individual Settlement Payments will be stated in the Notice.
  - i. Disputes Regarding Individual Settlement Payments. Settlement Class Members will have the opportunity, should they disagree with Defendant's records regarding their employment dates or Total Weeks Worked, to provide documentation and/or an explanation to the Settlement Administrator to correct the information and seek modification of their estimated Individual Settlement Payments via email or U.S. Mail. If there is a dispute, the Settlement Administrator will consult with the Parties to determine whether an adjustment is warranted. The Settlement Administrator shall determine the eligibility for, and the amounts of, any Individual Settlement Payments under the terms of this Agreement. The Settlement Administrator's determination of the eligibility for and amount of any Individual Settlement Payment shall be final and binding upon the Settlement Class Members and the Parties. The Settlement Administrator will inform the Settlement Class Member of the final determination of the dispute the same way the Settlement Administrator received the dispute from the Settlement Class Member (via email or mail). The Settlement Administrator shall also inform the Parties of the final determination of the dispute.
  - ii. <u>Disputes Regarding Administration of Settlement</u>. Any disputes not resolved concerning the administration of the Settlement will be resolved by the Court, under the laws of the State of California. Prior to any such

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involvement of the Court, Counsel for the Parties will confer in good faith to resolve the disputes without the necessity of involving the Court.

Exclusions. The Notice shall state that Settlement Class Members who c. wish to exclude themselves from the Class Settlement must submit a written Request for Exclusion by the Response Deadline either by email or by U.S. Mail. The written Request for Exclusion must: (1) contain the name, email address, address, and telephone number of the person requesting exclusion, (2) be signed by the Settlement Class Member; (3) be postmarked or contain an email "sent date" by the Response Deadline and returned to the Settlement Administrator at the specified email or mail address; and (4) state the Settlement Class Member's intent to request exclusion, opt out, or words to that effect. If a signed Request for Exclusion is not timely submitted stating the name and address of the Settlement Class Member, it will not be deemed valid for exclusion from this Settlement. The email "sent date" or date of the postmark on the return mailing envelope of the Request for Exclusion shall be the exclusive means used to determine whether the Request for Exclusion was timely submitted. Any Settlement Class Member who submits a valid and timely written Request for Exclusion will not be entitled to any recovery under the Class Settlement and will not be bound by the terms of the Settlement or have any right to object, appeal or comment thereon. Settlement Class Members who do not or fail to submit a valid and timely written Request for Exclusion on or before the Response Deadline shall be bound by all terms of the Settlement and any Final Approval Order and Judgment entered in this Action. Settlement Class Members, who are also Aggrieved Employees, who submit a timely written Request for Exclusion from the Class Settlement will still receive their Individual PAGA Settlement Payment as Settlement Class Members cannot opt out of the PAGA Settlement.

i. No later than ten (10) calendar days after the Response Deadline, the Settlement Administrator shall provide Counsel for the Parties with a complete list of all Settlement Class Members who have timely submitted valid written Requests for Exclusion. At no time shall any of the Parties or their Counsel seek to solicit or otherwise encourage members of the Settlement Class to submit Requests for Exclusion from the Settlement.

