		#48
1 2 3 4 5 6 7 8 9 10	ZAKAY LAW GROUP, APLC Shani O. Zakay (State Bar #277924) Jackland K. Hom (State Bar #327243) Rachel Newman (State Bar #350826) 5440 Morehouse Drive, Suite 3600 San Diego, CA 92121 Telephone: (619) 255-9047 <u>shani@zakaylaw.com</u> <u>jackland@zakaylaw.com</u> <u>jackland@zakaylaw.com</u> <u>JCL LAW FIRM, APC</u> Jean-Claude Lapuyade (State Bar #248676) 5440 Morehouse Drive, Suite 3600 San Diego, CA 92121 Telephone: (619) 599-8292 <u>jlapuyade@jcl-lawfirm.com</u>	RECEIVED October 15 2024 FILED San Diego Superior Court MAY 0 2 2025 Clerk of the Superior Court By: B. Orihuela, Deputy
11	Attorneys for Plaintiff	
12	SUPERIOR COURT OF TH	IE STATE OF CALIFORNIA
13	FOR THE COUNT	FY OF SAN DIEGO
14 15	JUSTIN CABATIT, an individual, on behalf of himself and on behalf of all persons similarly situated,	[P ROPOSED] ORDER GRANTING
 16 17 18 19 20 21 22 23 24 25 26 	Plaintiff, v. PROSCIENTO, INC., a Delaware corporation; PROSCIENTO CLINICAL RESEARCH VENTURES, INC., a Delaware corporation; and DOES 1-50, Inclusive, Defendants.	PRELIMINARY APPROVAL OF CLASS AND PAGA ACTION SETTLEMENT Date: May 2, 2025 Time: 10:15 a.m. Judge: Hon. Wendy M. Behan Dept.: C-66
27 28		
	PROPOSI	DJ ORDER

TO ALL PARTIES AND THEIR RESPECTIVE COUNSEL OF RECORD:

This matter having come before the Honorable Wendy M. Behan of the Superior Court of the State of California, in and for the County of San Diego, with the attorneys from the JCL Law Firm, APC and Zakay Law Group, APLC as counsel for Plaintiff JUSTIN CABATIT ("Plaintiff"), and counsel from Schor Vogelzang & Chung LLP appearing for Defendants PROSCIENTO, INC. and PROSCIENTO CLINICAL RESEARCH VENTURES, INC. ("Defendants"). The Court, having carefully considered the briefs, argument of counsel and all the matters presented to the Court, and good cause appearing, hereby GRANTS Plaintiff's Motion for Preliminary Approval of Class and PAGA Action Settlement.

IT IS HEREBY ORDERED:

1. The Court preliminarily approves the Stipulation of Settlement of Class and PAGA Action Claims and Release of Claims ("Settlement Agreement" or "Agreement") a true and correct copy of which is attached hereto as Exhibit "1". This is based on the Court's determination that the Settlement Agreement is within the range of possible final approval, pursuant to the provisions of Section 382 of the California Code of Civil Procedure and California Rules of Court, rule 3.769.

2. This Order incorporates by reference the definitions in the Agreement, and all terms defined therein shall have the same meaning in this Order as set forth in the Agreement.

3. Subject to the terms of the Settlement Agreement, the Gross Settlement Amount that Defendants shall pay is Four Hundred Twenty-Five Thousand Dollars and Zero Cents (\$425,000.00). It appears to the Court on a preliminary basis that the settlement amount and terms are fair, adequate, and reasonable as to all potential Class Members when balanced against the probable outcome of further litigation relating to certification, liability, and damages issues. It further appears that investigation and research have been conducted such that counsel for the Parties are able to reasonably evaluate their respective positions. It further appears to the Court that settlement at this time will avoid substantial additional costs by all Parties, as well as avoid the delay and risks that would be presented by the further prosecution of the litigation. It further appears that the Settlement has been reached as the result of intensive, serious, and non-collusive arms-length negotiations.

4.

The Court preliminarily finds that the Settlement appears to be within the range of

reasonableness of a settlement that could ultimately be given final approval by this Court. The Court has reviewed the monetary recovery that is being granted as part of the Settlement and preliminarily finds that the monetary settlement awards made available to the Class Members are fair, adequate, and reasonable when balanced against the probable outcome of further litigation relating to certification, liability, and damages issues.

5. The Agreement specifies for Attorneys' Fees awarded to Class Counsel in the amount of up to one-third of the Gross Settlement Amount, currently estimated to be One Hundred Forty-One Thousand Six Hundred Sixty-Six Dollars and Sixty-Six Cents (\$141,666.66) *and* an award of Attorneys' Expenses not to exceed Twenty-Five Dollars and Zero Cents (\$25,000.00), and proposed Service Award to the Class Representative, Justin Cabatit, in an amount not to exceed Twelve Thousand Five Hundred Dollars and Zero Cents (\$12,500.00). While these awards appear to be within the range of reasonableness, the Court will not approve the Attorneys' Fees, Attorneys' Expenses, or the Service Award, until the Final Approval Hearing. Class Counsel and the Class Representative will be required to present evidence supporting these requests, including lodestar, prior to final approval.

6. The Court recognizes that Plaintiff and Defendants stipulate and agree to certification of a class for settlement purposes only. This stipulation will not be deemed admissible in this or any other proceeding should this Settlement not become final. For settlement purposes only, the Court conditionally certifies the following Class:

All non-exempt employees who are or previously were employed by Defendants Prosciento, Inc. and/or Prosciento Clinical Research Ventures, Inc. and performed work in California during the period beginning November 30, 2019 to June 30, 2024.

