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COUNTY OF SAN BERNARDINO SAN BERNARDINO DISTRICT

APR 25 2025

IN AND FOR THE COUNTY OF SAN BERNARDINO

REBECCA RIVERA, an individual, on behalf | Case No. CIVSB2308152 of herself, and on behalf of all persons similarly situated.

Plaintiff,

VS.

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EUREKA CENTER EMPLOYEES, LLC, a Delaware limited liability company; EUREKA REALTY PARTNERS, INC., a California corporation; CRAIG REALTY GROUP -CABAZON, LLC, a California limited liability company; CRAIG REALTY GROUP TULARE, LLC, a California limited liability company; CRAIG REALTY GROUP CABAZON PHASE II, LLC, a California limited liability company; CRAIG REALTY GROUP CITADEL, LLC, a Delaware limited liability company; CRAIG REALTY GROUP-SAN CLEMENTE, LLC, a California limited liability company; and DOES 1-50, Inclusive,

Defendants.

[PROPOSED] ORDER **GRANTING** PLAINTIFF'S **MOTION FOR** PRELIMINARY APPROVAL OF CLASS AND PAGA ACTION SETTLEMENT

Date:

January 27, 2025

Time:

1:30 p.m.

Judge:

Hon. Joseph T. Ortiz

Dept.:

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the State of California, in and for the County of San Bernadino, at 1:30 p.m. on January 27, 2025, with Jean-Claude Lapuyade, Esq., of the JCL Law Firm, APC and Shani O. Zakay, Esq. of the Zakay Law Group, APLC, as counsel for plaintiff REBECCA RIVERA ("Plaintiff"), and Cruser, Mitchell, Novitz, Sanchez, Gaston & Zimet, LLP appearing for Defendants Eureka Center Employees, LLC, Eureka Realty Partners, Inc., Craig Realty Group - Cabazon, LLC, and Craig Realty Group Citadel, LLC (hereinafter "Defendants"). The Court, having carefully considered the briefs, argument of counsel and all the matters presented to the Court, and good cause appearing, hereby GRANTS Plaintiff's Motion for Preliminary Approval of Class Action Settlement.

IT IS HEREBY ORDERED:

- The Court preliminarily approves the Stipulation of Settlement of Class and PAGA 1. Action Claims and Release of Claims ("Settlement Agreement" or "Agreement"), a true and correct copy of which is attached hereto as **Exhibit "1"**. This is based on the Court's determination that the Settlement Agreement is within the range of possible final approval, pursuant to the provisions of Section 382 of the California Code of Civil Procedure and California Rules of Court, rule 3.769.
- This Order incorporates by reference the definitions in the Agreement, and all terms 2. defined therein shall have the same meaning in this Order as set forth in the Agreement.
- Subject to the terms of the Settlement Agreement, the Gross Settlement Amount that Defendants shall pay is Eight Hundred, Fifty Thousand Dollars and Zero Cents (\$850,000.00). It appears to the Court on a preliminary basis that the settlement amount and terms are fair, adequate, and reasonable as to all Class Members when balanced against the probable outcome of further litigation relating to certification, liability, and damages issues. It further appears that investigation and research have been conducted such that counsel for the Parties are able to reasonably evaluate their respective positions. It further appears to the Court that settlement at this time will avoid substantial additional costs by all Parties, as well as avoid the delay and risks that would be presented by the further prosecution of the litigation. It further appears that the Settlement has been reached as the result of intensive, serious, and non-collusive arms-length negotiations.
 - The Court preliminarily finds that the Settlement appears to be within the range of 4.

reasonableness of a settlement that could ultimately be given final approval by this Court. The Court has reviewed the monetary recovery that is being granted as part of the Settlement and preliminarily finds that the monetary settlement awards made available to the Class Members are fair, adequate, and reasonable when balanced against the probable outcome of further litigation relating to certification, liability, and damages issues.

- 5. Plaintiff seeks Class Counsel Award in the amount of up-to one-third of the Gross Settlement Amount for attorneys' fees, currently estimated at Two Hundred, Eighty-Three Thousand, Three Hundred Thirty-Three Dollars and Thirty-Three Cents (\$283,333.33), an award of litigation expenses incurred not to exceed Twenty-Five Thousand Dollars and Zeo Cents (\$25,000.00), and proposed Enhancement Award to the Class Representative, Rebecca Rivera, in an amount not to exceed Ten Thousand Dollars and Zero Cents (\$10,000.00). While these awards appear to be within the range of reasonableness, the Court will not approve the Class Counsel Award or Enhancement Award until the Final Approval Hearing.
- 6. The Court recognizes that Plaintiff and Defendant stipulate and agree to certification of a class for settlement purposes only. This stipulation will not be deemed admissible in this, or any other proceeding should this Settlement not become final. For settlement purposes only, the Court conditionally certifies the following Class:
 - "all persons who are or previously were employed by Defendants in California and classified as non-exempt employees at any time during the period of April 7, 2019, to March 15, 2024 (the "Class Period").
- 7. The Court concludes that, for settlement purposes only, the Class meets the requirements for certification under section 382 of the California Code of Civil Procedure in that: (a) the Class is ascertainable and so numerous that joinder of all members of the Class Members is impracticable; (b) common questions of law and fact predominate, and there is a well-defined community of interest amongst the Class Members with respect to the subject matter of the litigation; (c) the claims of the Class Representative are typical of the claims of the Class Members; (d) the Class Representative will fairly and adequately protect the interests of the Class Members; (e) a class action is superior to other available methods for the efficient adjudication of this controversy; and (f) Class Counsel are qualified

to act as counsel for the Class Representative in her individual capacity and as the representative of the Class Members.

- 8. The Court provisionally appoints plaintiff Rebecca Rivera as the representative of the Class.
- 9. The Court provisionally appoints Jean-Claude Lapuyade, Esq., of the JCL Law Firm, APC and Shani Zakay, of the Zakay Law Group, APLC as Class Counsel for the Class Members.
- 10. The Court hereby approves, as to form and content, the Proposed Notice of Pendency of Class and Representative Action ("Class Notice") attached to the Agreement as **Exhibit "A".** The Court finds that both notices appear to fully and accurately inform the Class Members and Aggrieved Employees of all material elements of the proposed Settlement, including the right of any Class Member to be excluded from the Class by submitting a written request for exclusion, and of each Class Member's right and opportunity to object to the Settlement. The Court further finds that the distribution of the notices substantially in the manner and form set forth in the Agreement and this Order meets the requirements of due process, is the most reasonable notice under the circumstances, and shall constitute due and sufficient notice to all persons entitled thereto. The Court orders the mailing of the notices by first class mail, pursuant to the terms set forth in the Agreement.
- 11. The Court hereby appoints Apex Class Action LLC as Settlement Administrator. Within ten (10) business days of the later of preliminary approval or court approval of Settlement notice to the class, Defendant shall provide to the Settlement Administrator the Class Data, including information regarding Class Members that Defendant will in good faith compile from its records, including the Class Member's full name, last-known mailing address, Social Security number, and start and end dates of employment. Within twenty-one (21) calendar days after preliminary approval of the Settlement, the Settlement Administrator shall mail the Class Notice to all identified, potential Class Members via first class U.S. Mail and electronic mail using the most current mailing address information available.
- 12. The Court hereby preliminarily approves the proposed procedure for exclusion from the Settlement. Any Class Member may individually choose to opt out of and be excluded from the Settlement as provided in the Notice by following the instructions for requesting exclusion from the

Settlement of the Released Class Claims that are set forth in the Notice. All requests for exclusion must be postmarked or received by the Response Deadline which is forty-five (45) calendar days after the date the Class Notice is mailed to the Class Members or, in the case of a re-mailed Notice, not more than fifteen (15) calendar days from the date of re-mailing of the Notices. Any such person who chooses to opt out of and be excluded from the Settlement will not be entitled to an Individual Settlement Payment under the Settlement and will not be bound by the Settlement, or have any right to object, appeal or comment thereon. Class Members who have not requested exclusion shall be bound by all determinations of the Court, the Agreement and Judgment. A request for exclusion may only opt out that particular individual, and any attempt to affect an opt-out of a group, class, or subclass of individuals is not permitted and will be deemed invalid.

- 13. Any Class Member who has not opted out may appear at the final approval hearing and may object or express the Class Member's views regarding the Settlement and may present evidence and file briefs or other papers that may be proper and relevant to the issues to be heard and determined by the Court as provided in the Notice. Class Members will have forty-five (45) calendar days from the date the Settlement Administrator mails the Class Notice to postmark their written objections to the Settlement Administrator.
- Award shall be held before this Court on Superior Court to determine all necessary matters concerning the Settlement, including: whether the proposed settlement of the Action on the terms and conditions provided for in the Agreement is fair, adequate and reasonable and should be finally approved by the Court; whether an Order Granting Final Approval should be entered herein; whether the plan of allocation contained in the Agreement should be approved as fair, adequate and reasonable to the Class; and to finally approve the Class Counsel Award, Enhancement Award, and the Administration Costs. All papers in support of the Motion for Final Approval and the Motion for Class Counsel Award and Service Award shall be filed with the Court and served on all counsel no later than sixteen (16) court days before the hearing.
 - 15. Neither the Settlement nor any exhibit, document, or instrument delivered thereunder

shall be construed as a concession or admission by Defendant in any way, and shall not be used as evidence of, or used against Defendant as an admission or indication in any way, including with respect to any claim of any liability, wrongdoing, fault, or omission by Defendant or with respect to the truth of any allegation asserted by any person. Whether or not the Settlement is finally approved, neither the Settlement, nor any exhibit, document, statement, proceeding or conduct related to the Settlement, nor any reports or accounts thereof, shall in any event be construed as, offered or admitted in evidence as received as or deemed to be evidence for any purpose adverse to the Defendant, including, but not limited to, evidence of a presumption, concession, indication or admission by Defendant of any liability, fault, wrongdoing, omission, concession or damage.