- ii. No later than thirty (30) calendar days after the Response Deadline, the Settlement Administrator will provide Defendant with an accounting of all payments and awards payable from the Gross Settlement Amount.
- d. <u>Objections</u>. The Notice shall state that Settlement Class Members who wish to object to the Settlement may email or mail to the Settlement Administrator a written Notice of Objection or appear at the Final Approval Hearing. The Notice of Objection may be sent via email or U.S. Mail to the Settlement Administrator. The Notice of Objection must be signed by the Settlement Class Member and state: (1) the full name of the Settlement Class Member, and (2) the specific basis for the objection. Class Counsel shall include all objections received and Plaintiff's response(s) with Plaintiff's Motion for Final Approval of the Settlement. Settlement Class Members will also have a right to have their objections heard at the Final Approval/Settlement Fairness Hearing even if they do not submit a written Notice of Objection in advance of the Hearing. Class Counsel shall not represent any Settlement Class Members with respect to any such objections.
- e. <u>No Solicitation of Settlement Objections or Exclusions</u>. The Parties agree to use their best efforts to carry out the terms of this Settlement. At no time shall any of the Parties or their Counsel seek to solicit or otherwise encourage Settlement Class Members to submit either Notices of Objection to the Settlement or requests for exclusion from the Settlement, or to appeal from the Court's Judgment.
- f. <u>Plaintiff's Waiver of Right to Be Excluded and Object</u>. Plaintiff agrees to sign this Agreement, and by signing this Agreement, is hereby bound by the terms herein. For good and valuable consideration, Plaintiff agrees that he will not

request to be excluded from the Settlement, nor object to any terms herein. Any such request for exclusion or objection by Plaintiff will be void and of no force or effect. Any effort by Plaintiff to circumvent the terms of this paragraph will be void and of no force and effect.

Hundred Fifteen Thousand Dollars and Zero Cents (\$215,000.00) and no more as the Gross Settlement Amount. The Gross Settlement Amount is to be deposited in an interest-bearing account set up by the Settlement Administrator no later than twenty (20) calendar days after the Effective Date. If the 20<sup>th</sup> day falls on a Saturday, Sunday, or federal holiday, the deadline will be extended to the next business day. Defendant has no obligation to pay the Gross Settlement Amount (or any payroll taxes) prior to twenty-one (21) days after the Effective Date. Payments from the Gross Settlement Amount shall be made, as specified in this Agreement and approved by the Court, by the Settlement Administrator within ten (10) calendar days of receipt of the Gross Settlement Amount for: (1) Individual Settlement Payments to Settlement Class Members who do not submit a timely and valid written Request for Exclusion, (2) Class Representative Enhancement Award, (3) Class Counsel Award, (4) PAGA Settlement Fund, and (5) the Settlement Administrator Costs.

a. <u>Individual Settlement Payments</u>. Individual Settlement Payments will be paid from the Net Settlement Amount and shall be paid pursuant to the terms set forth above. Individual Settlement Payments shall be mailed by regular First-Class U.S. Mail to the respective Settlement Class Member's last known mailing address. Individual Settlement Payments will be allocated as follows: 15% as wages (subject to tax withholding and payroll taxes). Defendant shall pay the employer's share of any payroll taxes or withholdings separate and apart from the Gross Settlement Amount, and the balance as penalties and interest (to be reported on 1099 forms). Any checks issued to Settlement Class Members shall remain valid and negotiable for one hundred and eighty (180) days from the date of their issuance. Individual Settlement Payment checks will contain the following printed

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notice advising Settlement Class Members that by cashing, depositing or otherwise negotiating their Individual Settlement Payment checks they are affirmatively opting into the settlement for purposes of the FLSA: "BY CASHING THIS CHECK YOU ARE AGREEING TO THE TERMS OF THE SETTLEMENT REACHED IN SMITH V. PETRA LIVING, INC. CASE NO. CGC-22-599900, AND AGREE TO OPT-IN TO THE SETTLEMENT AND TO RELEASE CLAIMS UNDER THE FAIR LABOR STANDARDS ACT PURSUANT TO THE SETTLEMENT." Settlement Class Members, who are also Aggrieved Employees, who submit a timely written Request for Exclusion from the Class Settlement will still receive their Individual PAGA Settlement Payment.