7. The Court concludes that, for settlement purposes only, the Class meets the requirements for certification under section 382 of the California Code of Civil Procedure in that: (a) the Class Members are ascertainable and so numerous that joinder of all members of the Class Members is impracticable; (b) common questions of law and fact predominate, and there is a well-defined community of interest amongst the Class Members with respect to the subject matter of the litigation; (c) the claims of the Class Representative are typical of the claims of the Class Members; (d) the Class

Representative will fairly and adequately protect the interests of the Class Members; (e) a class action
is superior to other available methods for the efficient adjudication of this controversy; and (f) Class
Counsel are qualified to act as counsel for the Class Representative in his individual capacity and as the
representative of the Class Members.
8. The Court provisionally appoints plaintiff Justin Cabatit as the representative of the Class
Members.
9. The Court provisionally appoints the attorneys of the JCL LAW FIRM, APC, and of
ZAKAY LAW GROUP, APLC, as Class Counsel for the Class Members.
10. The Court hereby approves, as to form and content, the proposed Notice Packet attached
to the Agreement as Exhibit "A". The Court finds that the Notice Packet appears to fully, and accurately

inform the Class Members of all material elements of the proposed Settlement, including Class Members' right to be excluded from the Class by submitting a written request for exclusion, and of each Class Member's right and opportunity to object to the Settlement. The Court further finds that the distribution of the Notice Packet substantially in the manner and form set forth in the Agreement and this Order meets the requirements of due process, is the most reasonable notice under the circumstances, and shall constitute due and sufficient notice to all persons entitled thereto. The Court orders the mailing of the Notice Packet by first class mail, pursuant to the terms set forth in the Agreement.

11. The Court hereby appoints Apex Class Action LLC as Settlement Administrator. Within ten (10) calendar days of this order, Defendants shall provide, to the Settlement Administrator the Class Data, including information regarding Class Members that Defendants will in good faith compile from their records, including each Settlement Class Member's full name; last known address; Social Security Number; start dates and end dates of employment. No later than twenty-one (21) calendar days after the entry of this order, the Settlement Administrator shall mail the Notice Packet to all identified, potential Class Members via first class U.S. Mail using the most current mailing address information available.

12. The Court hereby preliminarily approves the proposed procedure for exclusion from the Settlement of the Released Claims. Any Class Member may individually choose to opt out of and be excluded from the Settlement of the Released Claims as provided in the Notice Packet by following the instructions for requesting exclusion from the Settlement of the Released Claims that are set forth in the

3 4 5 6 7 8 9 10 11 file briefs or other papers that may be proper and relevant to the issues to be heard and determined by 12 13 the Court as provided in the Notice. Class Members will have forty-five (45) days from the date the 14 Settlement Administrator mails the Notice Packets to postmark their written objections to the Settlement 15 Administrator. 16 14. Fees, Attorneys' Expenses, and Service Award shall be held before this Court on $\frac{9/25}{25}$ 17 O:15_at AM in Department C-66 of the San Diego County Superior Court to determine 18 all necessary matters concerning the Settlement, including: whether the proposed settlement of the 19 Action on the terms and conditions provided for in the Agreement is fair, adequate and reasonable and 20 21 should be finally approved by the Court; whether an Order Granting Final Approval should be entered 22 herein; whether the plan of allocation contained in the Agreement should be approved as fair, adequate 23 and reasonable to the Class Members; and to finally approve the Attorneys' Fees, Attorneys' Expenses, Service Award, the PAGA Payment, and the Claims Administration Expenses. All papers in support of 24 the motion for final approval and the motion for Attorneys' Fees, Attorneys' Expenses and Service 25 Award shall be filed with the Court and served on all counsel no later than sixteen (16) court days before 26 27 the hearing. 28 15.

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Neither the Settlement nor any exhibit, document, or instrument delivered thereunder shall

A hearing on Plaintiff's Motion for Final Approval and Plaintiff's Motion for Attorneys'

4 [PROPOSED] ORDER

forty-five (45) calendar days after the date the Notice Packet is mailed to the Class Members or, in the case of a re-mailed Notice, not more than fifteen (15) calendar days after the original Response Deadline. Any such person who chooses to opt out of and be excluded from the Settlement of the Released Claims will not be entitled to an Individual Settlement Payment under the Settlement and will not be bound by the Settlement, or have any right to object, appeal or comment thereon. Class Members who have not requested exclusion shall be bound by all determinations of the Court, the Agreement and Judgment. A request for exclusion may only opt out that particular individual, and any attempt to affect an opt-out of a group, class, or subclass of individuals is not permitted and will be deemed invalid. 13. Any Class Member who has not opted out may appear at the final approval hearing and may object or express the Class Member's views regarding the Settlement and may present evidence and

Notice. All requests for exclusion must be postmarked or received by the Response Deadline which is

be construed as a concession or admission by Defendants in any way, and shall not be used as evidence of, or used against Defendants as, an admission or indication in any way, including with respect to any claim of any liability, wrongdoing, fault or omission by Defendants or with respect to the truth of any allegation asserted by any person. Whether or not the Settlement is finally approved, neither the Settlement, nor any exhibit, document, statement, proceeding or conduct related to the Settlement, nor any reports or accounts thereof, shall in any event be construed as, offered or admitted in evidence as, received as or deemed to be evidence for any purpose adverse to the Defendants, including, but not limited to, evidence of a presumption, concession, indication or admission by Defendants of any liability, fault, wrongdoing, omission, concession or damage.