- In the event the Settlement does not become effective in accordance with the terms of 16. the Agreement, or the Settlement is not finally approved, or is terminated, canceled, or fails to become effective for any reason, this Order shall be rendered null and void and shall be vacated, and the Parties shall revert to their respective positions as of before entering into the Agreement. In such an event, the Court's orders regarding the Settlement, including this Preliminary Approval Order, shall not be used or referred to in litigation for any purpose. Nothing in this paragraph is intended to alter the terms of the Settlement Agreement with respect to the effect of the Settlement Agreement if it is not approved.
- Pending final determination of whether the Settlement should be approved, Class 17. Representative and all Class Members are barred and enjoined from filing, commencing, prosecuting, intervening in, instigating or in any way participating in the commencement or prosecution of any lawsuit, action or administrative, regulatory, arbitration or other proceeding, in any forum, asserting any claims that are, or relate in any way to, the Released Claims, unless and until they submit a timely request for exclusion pursuant to the Agreement.

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1	18. The Court reserves the right to adjourn or continue the date of the final approval hearing		
2	and all dates provided for in the Agreement without further notice to Class Members and retains		
3	jurisdiction to consider all further applications arising out of or connected with the proposed		
4	Settlement.		
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6	Dated: anil 25, 2025 Jongh Cata		
7	JUDGÉ OF THE SUPERIOR COURT		
8	JOSEPH T. ORTIZ		
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EXHIBIT 1

1	JCL LAW FIRM, APC		
2	Jean-Claude Lapuyade (State Bar #248676) Sydney Castillo Johnson (State Bar #343881) 5440 Morehouse Drive, Suite 3600 San Diego, CA 92121		
3			
4	Telephone: (619) 599-8292		
5	jlapuyade@jcl-lawfirm.com scastillo@jcl-lawfirm.com		
6	ZAKAY LAW GROUP, APLC		
7	Shani O. Zakay (State Bar #277924)		
8	Nicole Noursamadi (State Bar #357246) 5440 Morehouse Drive, Suite 3600		
9	San Diego, CA 92121 Telephone: (619) 255-9047		
10	shani@zakaylaw.com nicole@zakaylaw.com		
11			
12	Attorneys for Plaintiff Rebecca Rivera SUPERIOR COURT OF THE STATE OF CALIFORNIA		
13			
14	IN AND FOR THE COUNT	Y OF SAN BERNARDINO	
	REBECCA RIVERA, an individual, on behalf	Case No. CIVSB2308152	
15	of herself, and on behalf of all persons similarly situated,	[Complaint Filed: April 7, 2023]	
16	Plaintiff,	STIPULATION OF SETTLEMENT OF CLASS AND PAGA ACTION CLAIMS	
17	V.	AND RELEASE OF CLAIMS	
18	EUREKA CENTER EMPLOYEES, LLC, a		
19	Delaware limited liability company; EUREKA REALTY PARTNERS, INC., a California		
20	corporation; FRO2MO BARSTOW LLC dba		
21	INVESTMENTS LLC, a Delaware limited		
22	liability company; CRAIG REALTY GROUP- MACARTHUR, LLC, a California limited		
23	liability company; CRAIG REALTY GROUP - CABAZON, LLC, a California limited		
24	liability company; CRAIG REALTY GROUP		
25	- CARLSBAD, LLC, a California limited liability company; CRAIG REALTY GROUP		
26	– LAKE ELSINORE, L.P., a California limited partnership; CRAIG REALTY GROUP –		
27	MANTECA, LLC, a California limited liability		
28	company; CRAIG REALTY GROUP – TULARE, LLC, a California limited liability		

company; CRAIG REALTY GROUP -CABAZON PHASE II, LLC, a California limited liability company; CRAIG REALTY GROUP CITADEL, LLC, a Delaware limited liability company; CRAIG REALTY GROUP-CITADEL, L.P., a California limited partnership; CRAIG REALTY GROUP-LAKE ELSINORE, LLC, a California limited liability company; CRAIG REALTY GROUP-SAN CLEMENTE, LLC, a California limited liability company; and DOES 1-50, Inclusive, Defendants.

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This Stipulation of Settlement of Class and PAGA Action Claims and Release of Claims is entered into by and between Plaintiff Rebecca Rivera (hereinafter "Plaintiff"), an individual, on behalf of herself, and on behalf of all persons similarly situated, and in her representative capacity on behalf of the State of California and the Aggrieved Employees, and Defendants Eureka Center Employees, LLC, Eureka Realty Partners, Inc., Craig Realty Group – Cabazon, LLC, and Craig Realty Group Citadel, LLC, (Hereafter, "Defendants"):

I. DEFINITIONS

- A. "Action" means the putative class action lawsuit designated *Rebecca Rivera v. Eureka Center Employees, LLC, et al.*, San Bernardino County Superior Court, Case CIVSB2308152, filed April 7, 2023.
- B. "Agreement" or "Settlement Agreement" means this Stipulation of Settlement of Class and PAGA Action Claims and Release of Claims.
- C. "Aggrieved Employees" means all persons who are or previously were employed by Defendants in California and classified as non-exempt employees at any time during the PAGA Period.
- D. "Aggrieved Employee Payment" means the twenty-five percent (25%) of the PAGA Payment (\$6,375) that will be distributed to the Aggrieved Employees as described in this Agreement.
- E. "Class" or the "Class Members" means all persons who are or previously were employed by Defendants in California and classified as non-exempt employees at any time during the Class Period.
- F. "Class Counsel" means Jean-Claude Lapuyade, Esq. of JCL Law Firm, APC, and Shani O. Zakay, Esq. of Zakay Law Group, APLC.
- G. "Class Counsel Award" means the award of fees and expenses that the Court authorizes to be paid to Class Counsel for the services they have rendered to Plaintiff, the Class Members, and the Aggrieved Employees in the Action, consisting of attorneys' fees currently not to exceed one-third of the Gross Settlement Amount currently estimated to be \$283,333.33 out of \$850,000.00, plus costs of up to

\$25,000.00. Attorneys' fees will be divided between Class Counsel in the following percentages, which Class Representative consented to: 50% to JCL Law Firm, APC, and 50% to Zakay Law Group, APLC.

- H. "Class Data" means information regarding Class Members that Defendants will in good faith compile from its records and provide to the Settlement Administrator. It shall be formatted as a Microsoft Excel spreadsheet and shall include: each Class Member's full name; last known address; Social Security Number; start dates and end dates of employment.
- I. "Class Period" means the period between April 7, 2019 and March 15, 2024.
- J. "Class Representative" means plaintiff Rebecca Rivera.
- K. "Court" means the Superior Court for the State of California, County of San Bernardino currently presiding over the Action.
- "Defendants" means Eureka Center Employees, LLC, Eureka Realty Partners, Inc,
 Craig Realty Group-Cabazon, LLC, and Craig Realty Group-Citadel, LLC.
- M. "Effective Date" means the first date upon which all of the following events have occurred:
 - this Agreement has been executed by all Parties and by Class Counsel and Defense Counsel;
 - 2. the Court has preliminarily approved the Settlement;
 - notice has been properly given to Class Members, providing them an opportunity to opt out of the Class and Settlement as described in this Agreement;
 - 4. the Court has held a Final Fairness and Approval Hearing and entered the Final Order and Judgment approving the Settlement; and,
 - 5. the later of: (a) the date sixty (60) days after the entry of the Final Order and Judgment, if no motions for reconsideration and no appeals or other efforts to obtain review have been filed; or (b) in the event that a motion for reconsideration, an appeal or other effort to obtain review of the Final Order

and Judgment is filed, the date sixty (60) days after such reconsideration, appeal or review has been finally concluded. In this regard, it is the intention of the Parties that the Effective Date of Settlement shall not be a date before the Court's order approving the Settlement has become completely final, and there is no timely recourse by any person who seeks to object to or otherwise contest the Settlement.