Calculation of Individual Settlement Payments other than PAGA payments. Defendant will provide the Settlement Administrator with sufficient information to calculate available Individual Settlement Payments other than Individual PAGA Settlement Payments to all Settlement Class Members. This information includes the dates of employment of each Settlement Class Member. For each Settlement Class Member, the Total Weeks Worked in the Class Period will be calculated. The respective Total Weeks Worked for each Settlement Class Member will be divided by the Total Weeks Worked in the Class Period for all Settlement Class Members, resulting in the Payment Ratio for each Settlement Class Member. Each Settlement Class Member's Payment Ratio is then multiplied by the Net Settlement Amount to determine his or her Individual Settlement Payment. Each Individual Settlement Payment will be reduced by any legally mandated deductions or withholdings for each Settlement Class Member. Settlement Class Members are not eligible to receive any compensation under the Settlement other than Individual Settlement Payments and/or Individual PAGA Settlement Payments. The wage portions are subject to tax withholding and will be reported on IRS

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W-2 Forms. The non-wage portions are not subject to tax withholding and will be reported on IRS 1099 – MISC Forms.

ii. <u>Calculation of Individual PAGA Settlement Payments</u>. Defendant will provide to Plaintiff sufficient information to calculate the Individual PAGA Settlement Payments to all Settlement Aggrieved Employees. This information includes the dates of employment of each Settlement Aggrieved Employee. For each Settlement Aggrieved Employee, the Total PAGA Pay Periods in the PAGA Period will be calculated. The respective Total PAGA Pay Periods for each Settlement Aggrieved Employee will be divided by the Total PAGA Pay Periods in the PAGA Period for all Settlement Aggrieved Employees, resulting in the Payment Ratio for each Settlement Aggrieved Employee. Each Settlement Aggrieved Employee's Payment Ratio is then multiplied by 25% of the PAGA Settlement Fund to determine his or her Individual PAGA Settlement Payment. Settlement Aggrieved Employees are not eligible to receive any compensation under the Settlement other than Individual Settlement Payments and/or Individual PAGA Settlement Payments. The Individual PAGA Settlement Payments are not subject to tax withholding and will be reported on IRS 1099 - MISC Forms. Settlement Aggrieved Employees cannot opt out of the PAGA Settlement.

b. <u>Cy Pres Charity</u>. In accordance with California Rule of Civil Procedure section 384, any unpaid cash residue or unclaimed or abandoned class member funds, plus any accrued interest that has not otherwise been distributed pursuant to order of this Court within 180 calendar days from the date the check was issued, shall be paid to Legal Aid at Work, a nonprofit organization that furthers the objectives and purposes underlying this Action and that provides civil legal services to the indigent.

Class Representative Enhancement Award. Subject to Court approval, in c. exchange for the release of all Released Claims, a general release under Section 1542 of the California Civil Code, and for his time and effort in bringing and prosecuting this matter, Plaintiff shall be paid from the Gross Settlement Amount up to Five Thousand Dollars and Zero Cents (\$5,000.00), subject to Court approval. Any portion of the requested Class Representative Enhancement Award that is not awarded to the Class Representative shall be part of the Net Settlement Amount and shall be distributed to Settlement Class Members as provided in this Agreement. The Settlement Administrator shall issue an IRS Form 1099 – MISC to Plaintiff for his Class Representative Enhancement Award. Plaintiff shall be solely and legally responsible to pay any and all applicable taxes on his Class Representative Enhancement Award and shall hold harmless Defendant and Released Parties from any claim or liability for taxes, penalties, or interest arising as a result of the Class Representative Enhancement Award. The Class Representative Enhancement Award shall be in addition to Plaintiff's Individual Settlement Payment as a Settlement Class Member.