16. In the event the Settlement does not become effective in accordance with the terms of the Agreement, or the Settlement is not finally approved, or is terminated, canceled, or fails to become effective for any reason, this Order shall be rendered null and void and shall be vacated, and the Parties shall revert to their respective positions as of before entering into the Agreement. In such an event, the Court's orders regarding the Settlement, including this Preliminary Approval Order, shall not be used, or referred to in litigation for any purpose. Nothing in this paragraph is intended to alter the terms of the Settlement Agreement with respect to the effect of the Settlement Agreement if it is not approved.

17. The Court reserves the right to adjourn or continue the date of the final approval hearing and all dates provided for in the Agreement without further notice to Class Members and retains jurisdiction to consider all further applications arising out of or connected with the proposed Settlement.

> [PROPOSED] ORDER

IT IS SO ORDERED.

Dated: 5 2 25

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JUDGE OF THE SUPERIOR COURT

WENDY M. BEHAN

EXHIBIT 1

1	Linkin Linn Okoon, in Le		
2	Shani O. Zakay (State Bar #277924) Jackland K. Hom (State Bar #327243)		
3	Julieann Alvarado (State Bar #334727)		
4	Rachel Newman (State Bar #350826) 5440 Morehouse Drive, Suite 3600		
5	San Diego, CA 92121 Telephone: (619) 255-9047		
6	Facsimile: (858) 404-9203		
7	<u>shani@zakaylaw.com</u> jackland@zakaylaw.com		
8	julieann@zakaylaw.com rachel@zakaylaw.com		
9	JCL LAW FIRM, APC		
10	Jean-Claude Lapuyade (State Bar #248676)		
11	5440 Morehouse Drive, Suite 3600 San Diego, CA 92121		
	Telephone: (619) 599-8292		
12	Facsimile: (619) 599-8291 jlapuyade@jcl-lawfirm.com		
13	Attorneys for Plaintiff		
14	SUPERIOR COURT OF THE STATE OF CALIFORNIA		
15	IN AND FOR THE COUNTY OF SAN DIEGO		
16		Case No · 37-2023-0	0051828-CU-OE-CTL
	I INCABATIT an individual on behalf of		
17	JUSTIN CABATIT, an individual, on behalf of himself and on behalf of all persons similarly		
18			rposes to the Honorable
	himself and on behalf of all persons similarly situated, Plaintiff,	[Assigned for all pu Wendy M. Behan, D STIPULATION O	rposes to the Honorable ept. C-66] F SETTLEMENT OF
18	himself and on behalf of all persons similarly situated, Plaintiff, v.	[Assigned for all pu Wendy M. Behan, D STIPULATION O	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS
18 19	himself and on behalf of all persons similarly situated, Plaintiff,	[Assigned for all pu Wendy M. Behan, D STIPULATION O CLASS AND PAC AND RELEASE O Complaint Filed:	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS F CLAIMS November 30, 2023
18 19 20	himself and on behalf of all persons similarly situated, Plaintiff, v. PROSCIENTO, INC., a Delaware corporation; PROSCIENTO CLINICAL RESEARCH VENTURES, INC., a Delaware corporation;	[Assigned for all pu Wendy M. Behan, D STIPULATION O CLASS AND PAC AND RELEASE O	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS F CLAIMS
18 19 20 21	himself and on behalf of all persons similarly situated, Plaintiff, v. PROSCIENTO, INC., a Delaware corporation; PROSCIENTO CLINICAL RESEARCH VENTURES, INC., a Delaware corporation; and DOES 1-50, Inclusive,	[Assigned for all pu Wendy M. Behan, D STIPULATION O CLASS AND PAC AND RELEASE O Complaint Filed:	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS F CLAIMS November 30, 2023
18 19 20 21 22	himself and on behalf of all persons similarly situated, Plaintiff, v. PROSCIENTO, INC., a Delaware corporation; PROSCIENTO CLINICAL RESEARCH VENTURES, INC., a Delaware corporation;	[Assigned for all pu Wendy M. Behan, D STIPULATION O CLASS AND PAC AND RELEASE O Complaint Filed:	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS F CLAIMS November 30, 2023
 18 19 20 21 22 23 	himself and on behalf of all persons similarly situated, Plaintiff, v. PROSCIENTO, INC., a Delaware corporation; PROSCIENTO CLINICAL RESEARCH VENTURES, INC., a Delaware corporation; and DOES 1-50, Inclusive,	[Assigned for all pu Wendy M. Behan, D STIPULATION O CLASS AND PAC AND RELEASE O Complaint Filed:	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS F CLAIMS November 30, 2023
 18 19 20 21 22 23 24 	himself and on behalf of all persons similarly situated, Plaintiff, v. PROSCIENTO, INC., a Delaware corporation; PROSCIENTO CLINICAL RESEARCH VENTURES, INC., a Delaware corporation; and DOES 1-50, Inclusive,	[Assigned for all pu Wendy M. Behan, D STIPULATION O CLASS AND PAC AND RELEASE O Complaint Filed:	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS F CLAIMS November 30, 2023
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 18 19 20 21 22 23 24 25 26 27 	himself and on behalf of all persons similarly situated, Plaintiff, v. PROSCIENTO, INC., a Delaware corporation; PROSCIENTO CLINICAL RESEARCH VENTURES, INC., a Delaware corporation; and DOES 1-50, Inclusive,	[Assigned for all pu Wendy M. Behan, D STIPULATION O CLASS AND PAG AND RELEASE O Complaint Filed: Trial Date:	rposes to the Honorable ept. C-66] F SETTLEMENT OF GA ACTION CLAIMS F CLAIMS November 30, 2023 None Set
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This Stipulation of Settlement of Class and PAGA Action Claims and Release of Claims is
 entered into by and between Plaintiff JUSTIN CABATIT (hereinafter "Plaintiff"), individually, on
 behalf of himself and on behalf of all persons similarly situated, and Defendants PROSCIENTO,
 INC., and PROSCIENTO CLINICAL RESEARCH VENTURES, INC. (hereinafter "Defendants")
 (together the "Parties"):