- N. "Funding Date" means the date by which Defendants have paid the entire Gross Settlement Amount to the Settlement Administrator in accord with the terms of this Agreement. Defendants will pay the Gross Settlement Amount to the Settlement Administrator thirty (30) business days after the Effective Date.
- O. "Gross Settlement Amount" means Eight Hundred Fifty Thousand Dollars and Zero Cents (\$850,000.00) that Defendants must pay into the QSF in connection with this Settlement, inclusive of the sum of Settlement Administration Costs, Class Counsel Award, Service Award, and the PAGA Payment. The Gross Settlement Amount is all-in with no reversion and *exclusive* of the employer's share of payroll tax, if any, triggered by any payment under this Settlement.
- P. "Individual Settlement Payments" means the amount payable from the Net Settlement
 Amount to each Settlement Class Member and excludes any amounts distributed to
 Aggrieved Employees pursuant to PAGA.
- Q. "LWDA" means the Labor and Workforce Development Agency.
- R. "LWDA Payment" means the seventy-five percent (75%) of the PAGA Payment (\$19,125) payable to the to the LWDA.
- S. "Net Settlement Amount" or "NSA" means the Gross Settlement Amount, less Class Counsel Award, Service Award, PAGA Payment, and Settlement Administration Costs.
- T. "Notice Packet" means the Class Notice to be provided to the Class Members by the Settlement Administrator in the form set forth as **Exhibit A** to this Agreement (other than formatting changes to facilitate printing by the Settlement Administrator).

GG. "Released Parties" means Defendants and their current and former parents, predecessors or successors, holding companies, affiliated companies, entities, owners, shareholders, members, partners, officers, directors, managers, employees, insurers and agents.

- HH. "Response Deadline" means the date forty-five (45) calendar days after the Settlement Administrator mails Notice Packets to Class Members and the last date on which Class Members may submit requests for exclusion or objections to the Settlement. Neither side shall encourage any Class Member to opt out.
- II. "Enhancement Award" means an award in the amount of \$10,000 or in an amount that the Court authorizes to be paid to the Class Representative, in addition to her Individual Settlement Payment and her Aggrieved Employee Payment, in recognition of her efforts and risks in assisting with the prosecution of the Action.
- JJ. "Settlement" means the disposition of the Action pursuant to this Agreement.
- KK. "Settlement Administration Costs" shall mean the amount paid to the Settlement Administrator from the Gross Settlement Amount for administering the Settlement pursuant to this Agreement currently estimated not to exceed \$10,550.00.
- LL. "Settlement Administrator" means Apex Class Action LLC, located at 18 Technology Drive, Suite 164, Irvine, CA 92618; Tel: (800) 355-0700. The Settlement Administrator establishes, designates, and maintains, as a QSF under Internal Revenue Code section 468B and Treasury Regulation section 1.468B-1, into which the amount of the Gross Settlement Amount is deposited for the purpose of resolving the claims of Settlement Class Members. The Settlement Administrator shall maintain the funds until distribution in an account(s) segregated from the assets of Defendants and any person related to Defendants. All accrued interest shall be paid and distributed to the Settlement Class Members as part of their respective Individual Settlement Payment.

MM. "Settlement Class Members" or "Settlement Class" means all Class Members who have not submitted a timely and valid request for exclusion as provided in this Agreement.

NN. "Workweeks," for purposes of calculating the distribution of the Net Settlement Amount, means the number of weeks of employment during the Class Period that each Class Member was employed by Defendants in California.

II. RECITALS

- A. On April 7, 2023, Plaintiff filed a Class Action complaint in the San Bernardino Superior Court, Case No. CIVSB2308152 (the "Action"), alleging claims for:
 - Unfair Competition in Violation of California Business and Professions Code §17200 et seq;
 - 2. Failure to Pay Minimum Wages in Violation of California Labor Code §§ 1194, 1197 & 1197.1;
 - Failure to Pay Overtime Wages in Violation of California Labor Code §§ 510, et seq;
 - 4. Failure to Provide Required Meal Periods in Violation of California Labor Code §§ 226.7 & 512 and The Applicable IWC Wage Order;
 - 5. Failure to Provide Required Rest Periods in Violation of California Labor Code§§ 226.7 & 512 and The Applicable IWC Wage Order;
 - 6. Failure to Provide Accurate Itemized Statements in Violation of California Labor Code § 226;
 - 7. Failure to Provide Wages When Due in Violation of California Labor Code §§ 201, 202 and 203;
 - 8. Failure to Reimburse Employees for Required Expenses in Violation of California Labor Code § 2802; and
 - 9. Failure to Provide Sick Pay and Failure to Provide Paid Sick Leave Balance in Violation of California Labor Code §§ 246, et seq.

- B. On April 7, 2023, Plaintiff filed a Notice of Violations with the Labor and Workforce Development Agency (LWDA) and served the same on Defendants.
- C. On August 15, 2023, Plaintiff filed a First Amended Complaint ("Operative Complaint") to incorporate a 10th cause of action and factual allegations for alleged violations of PAGA.
- D. The Class Representative believes she has claims based on alleged violations of the California Labor Code, and the Industrial Welfare Commission Wage Orders, and that class certification is appropriate because the prerequisites for class certification can be satisfied in the Action, and this action is manageable as a PAGA representative action.
- E. Defendants deny any liability or wrongdoing of any kind associated with the claims alleged in the Action, disputes any wages, damages and penalties claimed by the Class Representative, alleged in the Operative Complaint, and/or alleged in the Class Representative's PAGA notice to the LWDA are owed, and further contends that, for any purpose other than settlement, the Action is not appropriate for class or representative action treatment. Defendants contend, among other things, that at all times they complied with the California Labor Code and the Industrial Welfare Commission Wage Orders.
- F. The Class Representative is represented by Class Counsel. Class Counsel investigated the facts relevant to the Action, including conducting an independent investigation as to the allegations, reviewing documents and information exchanged through informal discovery, and reviewing documents and information provided by Defendants pursuant to informal requests for information to prepare for mediation. Defendants produced for the purpose of settlement negotiations certain employment data concerning the Class, which Class Counsel reviewed and analyzed with the assistance of an expert. Based on their own independent investigation and evaluation, Class Counsel are of the opinion that the Settlement with Defendants is fair, reasonable, and adequate, and is in the best interest of the Class considering all known facts and

circumstances, including the risks of significant delay, defenses asserted by Defendants, uncertainties regarding class certification, and numerous potential appellate issues. Although it denies any liability, Defendants agree to this Settlement solely to avoid the inconveniences and cost of further litigation. The Parties and their counsel have agreed to settle the claims on the terms set forth in this Agreement.

- G. On August 22, 2024, the Parties participated in mediation presided over by Lynn Frank, Esq., an experienced mediator of wage and hour class and PAGA actions. The mediation concluded without a settlement, but Mediator Frank made a mediator's proposal, which was accepted by both Parties. Mediator Frank's settlement proposal was memorialized in the form of a Memorandum of Understanding ("MOU").
- H. This Agreement replaces and supersedes the Memorandum of Understanding and any other agreements, understandings, or representations between the Parties. This Agreement represents a compromise and settlement of highly disputed claims. Nothing in this Agreement is intended or will be construed as an admission by Defendants that the claims in the Action of Plaintiff or the Class Members have merit or that Defendants bear any liability to Plaintiff or the Class on those claims or any other claims, or as an admission by Plaintiff that Defendants' defenses in the Action have merit.
- I. The Parties believe that the Settlement is fair, reasonable, and adequate. The Settlement was arrived at through arm's-length negotiations, considering all relevant factors. The Parties recognize the uncertainty, risk, expense, and delay attendant to continuing the Action through trial and any appeal. Accordingly, the Parties desire to settle, compromise and discharge all disputes and claims arising from or relating to the Action fully, finally, and forever.
- J. The Parties agree to certification of the Class for purposes of this Settlement only. If for any reason the settlement does not become effective, Defendants reserve the right to contest certification of any class for any reason and reserve all available defenses to the claims in the Action. The Settlement, this Agreement, and the Parties'

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willingness to settle the Action will have no bearing on and will not be admissible in connection with any litigation.

Based on these Recitals that are a part of this Agreement, the Parties agree as follows:

III. TERMS OF AGREEMENT

- A. Settlement Consideration and Settlement Payments by Defendants.
 - Settlement Consideration. In full and complete settlement of the Action, and 1. in exchange for the releases set forth below, Defendants will pay the sum of the Individual Settlement Payments, the Service Award, the Class Counsel Award, PAGA Payment, and the Settlement Administration Costs, as specified in this Agreement, equal to the Gross Settlement Amount of Eight Hundred Fifty Thousand Dollars and Zero Cents (\$850,000.00). The Parties agree that this is a non-reversionary Settlement and that no portion of the Gross Settlement Amount shall revert to Defendants. Other than the Defendants' share of employer payroll taxes and as provided in Section III.A.4 below, Defendants shall not be required to pay more than the Gross Settlement Amount.
 - Class Size. At the time of mediation, Defendants estimated that there are 2. approximately 640 Class Members who worked approximately 44,000 Workweeks during the Class Period. The Parties acknowledge and agree that this data (and other information provided by Defendants for purposes of mediation) was relied on to extrapolate and reach an arms-length negotiation of Plaintiff's claims in the Action through the Class Period. Should the number of workweeks increase by more than 10% of what was represented at the mediation during the Class Period, the Gross Settlement Amount will be increased on a pro-rata basis for any workweek or pay period added above the 10% increase. For example, if the number is 11% or higher, the Settlement Amount will be increased by 1%. Defendants will provide a declaration under penalty of perjury confirming the number of applicable Class Members and

workweeks they worked during the applicable Class Period one week prior to Plaintiff's deadline to file her motion for preliminary approval of the settlement.