d. <u>Class Counsel Award</u>. Class Counsel may apply for a Class Counsel Fees Payment not to exceed Seventy-One Thousand Six Hundred Sixty-Six Dollars and Sixty-Seven Cents (\$71,666.67), or one-third of the Gross Settlement Amount. Class Counsel may apply for a Class Counsel Litigation Expenses Payment for the reimbursement of actual costs incurred in prosecuting the Action up to Ten Thousand Dollars and Zero Cents (\$10,000.00), subject to: (i) submission of records to the Court, associated with Class Counsel's prosecution of this Action from the Gross Settlement Amount, and (ii) Class Counsel's agreement not to appeal any award of attorneys' fees or costs. Any portion of the requested Class Counsel Award that is not awarded to Class Counsel shall be part of the Net Settlement Amount and distributed to Settlement Class Members as provided in this Agreement. Class Counsel shall be solely and legally responsible to pay all

applicable taxes on the payment made pursuant to this paragraph. The Settlement Administrator shall issue an IRS Form 1099 – MISC to Class Counsel for the payments made pursuant to this paragraph. This Settlement is not contingent upon the Court awarding Class Counsel any particular amount in attorneys' fees and costs.

- e. <u>PAGA Payment</u>. Twenty-Five Thousand Dollars and Zero Cents (\$25,000.00) shall be allocated to the release of Plaintiff and the Settlement Aggrieved Employee's PAGA claims. From that allocation, the Settlement Administrator shall make the PAGA Payment to the LWDA in the amount of Eighteen Thousand Seven Hundred Fifty Dollars and Zero Cents (\$18,750.00). The remaining Six Thousand Two Hundred Fifty Dollars and Zero Cents (\$6,250.00) of the PAGA Payment shall be included in the Individual PAGA Settlement Payments to be paid to the Settlement Aggrieved Employees. Settlement Aggrieved Employees cannot opt out of the PAGA Settlement.
- f. Settlement Administration Costs. The Settlement Administrator shall be paid for the costs of administration of the Settlement from the Gross Settlement Amount. The capped cost of administration for this Settlement is \$5,000 Dollars (\$5,000). Any portion of the requested Settlement Administration Costs that is not awarded to Apex shall be part of the Net Settlement Amount and distributed to Settlement Class Members as provided in this Agreement. The Settlement Administrator shall provide the Parties with a declaration to support the cost of administration. The Settlement Administrator, on Defendant's behalf, shall have the authority and obligation to make payments, credits and disbursements, including in the manner set forth herein, to Settlement Class Members and Settlement Aggrieved Employees, calculated in accordance with the methodology set out in this Agreement and orders of the Court.
  - i. The Parties agree to cooperate in the Settlement administration process and to make all reasonable efforts to control and minimize the costs

and expenses incurred in administration of the Settlement. The Parties each represent they do not have any financial interest in the Settlement Administrator or otherwise have a relationship with the Settlement Administrator that could create a conflict of interest.

- ii. The Settlement Administrator shall be responsible for: processing and mailing payments from Defendant to the Plaintiff, Class Counsel, Settlement Class Members, Settlement Aggrieved Employees, the LWDA, and cy pres recipient; printing and mailing and emailing the Notice to the Settlement Class Members and Settlement Aggrieved Employees, as directed by the Court; receiving and reporting the Requests for Exclusion and Notices of Objection submitted by Settlement Class Members; distributing all tax forms for payments under this Agreement; providing declaration(s) and reports as necessary in support of preliminary and final approval of this Settlement; and other tasks as the Parties mutually agree or the Court orders the Settlement Administrator to perform both before and after distribution of the Gross Settlement Amount. The Settlement Administrator shall keep the Parties timely apprised of the performance of all Settlement Administrator responsibilities.
- g. No person shall have any claim against Defendant, Defendant's Counsel, Plaintiff, Settlement Class Members, Settlement Aggrieved Employees, Class Counsel or the Settlement Administrator based on distributions and payments made in accordance with this Agreement.
- 59. <u>Final Settlement Approval Hearing and Entry of Judgment</u>. Upon expiration of the Response Deadline, with the Court's permission, a Final Approval/Settlement Fairness Hearing shall be conducted to determine final approval of the Settlement along with the amount properly payable for (i) the Class Counsel Award, (ii) the Class Representative Enhancement Award, (iii) Individual Settlement Payments, (iv) the Settlement Administrator Cost, and (v) the PAGA Payment. Pursuant to California Rule of Court 3.769(h), after

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granting Final Approval, the Court shall retain jurisdiction over the Parties to enforce the terms of the Judgment.