5	(together the "Parties"):		
6	A. 2002)	I. <u>DEFINITIONS</u>	
7	A.	"Action" shall mean the putative class action lawsuit designated Justin Cabatit v.	
8		Prosciento, Inc., San Diego County Superior Court, Case No. 37-2023-00051828-	
9		CU-OE-CTL, filed November 30, 2023.	
10	B.	"Agreement" or "Settlement Agreement" means this Stipulation of Settlement of	
11		Class and PAGA Action and Release of Claims, including the attached Exhibits.	
12	C.	"Aggrieved Employees" means all non-exempt employees who are or previously	
13		were employed by Defendants Prosciento, Inc. and/or Prosciento Clinical Research	
14		Ventures, Inc. and performed work in California during the PAGA Period.	
15	D.	"Attorneys' Expenses" means the award of expenses that the Court authorizes to be	
16		paid to Class Counsel, as defined below, for the expenses they have incurred of up	
17		to \$25,000.	
18	E.	"Attorneys' Fees" means the award of fees that the Court authorizes to be paid to	
19		Class Counsel, as defined below, for the services they have rendered to Plaintiff and	
20		the Settlement Class in the Action, currently not to exceed one-third of the Gross	
21		Settlement Amount currently estimated to be \$141,666.66 out of \$425,000.00.	
22		Attorneys' fees will be divided between Class Counsel, as defined below, as follows:	
23		50% to JCL Law Firm, APC, and 50% to Zakay Law Group, APLC.	
24	F.	"Claims Administration Expenses" shall mean the amount paid to the Settlement	
25		Administrator from the Gross Settlement Amount for administering the Settlement	
26		pursuant to this Agreement currently estimated not to exceed \$7,000.00.	
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	S	TIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS	

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1	G.	"Class" or the "Class Members" means all non-exempt employees who are or
2		previously were employed by Defendants Prosciento, Inc. and/or Prosciento Clinical
3		Research Ventures, Inc. and performed work in California during the Class Period.
4	H.	"Class Counsel" shall mean Jean-Claude Lapuyade, Esq. of JCL Law Firm, APC, and
5		Shani Zakay, Esq. of Zakay Law Group, APLC.
6	I.	"Class Data" means information regarding Class Members that Defendants will in
7		good faith compile from their records and provide to the Settlement Administrator. It
8		shall be formatted as a Microsoft Excel spreadsheet and shall include: each Class
9		Member's full name; last known address; Social Security Number; start dates and end
10		dates of employment; workweeks worked during the Class Period; and pay periods
11		worked during the PAGA Period.
12	J.	"Class Period" means the period from November 30, 2019 to June 30, 2024.
13	К.	"Class Representative" shall mean Justin Cabatit.
14	L.	"Court" means the Superior Court for the State of California, County of San Diego
15		currently presiding over the Action.
16	Μ.	"Defendants" shall mean Prosciento, Inc. and Prosciento Clinical Research Ventures,
17		Inc.
18	N.	"Effective Date" means the date of Defendants' receipt of the signed order of final
19		approval if no objections are filed to the settlement. If objections are filed and
20		overruled, and no appeal is taken of the final approval order, then the Effective Date
21		of final approval will be the date the Court enters the order and judgment granting
22		final approval of the settlement. If an appeal is taken from the Court's overruling of
23		objections to the settlement, then the Effective Date of final approval will be twenty
24		(20) days after the appeal is withdrawn or after an appellate decision affirming the
25		final approval decision becomes final.
26	О.	"Funding Date" shall mean the last date by which Defendants have paid the entire
27		Gross Settlement Amount to the Settlement Administrator in accordance with the
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-	S	2 TIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS

1 terms of this Agreement. Because Defendants are paying in two installments, the 2 Funding Date is considered the later date. 3 P. "Gross Settlement Amount" means Four Hundred Twenty-Five Thousand Dollars 4 and Zero Cents (\$425,000.00) that Defendants must pay into the QSF, as defined 5 below, in connection with this Settlement, inclusive of the sum of Individual 6 Settlement Payments, Claims Administration Expenses, Attorneys' Fees and 7 Attorneys' Expenses, Service Award, and the PAGA Payment and exclusive of the 8 employer's share of payroll tax, if any, triggered by any payment under this 9 Settlement. 10 Q. "Individual Settlement Payments" means the amount payable from the Net Settlement 11 Amount to each Settlement Class Member, as defined below, and excludes any 12 amounts distributed to Aggrieved Employees pursuant to PAGA. Individual 13 Settlement Payments shall be paid by a single settlement check made payable to 14 Settlement Class Members. 15 R. "Net Settlement Amount" or "NSA" means the Gross Settlement Amount, less 16 Attorneys' Fees and Attorneys' Expenses, Service Award, PAGA Payment, and 17 Claims Administration Expenses. 18 S. "Notice Packet" means the Class Notice to be provided to the Class Members by the 19 Settlement Administrator in the form set forth as Exhibit A to this Agreement (other 20 than formatting changes to facilitate printing by the Settlement Administrator). 21 Τ. "Operative Complaint" shall mean the First Amended Complaint on file in the Action. 22 U. "PAGA" means the California Labor Code Private Attorneys General Act of 2004, 23 Labor Code § 2698 et seq. 24 V. "PAGA Payment Ratio" means the respective Pay Periods during the PAGA Period 25 that each Aggrieved Employee worked for Defendants divided by the sum total of the 26 Pay Periods that all Aggrieved Employees worked for Defendants during the PAGA 27 Period. 28 "PAGA Payment" shall mean Twenty Thousand Dollars and Zero Cents (\$20,000.00) W. STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS

1		to be allocated from the Gross Settlement Amount, with 25% of the payment going
2		to the Aggrieved Employees and 75% of the payment going to the Labor and
3		Workforce Development Agency. The amount of the PAGA Payment is subject to
4		Court approval pursuant to California Labor Code section 2699(1). Any reallocation
5		of the Gross Settlement Amount to increase the PAGA Payment will not constitute
6		grounds by either party to void this Agreement, so long as the Gross Settlement
7		Amount remains the same.
8	X.	"PAGA Pay Periods," for purposes of calculating the distribution of the Aggrieved
9		Employee Payment, as defined herein, means the number of pay periods of
10		employment during the PAGA Period that each Aggrieved Employee worked for
11		Defendants in California.
12	Y.	"PAGA Period" means the period from November 15, 2022 to June 30, 2024.
13	Z.	"Parties" means Plaintiff and Defendants, collectively, and "Party" shall mean either
14		Plaintiff or Defendants, individually.
15	AA.	"Payment Ratio" means the respective Workweeks for each Class Member divided
16		by the sum total Workweeks for all Class Members.
17	BB.	"Plaintiff" shall mean Justin Cabatit.
18	CC.	"QSF" means the Qualified Settlement Fund established, designated, and maintained
19		by the Settlement Administrator to fund the Gross Settlement Amount.
20	DD.	"Released Class Claims" shall mean the release from the Class Members of all class
21		claims alleged, or reasonably could have been alleged based on the facts alleged, in
22		the Operative Complaint in the Action which occurred during the Class Period, and
23		expressly excluding all other claims, including claims for vested benefits, wrongful
24		termination, unemployment insurance, disability, social security, workers'
25		compensation, and class claims outside of the Class Period.
26	EE.	"Released PAGA Claims" shall mean the release from the Aggrieved Employees of
27		all PAGA claims alleged or which could have been alleged based on the facts pleaded
28		in the Operative Complaint in the Action and Plaintiff's PAGA notice to the LWDA $\frac{1}{4}$
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	which occurred during the PAGA Period, and expressly excluding all other claims,	
	including claims for vested benefits, wrongful termination, unemployment insurance,	
	disability, social security, workers' compensation and PAGA claims outside the	
	PAGA Period.	
FF.	"Released Parties" shall mean Defendants and all their current and former officers,	

- directors, members, managers, employees, consultants, partners, shareholders, joint ventures, agents, successors, assigns, or legal representatives.
- GG. "Response Deadline" means the date forty-five (45) calendar days after the Settlement Administrator first mails Notice Packets to Class Members and the last date on which Class Members may submit requests for exclusion or objections to the Settlement.
- 11 HH. "Service Award" mean an award in the amount of \$12,500.00 or in an amount that 12 the Court authorizes to be paid to the Class Representative, in addition to his 13 Individual Settlement Payment and his individual Aggrieved Employee Payment, in 14 recognition of his efforts and risks in assisting with the prosecution of the Action.
 - II. "Settlement" means the disposition of the Action pursuant to this Agreement.
- 16 JJ. "Settlement Administrator" means Apex Class Action LLC, 18 Technology Drive, 17 Suite 164, Irvine, CA 92618; Tel: 1-800-355-0700. The Settlement Administrator 18 establishes, designates and maintains, as a QSF under Internal Revenue Code section 19 468B and Treasury Regulation section 1.468B-1, into which the amount of the Gross 20 Settlement Amount is deposited for the purpose of resolving the claims of Settlement 21 Class Members. The Settlement Administrator shall maintain the funds until 22 distribution in an account(s) segregated from the assets of Defendants and any person 23 related to Defendants. All accrued interest shall be paid and distributed to the 24 Settlement Class Members as part of their respective Individual Settlement 25 Payment.
- 26 KK. "Settlement Class Members" or "Settlement Class" means all Class Members who have not submitted a timely and valid request for exclusion as provided in this Agreement.

1	LL.	"Workweeks," or "Workweek" as used herein shall, mean any seven (7) consecutive	
2		days beginning on Monday and ending on Sunday, or as otherwise defined by	
3		Defendants during the Class Period, in which a Class Member is employed by	
4		Defendants during the Class Period in California.	
5		II. <u>RECITALS</u>	
6	Α.	On November 30, 2023, Plaintiff filed the Action, alleging claims for:	
7		1. Unfair competition in violation of Cal. Bus. & Prof. Code § 17200 et seq;	
8		2. Failure to pay minimum wages in violation of Cal. Lab. Code §§ 1194, 1197	
9		& 1197.1;	
10		3. Failure to pay overtime wages in violation of Cal. Lab. Code §§ 510 et seq;	
11		4. Failure to provide required meal periods in violation of Cal. Lab. Code §§	
12		226.7 & 512 and the applicable IWC Wage Order;	
13		5. Failure to provide required rest periods in violation of Cal. Lab. Code §§ 226.7	
14		& 512 and the applicable IWC Wage Order;	
15		6. Failure to reimburse employees for required expenses in violation of Cal. Lab.	
16		Code § 2802;	
17		7. Failure to provide wages when due in violation of Cal. Lab. Code §§ 201, 202	
18		and 203;	
19		8. Failure to provide accurate itemized statements in violation of Cal. Lab. Code	
20		§ 226.	
21	B.	On November 15, 2023, Plaintiff filed a Notice of Violations with the Labor and	
22		Workforce Development Agency (LWDA) and served the same on Defendants.	
23	C.	On January 25, 2024, Plaintiff filed the operative First Amended Complaint to include	
24		an additional cause of action for Violations of the Private Attorneys General Act	
25		[Labor Code §§ 2698, et seq.].	
26	D.	The Class Representative believes he has meritorious claims based on alleged	
27		violations of the California Labor Code, and the Industrial Welfare Commission	
28		Wage Orders, and that class certification is appropriate because the prerequisites for	
	S	6 STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS	
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class certification can be satisfied in the Action, and this action is manageable as a PAGA representative action.