- Settlement Payment. Defendants shall deposit the Gross Settlement Amount into the QSF, through the Settlement Administrator on or before the Funding Date. Any interest accrued will be added to the NSA and distributed to the Settlement Class Members.
- 4. <u>Defendants' Share of Payroll Taxes</u>. Defendants' share of employer side payroll taxes is in addition to the Gross Settlement Amount and shall be paid together with the Gross Settlement Amount on the Funding Date.
- B. Release by Settlement Class Members. As of the Funding Date, in exchange for the consideration set forth in this Agreement, Plaintiff and the Settlement Class Members release the Released Parties from the Released Class Claims for the Class Period.
- C. Release by the Aggrieved Employees. As of the Funding Date, in exchange for the consideration set forth in this Agreement, the Plaintiff, the LWDA and the State of California release the Released Parties from the Released PAGA Claims for the PAGA Period. As a result of this release, the Aggrieved Employees shall be precluded from bringing claims against Defendants for the Released PAGA Claims.
- D. <u>Conditions Precedent</u>: This Settlement will become final and effective only upon the Effective Date and funding in full of the Gross Settlement Amount by Defendants.
- E. <u>Nullification of Settlement Agreement</u>. If the Court does not preliminarily or finally approve this Settlement Agreement, it fails to become effective, or is reversed, withdrawn, or modified by the Court, or the Court in any way prevents or prohibits Defendants from obtaining a complete resolution of the Released Class Claims and Released PAGA Claims, or if Defendants fail to fully fund the Gross Settlement Amount:

- This Settlement Agreement shall be void ab initio and of no force or effect, and shall not be admissible in any judicial, administrative, or arbitral proceeding for any purpose or with respect to any issue, substantive or procedural;
- 2. The conditional class certification (obtained for any purpose) shall be void ab initio and of no force or effect, and shall not be admissible in any judicial, administrative, or arbitral proceeding for any purpose or with respect to any issue, substantive or procedural; and
- 3. None of the Parties to this Settlement will be deemed to have waived any claims, objections, defenses, or arguments in the Action, including with respect to the issue of class certification.
- F. In the event that Defendants fail to fund the Gross Settlement Amount, Defendants shall bear the sole responsibility for any cost to issue or reissue any curative notice to the Settlement Class Members and all Settlement Administration Costs incurred to the date of nullification.
- G. Certification of the Class. The Parties stipulate to conditional class certification of the Class for the Class Period for purposes of settlement only. In the event that this Settlement is not approved by the Court, fails to become effective, or is reversed, withdrawn or modified by the Court, or in any way prevents or prohibits Defendants from obtaining a complete resolution of the Released Class Claims and Released PAGA Claims, the conditional class certification (obtained for any purpose) shall be void *ab initio* and of no force or effect, and shall not be admissible in any judicial, administrative or arbitral proceeding for any purpose or with respect to any issue, substantive or procedural.
- H. <u>Tax Liability</u>. The Parties make no representations as to the tax treatment or legal effect of the payments called for, and Class Members and/or Aggrieved Employees are not relying on any statement or representation by the Parties in this regard. Class Members and/or Aggrieved Employees understand and agree that they will be

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Settlement Payments and/or Aggrieved Employees' individual shares of the Aggrieved

Employee Payment described and will be solely responsible for any penalties or other

obligations resulting from their personal tax reporting of Individual Settlement

Payments and/or Aggrieved Employees' individual shares of the Aggrieved Employee

Payment.

I. Circular 230 Disclaimer. Each Party to this Agreement (for purposes of this section, the "acknowledging party" and each Party to this Agreement other than the acknowledging party, an "other party") acknowledges and agrees that: (1) no provision of this Agreement, and no written communication or disclosure between or among the Parties or their attorneys and other advisers, is or was intended to be, nor shall any such communication or disclosure constitute or be construed or be relied upon as, tax advice within the meaning of United States Treasury Department circular 230 (31 CFR part 10, as amended); (2) the acknowledging party (a) has relied exclusively upon his, her or its own, independent legal and tax counsel for advice (including tax advice) in connection with this Agreement, (b) has not entered into this Agreement based upon the recommendation of any other Party or any attorney or advisor to any other Party, and (c) is not entitled to rely upon any communication or disclosure by any attorney or adviser to any other party to avoid any tax penalty that may be imposed on the acknowledging party, and (3) no attorney or adviser to any other Party has imposed any limitation that protects the confidentiality of any such attorney's or adviser's tax strategies (regardless of whether such limitation is legally binding) upon disclosure by the acknowledging party of the tax treatment or tax structure of any transaction, including any transaction contemplated by this Agreement.

J. <u>Preliminary Approval Motion</u>. Class Counsel shall draft and file the motion for preliminary approval within a reasonable time of execution of this Agreement, or within the statutory timeframe as determined by the Court's setting of the preliminary approval hearing, which shall include this Settlement Agreement. Plaintiff will provide

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Defendants with a draft of the Preliminary Approval Motion at least 3 business days prior to the filing of the Preliminary Approval Motion to give Defendants an opportunity to propose changes or additions to the Preliminary Approval Motion.

Settlement Administrator. The Settlement Administrator shall be responsible for: establishing and administering the QSF; calculating, processing and mailing payments to the Class Representative, Class Counsel, LWDA and Class Members; printing and mailing the Notice Packets to the Class Members as directed by the Court; receiving and reporting the objections and requests for exclusion; calculating, deducting and remitting all legally required taxes from Individual Settlement Payments and distributing tax forms for the Wage Portion, the Penalties Portion and the Interest Portion of the Individual Settlement Payments and/or Aggrieved Employees' individual shares of the Aggrieved Employee Payment; processing and mailing tax payments to the appropriate state and federal taxing authorities; providing declaration(s) as necessary in support of preliminary and/or final approval of this Settlement; and other tasks as the Parties mutually agree or the Court orders the Settlement Administrator to perform. The Settlement Administrator shall keep the Parties timely apprised of the performance of all Settlement Administrator responsibilities by, among other things, sending a weekly status report to the Parties' counsel stating the date of the mailing, the number of opt outs from the Settlement it receives (including the numbers of valid and deficient), and number of objections received.

L. Notice Procedure.

- Class Data. No later than ten (10) days after the Preliminary Approval Date,
 Defendants shall provide the Settlement Administrator with the Class Data for
 purposes of preparing and mailing Notice Packets to the Class Members.
- 2. Notice Packets.
 - a) The Notice Packet shall contain the Notice of Class Action Settlement in a form substantially similar to the form attached as **Exhibit A**,

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including Spanish translation. The Notice of Class Action Settlement shall inform Class Members and Aggrieved Employees that they need not do anything in order to receive an Individual Settlement Payment and/or Aggrieved Employees' individual shares of the Aggrieved Employee Payment and to keep the Settlement Administrator apprised of their current mailing address, to which the Individual Settlement Payments and/or Aggrieved Employees' individual shares of the Aggrieved Employee Payment will be mailed following the Funding Date. The Notice of Class Action Settlement shall set forth the release to be given by all members of the Class who do not request to be excluded from the Settlement Class and/or Aggrieved Employees in exchange for an Individual Settlement Payment and/or Aggrieved Employees' individual shares of the Aggrieved Employee Payment, the number of Workweeks worked by each Class Member during the Class Period, and number of PAGA Periods worked by each Aggrieved Employee during the PAGA Period, if any, and the estimated amount of their Individual Settlement Payment if they do not request to be excluded from the Settlement and each Aggrieved Employees' share of the Aggrieved Employee Payment, if any. The Settlement Administrator shall use the Class Data to determine Class Members' Workweeks and PAGA Pay Periods. The Notice will also advise the Aggrieved Employees that they will release the Released PAGA Claims and will receive their share of the Aggrieved Employee Payment regardless of whether they request to be excluded from the Settlement.

b) The Notice Packet's mailing envelope shall include the following language: "IMPORTANT LEGAL DOCUMENT- YOU MAY BE ENTITLED TO PARTICIPATE IN A CLASS ACTION

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SETTLEMENT; A PROMPT REPLY TO CORRECT YOUR ADDRESS IS REQUIRED AS EXPLAINED IN THE ENCLOSED NOTICE."

- 3. Notice by First Class U.S. Mail. Upon receipt of the Class Data, the Settlement Administrator will perform a search based on the National Change of Address Database to update and correct any known or identifiable address changes. No later than twenty-one (21) calendar days after preliminary approval of the Settlement, the Settlement Administrator shall mail copies of the Notice Packet to all Class Members via regular First-Class U.S. Mail and electronic mail. The Settlement Administrator shall exercise its best judgment to determine the current mailing address for each Class Member. The address identified by the Settlement Administrator as the current mailing address shall be presumed to be the best mailing address for each Class Member.
- Undeliverable Notices. Any Notice Packets returned to the Settlement 4. Administrator as non-delivered on or before the Response Deadline shall be re-mailed to any forwarding address provided within seven (7) days of receiving the returned notice. If no forwarding address is provided, the Settlement Administrator shall promptly attempt to determine a correct address by lawful use of skip-tracing, or other search using the name, address and/or Social Security number of the Class Member involved, and shall then perform a re-mailing, if another mailing address is identified by the Settlement Administrator. In addition, if any Notice Packets, which are addressed to Class Members who are currently employed by Defendant, are returned to the Settlement Administrator as non-delivered and no forwarding address is provided, the Settlement Administrator shall notify Defendants. Defendants will request that the currently employed Class Member provide a corrected address and transmit to the Settlement Administrator any corrected address provided by the Class Member. Class Members who received a re-mailed

Notice Packet shall have their Response Deadline extended fifteen (15) days from the original Response Deadline.