- 60. Option to Terminate Settlement. If more than ten percent (10%) of all Settlement Class Members submit timely and valid written Requests for Exclusion from the Settlement, Defendant shall have, in its sole discretion, the option to terminate this Settlement. In such case, Defendant's Counsel will notify Class Counsel of the decision to terminate the Settlement within ten (10) calendar days following a final report by the Settlement Administrator of the number of Requests for Exclusion. Further, to the extent Defendant has made any payments under this Agreement, all payments shall be fully refunded to Defendant, except that any fees already incurred by the Settlement Administrator shall be paid by Defendant, and the Parties shall proceed in all respects as though this Agreement had not been executed.
- 61. Nullification of Settlement Agreement. In the event: (i) the Court does not enter an order for preliminary approval; (ii) the Court does not enter an order for final approval; (iii) the Court does not enter a judgment; (iv) the Effective Date is not triggered; or (v) the Settlement does not become final for any other reason, this Settlement Agreement shall be null and void and any order or judgment entered by the Court in furtherance of this Settlement shall be treated as void from the beginning. In such case, Defendant shall not make any payment under this Agreement, and the Parties shall proceed in all respects as if this Agreement had not been executed, except that any fees already incurred by the Settlement Administrator shall be paid by the Parties in equal shares. In such a case, this Agreement or its related exhibits shall not be admissible in evidence, nor may they be used in discovery.
- 62. No Effect or Trigger on Employee Benefits. Amounts paid to Plaintiff, Settlement Class Members, or Settlement Aggrieved Employees pursuant to this Agreement shall be deemed not to be pensionable earnings and shall not trigger or have any effect on the eligibility for, or calculation of, any of the employee earnings, benefits (e.g., vacations,

holiday pay, retirement plans, etc.) or bonuses of the Plaintiff, Settlement Class Members, or Settlement Aggrieved Employees.

- 63. <u>No Admission by Defendant</u>. Defendant denies any and all claims alleged in this Action and denies all wrongdoing whatsoever. This Agreement is not a concession or admission of any liability or wrongdoing by Defendant, and it shall not be used against Defendant as an admission with respect to any claim of fault, concession or omission by Defendant in this or any other proceeding.
- 64. Exhibits and Headings. The terms of this Agreement include the terms set forth in the attached exhibits, which are incorporated by this reference as though fully set forth herein. The exhibits to this Agreement are an integral part of the Settlement; however, the terms of this Agreement control in case of conflict. The descriptive headings of any paragraphs or sections of this Agreement are inserted for convenience of reference only.
- 65. <u>Interim Stay of Proceedings</u>. The Parties agree to stay all proceedings in the Action, except such proceedings necessary to implement and complete the Settlement, pending the Final Approval/Settlement Fairness Hearing to be conducted by the Court.
- 66. <u>Amendment or Modification</u>. This Agreement may be amended or modified only by a written instrument signed by Counsel for all Parties or their successors-in-interest.
- 67. Entire Agreement. This Agreement and the attached exhibits constitute the entire Agreement among these Parties, and no oral or written representations, warranties or inducements have been made to any Party concerning this Agreement or its exhibits other than the representations, warranties and covenants contained and memorialized in the Agreement and its exhibits.
- 68. <u>Authorization to Enter into Settlement Agreement</u>. While the decision to enter this Agreement is the Parties' alone, Counsel for the Parties warrant and represent they have been and are expressly authorized by the Party whom they each represent to negotiate this Agreement, and to take all appropriate actions required or permitted to be taken by such Parties pursuant to this Agreement to effectuate its terms, and to execute any other documents required to effectuate the terms of this Agreement, following the Parties' execution of this