- E. Defendants deny any liability or wrongdoing of any kind associated with the claims alleged in the Action, dispute any wages, damages and penalties claimed by the Class Representative are owed, and further contend that, for any purpose other than settlement, the Action is not appropriate for class or representative action treatment. Defendants contend, among other things, that at all times they complied with the California Labor Code and the Industrial Welfare Commission Wage Orders.
- 9 F. The Class Representative is represented by Class Counsel. Class Counsel investigated 10 the facts relevant to the Action, including conducting an independent investigation as 11 to the allegations, reviewing documents and information exchanged through informal 12 discovery, and reviewing documents and information provided by Defendants 13 pursuant to informal requests for information to prepare for mediation. Defendants 14 produced for the purpose of settlement negotiations certain employment data concerning the Settlement Class, which Class Counsel reviewed and analyzed with 15 16 the assistance of an expert. Based on their own independent investigation and 17 evaluation, Class Counsel are of the opinion that the Settlement with Defendants is fair, reasonable, and adequate, and is in the best interest of the Settlement Class 18 19 considering all known facts and circumstances, including the risks of significant 20 delay, defenses asserted by Defendants, uncertainties regarding class certification, 21 and numerous potential appellate issues. Although they deny any liability, Defendants 22 are agreeing to this Settlement solely to avoid the inconveniences and cost of further 23 litigation. The Parties and their counsel have agreed to settle the claims on the terms 24 set forth in this Agreement.
 - G. On April 30, 2024, the Parties participated in mediation presided over by Monique Ngo-Bonnici, Esq. an experienced mediator of wage and hour class and PAGA actions. The mediation concluded with a settlement, which was subsequently memorialized in the form of a Memorandum of Understanding.

1	H.	This Agreement replaces and supersedes the Memorandum of Understanding and any
2		other agreements, understandings, or representations between the Parties. This
3		Agreement represents a compromise and settlement of highly disputed claims.
4		Nothing in this Agreement is intended or will be construed as an admission by
5		Defendants that the claims in the Action of Plaintiff or the Class Members have merit
6		or that Defendants bear any liability to Plaintiff or the Class on those claims or any
7		other claims, or as an admission by Plaintiff that Defendants' defenses in the Action
8		have merit.
9	I.	The Parties believe that the Settlement is fair, reasonable and adequate. The
10		Settlement was arrived at through arm's-length negotiations, taking into account all
11		relevant factors. The Parties recognize the uncertainty, risk, expense and delay
12		attendant to continuing the Action through trial and any appeal. Accordingly, the
13		Parties desire to settle, compromise and discharge all disputes and claims arising from
14		or relating to the Action fully, finally, and forever.
15	J.	The Parties agree to certification of the Class for purposes of this Settlement only. If
16		for any reason the settlement does not become effective, Defendants reserve the right
17		to contest certification of any class for any reason and reserve all available defenses
18		to the claims in the Action.
19	Based on the	ese Recitals that are a part of this Agreement, the Parties agree as follows:
20		III. TERMS OF AGREEMENT
21	А.	Settlement Consideration and Settlement Payments by Defendants.
22		1. <u>Settlement Consideration</u> . In full and complete settlement of the Action, and
23		in exchange for the releases set forth below, Defendants will pay the sum of
24		the Individual Settlement Payments, the Service Award, the Attorneys' Fees
25		and Attorneys' Expenses, PAGA Payment, and the Claims Administration
26		Expenses, as specified in this Agreement, equal to the Gross Settlement
27		Amount of Four Hundred Twenty-Five Thousand Dollas and Zero Cents
28		(\$425,000.00). The Parties agree that this is a non-reversionary Settlement and
		8 STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS
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that no portion of the Gross Settlement Amount shall revert to Defendants. Other than the Defendants' share of employer payroll taxes and as provided in Section III.A.2 below, Defendants shall not be required to pay more than the Gross Settlement Amount.

- 2. <u>Class Size</u>. Defendants represent that the Settlement Class was comprised of 305 individuals who collectively worked approximately 23,413 workweeks ("Projected Workweeks") during the Class Period. One week prior to Plaintiff's deadline to file his Motion for Preliminary Approval of the Settlement, Defendants shall provide data confirming the number of applicable Class Members and Workweeks they worked during the applicable Class Period. If the Projected Workweeks increases by more than 10% of the Projected Workweeks stated herein, the Gross Settlement Amount will increase proportionally for the number of workweeks over 10%. For example, if the total workweeks in the Class Period are 120% of 23,413, the Gross Settlement Amount shall increase by 10%.
 - 3. <u>Settlement Payment</u>. Defendants shall deposit the Gross Settlement Amount into the QSF, through the Settlement Administrator by the Funding Date. Any interest accrued will be added to the NSA and distributed to the Settlement Class Members except that if final approval is reversed on appeal, then Defendants is entitled to prompt return of the principal and all interest accrued.
 - <u>Defendants' Share of Payroll Taxes</u>. Defendants' share of employer side payroll taxes is in addition to the Gross Settlement Amount and shall be paid together with the Gross Settlement Amount on the Funding Date.
- B. <u>Release by Settlement Class Members</u>. Upon entry of final judgment and funding of the Gross Settlement Amount, in exchange for the consideration set forth in this Agreement, Plaintiff and the Settlement Class Members release the Released Parties from the Released Class Claims for the Class Period. As a result of this release, the

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Settlement Class Members shall be precluded from bringing claims against Defendants for the Released Class Claims.