- 5. Disputes Regarding Individual Settlement Payments. Class Members will have the opportunity, should they disagree with Defendants' records regarding the start and end dates of employment, to provide documentation and/or an explanation to show contrary dates. If there is a dispute, the Settlement Administrator will consult with the Parties to determine whether an adjustment is warranted. The Settlement Administrator shall determine the eligibility for, and the amounts of, any Individual Settlement Payments under the terms of this Agreement. The Settlement Administrator's determination of the eligibility for and amount of any Individual Settlement Payment shall be binding upon the Class Member and the Parties.
- 6. <u>Disputes Regarding Administration of Settlement</u>. Any disputes not resolved by the Settlement Administrator concerning the administration of the Settlement will be resolved by the Court under the laws of the State of California. Before any such involvement of the Court, counsel for the Parties will confer in good faith to resolve the disputes without the necessity of involving the Court.
- Packet shall state that Class Members who wish to exclude themselves from the Settlement must submit a written request for exclusion to the Settlement Administrator by the Response Deadline. The written request for exclusion must state that the Class Member wishes to exclude himself or herself from the Settlement and (1) must contain the name, address, and the last four digits of the Social Security number of the person requesting exclusion; (2) must be signed by the Class Member; (3) must be postmarked or fax stamped by the Response Deadline and returned to the Settlement Administrator at the specified address or fax telephone number; and (4) contain a typewritten or

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handwritten notice stating in substance that he or she wishes to be excluded from the settlement of the class action lawsuit entitled Rebecca Rivera v. Eureka Center Employees, LLC, et al., currently pending in Superior Court of San Bernardino, Case No. CIVSB2308152. The request for exclusion will not be valid if it is not timely submitted, if it is not signed by the Class Member, or if it does not contain the name and address and last four digits of the Social Security number of the Class Member. The date of the postmark on the mailing envelope or fax stamp on the request for exclusion shall be the exclusive means used to determine whether the request for exclusion was timely submitted. Any Class Member who submits a timely request for exclusion shall be excluded from the Settlement Class, will not be entitled to an Individual Settlement Payment and will not be otherwise bound by the terms of the Settlement or have any right to object, appeal or comment thereon. However, any Class Member that submits a timely request for exclusion that is also a member of the Aggrieved Employees will still receive his/her pro rata share of the PAGA Payment, as specified below, and in consideration, will be bound by the Release by the Aggrieved Employees as set forth herein. Settlement Class Members who fail to submit a valid and timely request for exclusion on or before the Response Deadline shall be bound by all terms of the Settlement and any final judgment entered in this Action if the Settlement is approved by the Court. No later than twenty-one (21) calendar days after the Response Deadline, the Settlement Administrator shall provide counsel for the Parties with a final list of the Class Members who have timely submitted timely requests for exclusion. At no time shall any of the Parties or their counsel seek to solicit or otherwise encourage members of the Class to submit requests for exclusion from the Settlement.

Objections. The Notice of Class Action Settlement contained in the Notice
 Packet shall state that Class Members who wish to object to the Settlement

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may submit to the Settlement Administrator a written statement of objection ("Notice of Objection") by the Response Deadline. The postmark date of mailing shall be deemed the exclusive means for determining that a Notice of Objection was served timely. The Notice of Objection, if in writing, must be signed by the Settlement Class Member and state: (1) the case name and number; (2) the name of the Settlement Class Member; (3) the address of the Settlement Class Member; (4) the last four digits of the Settlement Class Member's Social Security number; (5) the basis for the objection; and (6) if Settlement Class Member intends to appear at the Final Approval/Settlement Fairness Hearing. Settlement Class Members who fail to make objections in writing in the manner specified above may still make their objections orally at the Final Approval/Settlement Fairness Hearing with the Court's permission. Settlement Class Members will have a right to appear at the Final Approval/Settlement Fairness Hearing to have their objections heard by the Court regardless of whether they submitted a written objection. At no time shall any of the Parties or their counsel seek to solicit or otherwise encourage Class Members to file or serve written objections to the Settlement or appeal from the Order and Final Judgment as defined under the Effective Date. Class Members who submit a written request for exclusion may not object to the Settlement. Class Members may not object to the PAGA Payment.

M. Allocation of the Gross Settlement Amount.

1. Calculation of Individual Settlement Payments. Individual Settlement Payments shall be paid from the Net Settlement Amount and shall be paid pursuant to the formula set forth herein. Using the Class Data, the Settlement Administrator shall add up the total number of Workweeks for all Class Members. The respective Workweeks for each Class Member will be divided by the total Workweeks for all Class Members, resulting in the Payment Ratio

for each Class Member. Each Class Member's Payment Ratio will then be multiplied by the Net Settlement Amount to calculate each Class Member's estimated Individual Settlement Payments. Each Individual Settlement Payment will be reduced by any legally mandated employee tax withholdings (e.g., employee payroll taxes, etc.). Individual Settlement Payments for Class Members who submit valid and timely requests for exclusion will be redistributed to Settlement Class Members who do not submit valid and timely requests for exclusion on a pro rata basis based on their respective Payment Ratios.

- Calculation of Individual Payments to the Aggrieved Employees. Using the Class Data, the Settlement Administrator shall add up the total number of PAGA Pay Periods for all Aggrieved Employees during the PAGA Period. The respective PAGA Pay Periods for each Aggrieved Employees will be divided by the total PAGA Pay Periods for all Aggrieved Employees, resulting in the "PAGA Payment Ratio" for each Aggrieved Employee. Each Aggrieved Employee's PAGA Payment Ratio will then be multiplied by the Aggrieved Employee Payment to calculate each Aggrieved Employee's estimated share of the Aggrieved Employee Payment.
- 3. Allocation of Individual Settlement Payments. For tax purposes, Individual Settlement Payments shall be allocated and treated as 20% wages ("Wage Portion") and 80% penalties, reimbursement of expenses, and interest ("Penalties, Interest, and Reimbursement Portion"). The Wage Portion of the Individual Settlement Payments shall be reported on IRS Form W-2 and the Penalties, Interest, and Reimbursement Portion of the Individual Settlement Payments shall be reported on IRS Form 1099 issued by the Settlement Administrator.

- Allocation of Aggrieved Employee Payments. For tax purposes, Aggrieved
 Employee Settlement Payments shall be allocated and treated as 100%
 penalties and shall be reported on IRS Form 1099.
- 5. No Credit Toward Benefit Plans. The Individual Settlement Payments and individual shares of the PAGA Payment made to Settlement Class Members and/or Aggrieved Employees under this Settlement Agreement, as well as any other payments made pursuant to this Settlement Agreement, will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any Class Members may be entitled under any benefit plans.
- 6. Tax Treatment of Settlement Funds Received and Paid. All monies received by Settlement Class Members under the Settlement which are attributable to wages shall constitute income to such Settlement Class Members solely in the year in which such monies are received by the Settlement Class Members. It is the intent of the Parties that Individual Settlement Payments and individual shares of the PAGA Payment provided for in this Settlement agreement are the sole payments to be made by Defendants to Settlement Class Members and/or Aggrieved Employees in connection with this Settlement Agreement, with the exception of Plaintiff, and that the Settlement Class Members and/or Aggrieved Employees are not entitled to any new or additional compensation or benefits as a result of having received the Individual Settlement Payments and/or their shares of the Aggrieved Employee Payment.
- Mailing. Individual Settlement Payments and Aggrieved Employee Payments shall be mailed by regular First-Class U.S. Mail to Settlement Class Members'

and/or Aggrieved Employees' last known mailing address no later than fifteen (15) calendar days after the Funding Date.

- 8. Expiration. Any checks issued to Settlement Class Members and Aggrieved Employees shall remain valid and negotiable for one hundred and eighty (180) days from the date of their issuance. If a Settlement Class Member and/or Aggrieved Employee does not cash his or her settlement check within ninety (90) days, the Settlement Administrator will send a letter to such persons, advising that the check will expire after the 180th day, and invite that Settlement Class Member and/or Aggrieved Employee to request reissuance in the event the check was destroyed, lost, or misplaced. In the event an Individual Settlement Payment and/or Aggrieved Employees Payment has not been cashed within one hundred and eighty (180) days, all funds represented by such uncashed checks, plus any interest accrued thereon, shall be transmitted to the California State Controller's Office, Unclaimed Property Fund.
- 9. Service Award. In addition to her Individual Settlement Payment as a Settlement Class Member and her individual share of the Aggrieved Employee Payment, Plaintiff will apply to the Court for an award of not more than \$10,000.00, as the Service Award. Defendants will not oppose a Service Award of not more than \$10,000.00 for Plaintiff. The Settlement Administrator shall pay the Service Award, either in the amount stated herein if approved by the Court or some other amount as approved by the Court, to Plaintiff from the Gross Settlement Amount no later than fifteen (15) calendar days after the Funding Date. Any portion of the requested Service Award that is not awarded to the Class Representative shall be part of the Net Settlement Amount and shall be distributed to Settlement Class Members as provided in this Agreement. The Settlement Administrator shall issue an IRS Form 1099

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legally responsible to pay any and all applicable taxes on her Service Award and shall hold harmless the Released Parties from any claim or liability for taxes, penalties, or interest arising as a result of the Service Award. Approval of this Settlement shall not be conditioned on Court approval of the requested amount of the Service Award. If the Court reduces or does not approve the requested Service Award, Plaintiff shall not have the right to revoke the Settlement, and it will remain binding.