- Agreement. The Parties and their Counsel will cooperate with each other and use their best efforts to effect the implementation of the Settlement. In the event the Parties are unable to reach an agreement on the form or content of any document needed to implement the Settlement, or on any supplemental provisions that may become necessary to effectuate the terms of this Settlement, the Parties may seek the assistance of the Court or mediator to resolve such disagreement. The person signing this Agreement on behalf of Defendant represents and warrants that he/she is authorized to sign this Agreement on behalf of Defendant. The person signing this Agreement on behalf of Plaintiff represents and warrants that he/she is authorized to sign this Agreement and that he/she has not assigned any claim, or part of a claim, covered by this Settlement to a third-party.
- 69. <u>Binding on Successors and Assigns</u>. This Agreement shall be binding upon, and inure to the benefit of, the successors or assigns of the Parties hereto, as previously defined.
- 70. <u>California Law Governs</u>. All terms of this Agreement and the exhibits hereto shall be governed by and interpreted according to the laws of the State of California.
- 71. <u>Counterparts</u>. This Agreement may be executed in one or more counterparts and/or electronically. All executed counterparts together shall be deemed to be one and the same instrument.
- 72. This Settlement Is Fair, Adequate and Reasonable. The Parties believe this Settlement is a fair, adequate and reasonable settlement of this Action under the circumstances unique to the Parties and have arrived at this Settlement after extensive armslength negotiations, taking into account all relevant factors, documents, and information, present and potential.
- 73. <u>Jurisdiction of the Court</u>. In accordance with California Rule of Court 3.769(h), the Parties agree that the Court shall retain jurisdiction with respect to the interpretation, implementation and enforcement of the terms of this Agreement and all orders and judgments entered in connection therewith, and the Parties and their counsel hereto submit to the jurisdiction of the Court for purposes of interpreting, implementing and

1	enforcing the Settlement embodied in this Agreement and all orders and judgments entere	
2	in connection therewith.	
3	74. <u>Invalidity of Any Provisi</u>	on. In the event that any word, paragraph, clause, or
4	portion of this Agreement is deemed illegal or unenforceable, it shall be stricken, and the	
5	remainder of the Agreement shall be enforced. Before declaring any provision of this	
6	Agreement invalid, the Court shall first attempt to construe the provisions valid to the fulles	
7	extent possible consistent with applicable precedents so as to define all provisions of this	
8	Agreement valid and enforceable.	
9		
10		PLAINTIFF
11		FLAINTIFF
12	Date: 8/12/2024	DocuSigned by:
13	Date.	GIOVONNIE SMITH
14		
15		CLASS COUNSEL
16		HENNIG KRAMER RUIZ & SINGH, LLP
17	0 /12 /2024	DocuSigned by:
18	Date: 8/12/2024	Jennifer kramer  Jennifer Kramer
19		Jennifer Kramer
20		LAW OFFICES OF ANGEL J. HORACEK, PC
21	0 (42 (2024	Signed by:
22	Date: 8/12/2024	Angel J. Horacek
23		Attorneys for Plaintiff, the Settlement Class
24		Members, and the Settlement Aggrieved
25		Employees
25 26		DEFENDANT
27 28	8/21/2024 Date:	Michael a. Maidy
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AMENDED STIPULATION OF CLASS ACTION SETTLEMENT AND RELEASE

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1	Michael A. Maidy			
2	Petra (assignment for the benefit of creditors), LLC Solely in its capacity as Assignee for the benefit			
3	of creditors of Petra Living, Inc.			
4	DEFENDANT'S COUNSEL			
5				
6	8/21/2024 Indra F. Ozman Date:			
7	Nicky Jatana			
8	Andrea F. Oxman			
9	Kishaniah Dhamodaran Attorneys for Defendant,			
10	Petra Living, Inc. d/b/a Oliver Space			
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	AMENDED STIPULATION OF CLASS ACTION SETTLEMENT AND RELEASE			