C. <u>Release by the Aggrieved Employees</u>. Upon entry of final judgment and funding of the Gross Settlement Amount, in exchange for the consideration set forth in this Agreement, the Plaintiff, the LWDA and the State of California release the Released Parties from the Released PAGA Claims for the PAGA Period. As a result of this release, the Aggrieved Employees shall be precluded from bringing claims against Defendants for the Released PAGA Claims.

9 D. General Release by Plaintiff. As of the Funding Date, for the consideration set forth in 10 this Agreement, Plaintiff waives, releases, acquits and forever discharges the Released 11 Parties from any and all claims, whether known or unknown, which exist or may exist on either Plaintiff's behalf as of the date of this Agreement, including but not limited 12 13 to any and all tort claims, contract claims, wage claims, wrongful termination claims, 14 disability claims, benefit claims, public policy claims, retaliation claims, statutory 15 claims, personal injury claims, emotional distress claims, invasion of privacy claims, 16 defamation claims, fraud claims, quantum meruit claims, and any and all claims arising 17 under any federal, state or other governmental statute, law, regulation or ordinance, 18 including, but not limited to claims for violation of the Fair Labor Standards Act, the 19 California Labor Code, the Wage Orders of California's Industrial Welfare 20 Commission, other state wage and hour laws, the Americans with Disabilities Act, the 21 Age Discrimination in Employment Act (ADEA), the Employee Retirement Income 22 Security Act, Title VII of the Civil Rights Act of 1964, the California Fair Employment 23 and Housing Act, the California Family Rights Act, the Family Medical Leave Act, 24 California's Whistleblower Protection Act, California Business & Professions Code 25 Section 17200 et seq., and any and all claims arising under any federal, state or other 26 governmental statute, law, regulation or ordinance. Plaintiff also waives and 27 relinquishes any and all claims, rights or benefits that he may have under California 28 Civil Code § 1542, which provides as follows: 10

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A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED PARTY.

Thus, notwithstanding the provisions of section 1542, and to implement a full and complete release and discharge of the Released Parties, Plaintiff expressly acknowledges this Settlement Agreement is intended to include in its effect, without limitation, all claims Plaintiff does not know or suspect to exist in Plaintiff's favor at the time of signing this Settlement Agreement, and that this Settlement Agreement contemplates the extinguishment of any such claims. Plaintiff warrants that Plaintiff has read this Settlement Agreement, including this waiver of California Civil Code section 1542, and that Plaintiff has consulted with or had the opportunity to consult with counsel of Plaintiff's choosing about this Settlement Agreement and specifically about the waiver of section 1542, and that Plaintiff understands this Settlement Agreement and the section 1542 waiver, and so Plaintiff freely and knowingly enters into this Settlement Agreement. Plaintiff further acknowledges that Plaintiff later may discover facts different from or in addition to those Plaintiff now knows or believes to be true regarding the matters released or described in this Settlement Agreement, and even so Plaintiff agrees that the releases and agreements contained in this Settlement Agreement shall remain effective in all respects notwithstanding any later discovery of any different or additional facts. Plaintiff expressly assumes any and all risk of any mistake in connection with the true facts involved in the matters, disputes, or controversies released or described in this Settlement Agreement or with regard to any facts now unknown to Plaintiff relating thereto.

E. <u>Conditions Precedent</u>: This Settlement will become final and effective only upon the occurrence of all of the following events:

1	1. The Court enters an order granting preliminary approval of the Settlement;
2	2. The Court enters an order granting final approval of the Settlement and a Final
3	Judgment;
4	3. If an objector appears at the final approval hearing, the time for appeal of the
5	Final Judgment and Order Granting Final Approval of Class Action
6	Settlement expires; or, if an appeal is timely filed, there is a final resolution of
7	any appeal from the Judgment and Order Granting Final Approval of Class
8	Action Settlement; and
9	4. Defendants fully fund the Gross Settlement Amount.
10	F. <u>Nullification of Settlement Agreement</u> . If this Settlement Agreement is not
11	preliminarily or finally approved by the Court, fails to become effective, or is reversed,
12	withdrawn or modified by the Court, or in any way prevents or prohibits Defendants
13	from obtaining a complete resolution of the Released Claims, or if Defendants fail to
14	fully fund the Gross Settlement Amount:
15	1. This Settlement Agreement shall be void <i>ab initio</i> and of no force or effect,
16	and shall not be admissible in any judicial, administrative or arbitral
17	proceeding for any purpose or with respect to any issue, substantive or
18	procedural;
19	2. The conditional class certification (obtained for any purpose) shall be void <i>ab</i>
20	initio and of no force or effect, and shall not be admissible in any judicial,
21	administrative or arbitral proceeding for any purpose or with respect to any
22	issue, substantive or procedural; and
23	3. None of the Parties to this Settlement will be deemed to have waived any
24	claims, objections, defenses or arguments in the Action, including with respect
25	to the issue of class certification.
26	4. Defendants shall bear the sole responsibility for any cost to issue or reissue
27	any curative notice to the Settlement Class Members and all Claims
28	Administration Expenses incurred to the date of nullification if the Agreement 12
	STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS
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is nullified due to Defendants' failure to fully fund the Gross Settlement
Amount. If the Agreement is nullified for any other reason, both Parties shall
equally bear the responsibility for any cost to issue or reissue any curative
notice to the Settlement Class Members and all Claims Administration
Expenses incurred to the date of nullification.