Class Counsel Award. Defendants understand, and will not oppose, a motion for Class Counsel Award not to exceed one-third of the Gross Settlement Amount currently estimated to be Two Hundred Eighty-Three Thousand, Three Hundred Thirty-Three Dollars and Thirty-Three Cents (\$283,333.33) and reimbursable litigation expenses supported by declaration up to and not to exceed Twenty-Five Thousand Dollars and Zero Cents (\$25,000.00). Any awarded Class Counsel Award shall be paid from the Gross Settlement Amount. Any portion of the requested Class Counsel Award that is not awarded to Class Counsel shall be part of the Net Settlement Amount and shall be distributed to Settlement Class Members as provided in this Agreement. The Settlement Administrator shall allocate and pay the Class Counsel Award from the Gross Settlement Amount no later than fifteen (15) calendar days after the Funding Date. Class Counsel shall be solely and legally responsible to pay all applicable taxes on the payments made pursuant to this paragraph. The Settlement Administrator shall issue an IRS Form 1099 — MISC to Class Counsel for the payments made pursuant to this paragraph. If the Court reduces or does not approve the requested Class Counsel Award, Plaintiff and Class Counsel shall not have the right to revoke the Settlement, or to appeal such order, and the Settlement will remain binding.

PAGA Payment. Twenty-Five Thousand, Five Hundred Dollars and Zero
 Cents (\$25,500.00) shall be allocated from the Gross Settlement Amount for

settlement of claims for civil penalties under the Private Attorneys General Act of 2004 ("PAGA Payment"). The Settlement Administrator shall pay seventy-five percent (75%) of the PAGA Payment (\$19,125) to the California Labor and Workforce Development Agency no later than fifteen (15) calendar days after the Effective Date (hereinafter "LWDA Payment"). Twenty-five percent (25%) of the PAGA Payment (\$6,375) will be distributed to the Aggrieved Employees as described in this Agreement (hereinafter "Aggrieved Employee Payment"). For purposes of distributing the PAGA Payment to the Aggrieved Employees, each Aggrieved Employee shall receive their pro-rata share of the Aggrieved Employee Payment using the PAGA Payment Ratio as defined above.

- Settlement Administration Costs. The Settlement Administrator shall be paid 12. for the costs of administration of the Settlement from the Gross Settlement Amount. The estimate of the Settlement Administration Costs is \$10,550.00. The Settlement Administrator shall be paid the Settlement Administration Costs no later than fifteen (15) calendar days after the Funding Date.
- Final Approval Motion. Class Counsel and Plaintiff shall use best efforts to file with N. the Court a Motion for Order Granting Final Approval and Entering Judgment, within twenty-eight (28) days following the expiration of the Response Deadline, which motion shall request final approval of the Settlement and a determination of the amounts payable for the Service Award, the Class Counsel Award, the PAGA Payment, and the Settlement Administration Costs. Plaintiff will provide Defendants with a draft of the Final Approval Motion at least three (3) business days prior to the filing of the Final Approval Motion to give Defendants an opportunity to propose changes or additions to the Final Approval Motion.
 - Declaration by Settlement Administrator. No later than seven (7) days after 1. the Response Deadline, the Settlement Administrator shall submit a declaration in support of Plaintiff's motion for final approval of this

Settlement detailing the number of Notice Packets mailed and re-mailed to Class Members, the number of undeliverable Notice Packets, the number of timely requests for exclusion, the full names of any Class Members who opt out of the Settlement, the number of objections received, the amount of the average, lowest, and highest Individual Settlement Payments, the amount of the average, lowest, and highest Aggrieved Employee Payments, the Settlement Administration Costs, and any other information as the Parties mutually agree or the Court orders the Settlement Administrator to provide.

- Final Approval Order and Judgment. Class Counsel shall present an Order Granting Final Approval of Class Action Settlement to the Court for its approval, and Judgment thereon, at the time Class Counsel files the Motion for Final Approval.
- O. Review of Motions for Preliminary and Final Approval. Class Counsel will provide an opportunity for Counsel for Defendants to review the Motions for Preliminary and Final Approval, including the Order Granting Final Approval of Class Action Settlement, and Judgment at least three (3) business days in advance of filing with the Court. The Parties and their counsel will cooperate with each other and use their best efforts to affect the Court's approval of the Motions for Preliminary and Final Approval of the Settlement, and entry of Judgment.
- P. <u>Cooperation</u>. The Parties and their counsel will cooperate with each other and use their best efforts to implement the Settlement.
- Q. <u>Interim Stay of Proceedings</u>. The Parties agree to stay all proceedings in the Action, except such proceedings necessary to implement and complete the Settlement, pending the Final Approval/Settlement Fairness Hearing to be conducted by the Court.
- R. <u>Amendment or Modification</u>. This Agreement may be amended or modified only by a written instrument signed by counsel for all Parties or their successors-in-interest.
- S. <u>Entire Agreement</u>. This Agreement and any attached Exhibit constitute the entire Agreement among these Parties, and no oral or written representations, warranties or

inducements have been made to any Party concerning this Agreement or its Exhibit other than the representations, warranties and covenants contained and memorialized in this Agreement and its Exhibit.

- T. Authorization to Enter into Settlement Agreement. Counsel for all Parties warrant and represent they are expressly authorized by the Parties whom they represent to negotiate this Agreement and to take all appropriate Action required or permitted to be taken by such Parties pursuant to this Agreement to effectuate its terms, and to execute any other documents required to effectuate the terms of this Agreement. The persons signing this Agreement on behalf of Defendants represent and warrant that he/she is authorized to sign this Agreement on behalf of Defendants. Plaintiff represents and warrants that she is authorized to sign this Agreement and that she has not assigned any claim, or part of a claim, covered by this Settlement to a third-party. The persons signing this Agreement on behalf of Plaintiff represent and warrant that he/she is authorized to sign this Agreement on behalf of Plaintiffs.
- U. No Public Comment: The Parties and their counsel agree that they will not issue any press releases, initiate any contact with the press, respond to any press inquiry, or have any communication with the press about the fact, amount, or terms of the Settlement Agreement. Class Counsel further agrees not to use the Settlement Agreement or any of its terms for any marketing or promotional purposes. Nothing herein will restrict Class Counsel from including publicly available information regarding this settlement in future judicial submissions regarding Class Counsel's qualifications and experience. Further, Class Counsel will not include, reference, or use the Settlement Agreement for any marketing or promotional purposes, either before or after the Motion for Preliminary Approval is filed.
- V. <u>Binding on Successors and Assigns</u>. This Agreement shall be binding upon, and inure to the benefit of, the successors or assigns of the Parties, as previously defined.
- W. <u>California Law Governs</u>. All terms of this Agreement and the Exhibit and any disputes shall be governed by and interpreted according to the laws of the State of California.

- X. <u>Counterparts</u>. This Agreement may be executed in one or more counterparts. All executed counterparts and each of them shall be deemed to be one and the same instrument provided that counsel for the Parties to this Agreement shall exchange among themselves copies or originals of the signed counterparts.
- Y. This Settlement Is Fair, Adequate, and Reasonable. The Parties believe this Settlement is a fair, adequate, and reasonable settlement of this Action and have arrived at this Settlement after extensive arms-length negotiations, taking into account all relevant factors, present and potential.
- Z. <u>Jurisdiction of the Court</u>. The Parties agree that the Court shall retain jurisdiction with respect to the interpretation, implementation, and enforcement of the terms of this Agreement and all orders and judgments entered in connection therewith, and the Parties and their counsel submit to the jurisdiction of the Court for purposes of interpreting, implementing and enforcing the settlement and all orders and judgments entered in connection with this Agreement.
- AA. <u>Invalidity of Any Provision</u>. Before declaring any provision of this Agreement invalid, the Court shall first attempt to construe the provisions valid to the fullest extent possible consistent with applicable precedents so as to define all provisions of this Agreement valid and enforceable.
- BB. <u>Waiver of Certain Appeals</u>. The Parties agree to waive appeals and to stipulate to class certification for purposes of this settlement only.

CC. No Admissions by the Parties. Plaintiff has claimed and continues to claim that the Released Class Claims and Released PAGA Claims have merit and give rise to liability on the part of Defendants. Defendants claim that the Released Class Claims and Released PAGA Claims have no merit and do not give rise to liability. This Agreement is a compromise of disputed claims. Nothing contained in this Agreement, no documents referred to, and no action taken to carry out this Agreement may be construed or used as an admission by or against the Defendants or Plaintiff or Class Counsel as to the merits or lack thereof of the claims asserted. Other than as may be specifically set forth herein, each Party shall be responsible for and shall bear its/her own attorney's fees and costs.

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1	IT IS SO AGREED, FORM AND CONTENT,	BY PLAINTIFF:
2	DATED:	
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4		REBECCA RIVERA
5	IT IS SO AGREED, FORM AND CONTENT,	BY DEFENDANTS:
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7	DATED:	
8		EUREKA CENTER EMPLOYEES, LLC
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10		Printed Name
11		Title
12		Title
13	DATED:	EUREKA REALTY PARTNERS, INC.
14		
15		Printed Name
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17		Title
18		
19	DATED:	EUREKA REALTY PARTNERS, INC.
20		EURENA REALTT FARTNERS, INC.
21		Printed Name
22		
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	STIPULATION OF SETTLEMENT OF CLASS	AND PAGA ACTION CLAIMS AND RELEASE OF CLAIMS

1	DATED:	
2		CRAIG REALTY GROUP-CABAZON, LLC
3		Deints d Name
4		Printed Name
5		Title
6		
7		
8	DATED:	CRAIG REALTY GROUP-CITADEL, LLC
9		
10		Printed Name
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	STIPULATION OF SETTLEMENT OF CLASS	AND PAGA ACTION CLAIMS AND RELEASE OF CLAIMS

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1	IT IS SO ACREED AS TO FORM BY SO!	INCEL.
	IT IS SO AGREED AS TO FORM BY COU	JNSEL:
2 3	DATED:	JCL LAW FIRM, A.P.C.
4		By:
5		
6		Attorneys for Plaintiff and the Settlement Class Members
7		
8		
9	DATED:	ZAKAY LAW GROUP, APLC
10		By:
11		
12		Attorneys for Plaintiff and the Settlement Class Members
13		
14		
15		
16	DATED:	CRUSER, MITCHELL, NOVITZ, SANCHEZ, GASTON & ZIMET, LLP
17		By:
18		Ian McClain-Sewer, Esq.
19		Attorney for Defendants
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	STIPULATION OF SETTLEMENT OF CLASS AND PAGA ACTION CLAIMS AND RELEASE OF CLAIMS	

EXHIBIT A

NOTICE OF PENDENCY OF CLASS AND REPRESENTATIVE ACTION SETTLEMENT AND FINAL HEARING DATE

(Rebecca Rivera v. Eureka Center Employees, LLC, et al., San Bernardino County Superior Court Case No. CIVSB2308152)

YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR DO NOT ACT. PLEASE READ THIS NOTICE CAREFULLY.

SUMMARY OF Y	OUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:	
Do Nothing and	To receive a cash payment from the Settlement, you do not have to do	
Receive a Payment	anything.	
	Your estimated Individual Settlement Payment is: \$<<>>. See the	
	explanation below.	
	After final approval by the Court, the payment will be mailed to you at the same address as this notice. If your address has changed, please notify the	
	Settlement Administrator as explained below. In exchange for the settlement payment, you will release claims against the Defendants as detailed below.	
Exclude Yourself	If you wish to exclude yourself from the Settlement, you must send a written request for exclusion to the Settlement Administrator as provided below. If you request exclusion, you will receive no money from the	
	Settlement.	
	Instructions are set forth below.	
Object	You may write to the Court about why you believe the settlement should	
•	not be approved.	
	Directions are provided below.	

1. Why did I get this Notice?

A proposed class action settlement (the "Settlement") of this lawsuit pending in the Superior Court for the State of California, County of San Bernardino (the "Court") has been reached between Plaintiff Rebecca Rivera ("Plaintiff") and Defendants Eureka Center Employees, LLC, Eureka Realty Partners, Inc, Craig Realty Group-Cabazon, LLC, and Craig Realty Group-Citadel, LLC ("Defendants"). The Court has granted preliminary approval of the Settlement. You may be entitled to receive money from this Settlement.

You have received this Class Notice because you have been identified as a member of the Class, which is defined as:

All persons who are or previously were employed by Defendants in California and classified as non-exempt employees - at any time between April 7, 2019 and March 15, 2024 ("Class Period").

This Class Notice explains the lawsuit, the Settlement, and your legal rights. It is important that you read this Notice carefully as your rights may be affected by the Settlement.

2. What is this class action lawsuit about?

On April 7, 2023, Plaintiff filed a Class Action Complaint against Defendants in the Superior Court of the State of California, County of San Bernardino. Plaintiff asserted claims against Defendants for: (1) Unfair Competition in Violation of California Business & Professions Code §17200 et seq; (2) Failure to Pay Minimum Wages in Violation of California Labor Code §§ 1194, 1197 & 1197.1; (3) Failure to Pay Overtime Wages in Violation of California Labor Code §§ 510, et seq; (4) Failure to Provide Required Meal Periods in Violation of

California Labor Code §§ 226.7 & 512 and The Applicable IWC Wage Order; (5) Failure to Provide Required Rest Periods in Violation of California Labor Code §§ 226.7 & 512 and The Applicable IWC Wage Order; (6) Failure to Provide Accurate Itemized Statements in Violation of California Labor Code § 226; (7) Failure to Provide Wages When Due in Violation of California Labor Code §§ 201, 202 and 203; (8) Failure to Reimburse Employees For Required Expenses in Violation of California Labor Code § 2802; and (9) Failure to Provide Sick Pay and Failure to Provide Paid Sick Leave Balance in Violation of California Labor Code § 246, et seq. (the "Action"). On April 7, 2023, Plaintiff filed a Notice of Violations with the Labor and Workforce Development Agency (LWDA) and served the same on Defendants. On August 15, 2023, Plaintiff filed an amended complaint adding a cause of action and factual allegations for alleged violations of PAGA (the "Operative Complaint").

Defendants expressly deny any liability or wrongdoing of any kind associated with the claims alleged in the Action, dispute any wages, damages and penalties claimed by the Class Representative are owed, and further contend that, for any purpose other than settlement, the Action is not appropriate for class or representative action treatment. Defendants contend, among other things, that at all times it complied with the California Labor Code and the Industrial Welfare Commission Wage Orders.

On August 22, 2024, the Parties participated in a mediation presided over by Lynn Frank, Esq., an experienced mediator of wage and hour class and PAGA actions. The mediation concluded without a settlement, but Mediator Frank made a mediator's proposal that was accepted by the Parties. The Court granted preliminary approval of the Settlement on <<INSERT PRELIMINARY APPROVAL DATE>>. At that time, the Court also preliminarily approved the Plaintiff to serve as the Class Representative, and the law firms of JCL Law Firm, APC and Zakay Law Group, APLC to serve as Class Counsel.

3. What are the terms of the Settlement?

Gross Settlement Amount. Defendants have agreed to pay an "all in" amount of Eight Hundred Fifty Thousand Dollars and Zero Cents (\$850,000.00) (the "Gross Settlement Amount") to fund the settlement. The Gross Settlement Amount includes the payment of all Individual Settlement Payments, Settlement Administration Costs, Class Counsel Award, Service Award, and the PAGA Payment.

After the Judgment becomes Final, Defendants will pay the Gross Settlement Amount by depositing the money with the Settlement Administrator. "Final" means the date the Judgment is no longer subject to appeal, or if an appeal is filed, the date the appeal process is completed, and the Judgment is affirmed.

Amounts to be Paid from the Gross Settlement Amount. The Settlement provides for certain payments to be made from the Gross Settlement Amount, which will be subject to final Court approval, and which will be deducted from the Gross Settlement Amount before settlement payments are made to Class Members, as follows:

- <u>Settlement Administration Costs</u>. Payment to the Settlement Administrator, estimated not to exceed \$10,550.00 for expenses, including expenses of sending this Notice, processing opt outs, and distributing settlement payments.
- <u>Class Counsel Award</u>. Payment to Class Counsel of an award of attorneys' fees of no more than 1/3 of the Gross Settlement Amount (currently \$283,333.33) and actually incurred litigation expenses of not more than \$25,000 for all expenses incurred as documented in Class Counsel's billing records, both subject to Court approval. Class Counsel have been prosecuting the Action on behalf of Plaintiff and the Class on a contingency fee basis (that is, without being paid any money to date) and have been paying all litigation costs and expenses.

- <u>Service Award</u>. A Service Award of up to Ten Thousand Dollars and Zero Cents (\$10,00.00) to Plaintiff, or such lesser amount as may be approved by the Court, to compensate her for her services on behalf of the Class in initiating and prosecuting the Action, and for the risks she undertook.
- PAGA Payment. A payment of \$25,500 relating to Plaintiff's claim under the Private Attorneys General Act ("PAGA"), \$19,125 of which will be paid to the State of California's Labor and Workforce Development Agency ("LWDA") and the remaining \$6,375 will be distributed to Aggrieved Employees as part of the PAGA Payment.
- Calculation of Payments to Settlement Class Members. After all the above payments of the courtapproved Class Counsel Award, the Service Award, the PAGA Payment, and the Settlement Administration Costs are deducted from the Gross Settlement Amount, the remaining portion, called the "Net Settlement Amount," shall be distributed to class members who do not request exclusion ("Settlement Class Members"). The Individual Settlement Payment for each Settlement Class Member will be calculated by dividing the Net Settlement Amount by the total number of workweeks for all Settlement Class Members that occurred during the Class Period and multiplying the result by each individual Settlement Class Member's workweeks that occurred during the Class Period. A "workweek" is defined as a normal seven-day week of work during the Class Period in which, according to Defendant's records, a member of the class worked at least one-day during any such workweek.
- Calculation of Aggrieved Employees Payments to Aggrieved Employees. The PAGA Payment shall be distributed to Aggrieved Employees irrespective of whether they exclude themselves or opt-out. The PAGA Payment will be divided by the total number of pay periods worked by all Aggrieved Employees during the PAGA Period, and then taking that number and multiplying it by the number of pay periods worked by each respective Aggrieved Employee during the PAGA Period. "Aggrieved Employee" means all persons who are or previously were employed by Defendants in California and classified as non-exempt employees at any time during the period between April 7, 2022 and March 15, 2024 ("PAGA Period")

If the Settlement is approved by the Court, you will automatically be mailed a check for your Individual Settlement Payment to the same address as this Class Notice. You do not have to do anything to receive a payment. If your address has changed, you must contact the Settlement Administrator to inform them of your correct address to ensure you receive your payment.

Tax Matters. Twenty percent (20%) of each Individual Settlement Payment is allocated to wages. Taxes are withheld from this amount, and each Settlement Class Member will be issued an Internal Revenue Service Form W-2 for such payment. Eighty percent (80%) of each Individual Settlement Payment is allocated to penalties, pre-judgment interest, and reimbursement of expenses ("Penalties, Interest, and Reimbursement Portion"). Each Settlement Class Member will be issued an Internal Revenue Service Form 1099 for the Penalties, Interest, and Reimbursement Portion of the Individual Settlement Payments. In addition, no taxes will be withheld from the PAGA Payment paid to Aggrieved Employees, and each Aggrieved Employee will be issued an Internal Revenue Service Form 1099 for such payment. Neither Class Counsel nor Defendant's counsel intend anything contained in this Settlement to constitute advice regarding taxes or taxability. You may wish to consult a tax advisor concerning the tax consequences of the payments received under the Settlement.

No Credit Toward Benefit Plans. The Individual Settlement Payments and Aggrieved Employee Payments made to Settlement Class Members and/or Aggrieved Employees under this Settlement Agreement, as well as any other payments made pursuant to this Settlement Agreement, will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any Class Members may be entitled under any benefit plans.

<u>Conditions of Settlement</u>. This Settlement is conditioned upon the Court entering an order granting final approval of the Settlement and entering judgment.

4. What Do I Release Under the Settlement?

Released Claims. Upon entry of final judgment and funding in full of the Gross Settlement Amount by Defendant, Plaintiff and the Settlement Class Members shall release all Released Class Claims that occurred during the Class Period as to the Released Parties. Released Class Claims means all class claims alleged, or reasonably could have been alleged based on the facts alleged, in the Operative Complaint which occurred during the Class Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and class claims outside of the Class Period.

As of the Effective Date and upon funding of the Gross Settlement Amount by Defendant, all Aggrieved Employees shall release all Released PAGA Claims, irrespective of whether they opted-out of the class settlement and will be bound by this PAGA Release (the "PAGA Release"). "Released PAGA Claims" means all PAGA claims based on the facts alleged in the Operative Complaint which occurred during the PAGA Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and PAGA claims outside of the PAGA Period.

This means that, if you do not timely and formally exclude yourself from the settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendants about the legal issues resolved by this Settlement. It also means that all of the Court's orders in this Action will apply to you and legally bind you.

5. How much will my payment be?
Defendant's records reflect that you have <<>> Workweeks worked during the Class Period (April 7, 2019, through March 15, 2024).
Based on this information, your estimated Individual Settlement Payment is <<>>.
Defendant's records reflect that you have <<>> pay periods worked during the PAGA Period (April 7, 2022, through March 15, 2024).
Based on this information, your estimated Aggrieved Employee Payment is <<>>.
If you wish to challenge the information set forth above, then you must submit a written, signed dispute challenging the information along with supporting documents, to the Settlement Administrator at the address provided in this Notice no later than [forty-five (45) days after the Notice or fifteen (15) days after the re-mailed Notice].

6. How can I get a payment?

To get money from the settlement, you do not have to do anything. A check for your settlement payment will be mailed automatically to the same address as this Notice. If your address is incorrect or has changed, you must notify the Settlement Administrator. The Settlement Administrator is: Apex Class Action LLC.
The Court will hold a hearing on to decide whether to finally approve the Settlement. If the Court approves the Settlement and there are no objections or appeals, payments will be mailed within a few months after this hearing. If there are objections or appeals, resolving them can take time, perhaps more than a year. Please be patient. After entry of the Judgment, the Settlement Administrator will provide notice of the final judgment to the Class Members by posting a copy of the Judgment on the administrator's website at https://apexclassaction.com/
7. What if I don't want to be a part of the Settlement?
If you do not wish to participate in the Settlement, you may exclude yourself from the Settlement or "opt out." If you opt out, you will receive NO money from the Settlement, and you will not be bound by its terms, except as provided as follows. Irrespective of whether you exclude yourself from the Settlement or "opt out," you will be bound by the PAGA Release, you will be deemed to have released the Released PAGA Claims, and you will receive a share of the PAGA Payment.
To opt out, you must submit to the Settlement Administrator, by First Class Mail, a written, signed and dated request for exclusion postmarked no later than The address for the Settlement Administrator is 18 Technology Drive, Suite 164, Irvine, CA 92618; Tel: (800) 355-0700. The request for exclusion must state in substance that the Class Member has read the Class Notice and that he or she wishes to be excluded from the settlement of the class action lawsuit entitled <i>Rebecca Rivera v. Eureka Center Employees, LLC, et al.</i> , San Bernardino County Superior Court, Case No. CIVSB2308152. The request for exclusion must contain your name, address, signature and the last four digits of your Social Security Number for verification purposes. The request for exclusion must be signed by you. No other person may opt out for a member of the Class.
Written requests for exclusion that are postmarked after, or are incomplete or unsigned will be rejected, and those Class Members will remain bound by the Settlement and the release described above.
8. How do I tell the Court that I would like to challenge the Settlement?
Any Class Member who has not opted out and believes that the Settlement should not be finally approved by the Court for any reason, may object to the proposed Settlement. Objections may be in writing and state the Class Member's name, current address, telephone number, and describe why you believe the Settlement is unfair and whether you intend to appear at the final approval hearing. All written objections or other correspondence must also state the name and number of the case, which is <i>Rebecca Rivera v. Eureka Center Employees</i> , <i>LLC</i> , <i>et al.</i> , San Bernardino County Superior Court, Case No. CIVSB2308152. You may also object without

To object to the Settlement, you cannot opt out. If the Court approves the Settlement, you will be bound by the terms of the Settlement in the same way as Class Members who do not object. Any Class Member who does not object in the manner provided in this Class Notice shall have waived any objection to the Settlement, whether by appeal or otherwise.

submitting a written objection by appearing at the final approval hearing scheduled as described in Section 9

below.

Written objections must be delivered or mailed to the Settlement Administrator no later than

The address for the Settlement Administrator is 18 Technology Drive, Suite 164, Irvine, CA

92618; Tel: (800) 355-0700.

The addresses for the Parties' counsel are as follows:

Class Counsel:

Jean-Claude Lapuyade, Esq.
JCL Law Firm, APC
5440 Morehouse Drive, Suite 3600
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Tel.: (619) 599-8292
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Counsel for Defendants:

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Email: shani@zakaylaw.com

9. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Final Approval Hearing at 00:00 AM/PM on ________, at the San Bernardino County Superior Court, Department S17, located at 247 West Third Street San Bernardino, CA 92415 before Judge Joseph Ortiz. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate. The purpose of this hearing is for the Court to determine whether to grant final approval to the Settlement. If there are objections, the Court will consider them. The Court will listen to people who have made a timely written request to speak at the hearing or who appear at the hearing to object. This hearing may be rescheduled by the Court without further notice to you. You are not required to attend the Final Approval Hearing, although any Class Member is welcome to attend the hearing.

10. How do I get more information about the Settlement?

You may call the Settlement Administrator at 1-800-355-0700 or write to *Rebecca Rivera v. Eureka Center Employees, LLC, et al.*, San Bernardino County Superior Court, Case No. CIVSB2308152, Settlement Administrator, 18 Technology Drive, Suite 164, Irvine, CA 92618 c/o

This notice summarizes the proposed settlement. More details are in the Settlement Agreement. You may receive a copy of the Settlement Agreement, the Final Judgment or other Settlement documents by writing to JCL Law firm, APC, 5440 Morehouse Drive, Suite 3600, San Diego, CA 92121 or by visiting the administrator's website at https://apexclassaction.com/

PLEASE DO NOT CALL THE COURT ABOUT THIS NOTICE.

IMPORTANT:

- You must inform the Settlement Administrator of any change of address to ensure receipt of your settlement payment.
- Settlement checks will be null and void 180 days after issuance if not deposited or cashed. In such event, the Settlement Administrator shall be transmitted to the California State Controller's Office, Unclaimed Property Fund. If your check is lost or misplaced, you should contact the Settlement Administrator immediately to request a replacement.