G. <u>Certification of the Settlement Class</u>. The Parties stipulate to conditional class certification of the Class for the Class Period for purposes of settlement only. In the event that this Settlement is not approved by the Court, fails to become effective, or is reversed, withdrawn or modified by the Court, or in any way prevents or prohibits Defendants from obtaining a complete resolution of the Released Claims, the conditional class certification (obtained for any purpose) shall be void *ab initio* and of no force or effect, and shall not be admissible in any judicial, administrative or arbitral proceeding for any purpose or with respect to any issue, substantive or procedural.

H. Tax Liability. The Parties make no representations as to the tax treatment or legal effect of the payments called for, and Class Members and/or Aggrieved Employees are not relying on any statement or representation by the Parties in this regard. Class Members and/or Aggrieved Employees understand and agree that they will be responsible for the payment of any taxes and penalties assessed on the Individual Settlement Payments and/or Aggrieved Employees' individual shares of the Aggrieved Employee Payment described and will be solely responsible for any penalties or other obligations resulting from their personal tax reporting of Individual Settlement Payments and/or Aggrieved Employees' individual shares of the Aggrieved Employees Payment.

24I.Circular 230 Disclaimer. Each Party to this Agreement (for purposes of this section,25the "acknowledging party" and each Party to this Agreement other than the26acknowledging party, an "other party") acknowledges and agrees that: (1) no provision27of this Agreement, and no written communication or disclosure between or among the28Parties or their attorneys and other advisers, is or was intended to be, nor shall any13

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1		such communication or disclosure constitute or be construed or be relied upon as, tax
2		advice within the meaning of United States Treasury Department circular 230 (31 CFR
3		part 10, as amended); (2) the acknowledging party (a) has relied exclusively upon his,
4		her or its own, independent legal and tax counsel for advice (including tax advice) in
5		connection with this Agreement, (b) has not entered into this Agreement based upon
6		the recommendation of any other Party or any attorney or advisor to any other Party,
7		and (c) is not entitled to rely upon any communication or disclosure by any attorney
8		or adviser to any other party to avoid any tax penalty that may be imposed on the
9		acknowledging party, and (3) no attorney or adviser to any other Party has imposed
10		any limitation that protects the confidentiality of any such attorney's or adviser's tax
11		strategies (regardless of whether such limitation is legally binding) upon disclosure by
12		the acknowledging party of the tax treatment or tax structure of any transaction,
13		including any transaction contemplated by this Agreement.
14	J.	Preliminary Approval Motion. As soon as practicable, Plaintiff shall file with the Court
15		a Motion for Order Granting Preliminary Approval and supporting papers, which shall
16		include this Settlement Agreement. Plaintiff will provide Defendants with a draft of
17		the Motion at least three (3) court days prior to the filing of the Motion to give
18		Defendants an opportunity to propose changes or additions to the Motion.
19	К.	Settlement Administrator. The Settlement Administrator shall be responsible for:
20		establishing and administering the QSF; calculating, processing and mailing payments
21		to the Class Representative, Class Counsel, LWDA and Class Members; printing and
22		mailing the Notice Packets to the Class Members as directed by the Court; receiving
23		and reporting the objections and requests for exclusion; calculating, deducting and
24		remitting all legally required taxes from Individual Settlement Payments and
25		distributing tax forms for the Wage Portion, the Penalties Portion and the Interest
26		Portion of the Individual Settlement Payments and/or Aggrieved Employees'
27		individual shares of the Aggrieved Employee Payment; processing and mailing tax
28		payments to the appropriate state and federal taxing authorities; providing 14
		STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS

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declaration(s) as necessary in support of preliminary and/or final approval of this Settlement; and other tasks as the Parties mutually agree or the Court orders the Settlement Administrator to perform. The Settlement Administrator shall keep the Parties timely apprised of the performance of all Settlement Administrator responsibilities by among other things, sending a weekly status report to the Parties' counsel stating the date of the mailing, the of number of Elections Not to Participate in Settlement it receives (including the numbers of valid and deficient), and number of objections received.

L. <u>Notice Procedure</u>.

 <u>Class Data.</u> No later than ten (10) calendar days after the Preliminary Approval Date, Defendants shall provide the Settlement Administrator with the Class Data for purposes of preparing and mailing Notice Packets to the Class Members. The Class Data will be presumed to be correct unless a particular Class Member proves otherwise to the Settlement Administrator by credible written evidence. All Workweek and PAGA Pay Period disputes will be resolved and decided by the Settlement Administrator, and the Settlement Administrator's decision on all Workweek disputes is final and nonappealable.

2. <u>Notice Packets</u>.

 a) The Notice Packet shall contain the Notice of Class Action Settlement in a form substantially similar to the form attached as Exhibit A. The Notice of Class Action Settlement shall inform Class Members and Aggrieved Employees that they need not do anything in order to receive an Individual Settlement Payment and/or Aggrieved Employees' individual shares of the Aggrieved Employee Payment and to keep the Settlement Administrator apprised of their current mailing address, to which the Individual Settlement Payments and/or Aggrieved Employees' individual shares of the Aggrieved Employee 15

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Payment will be mailed following the Funding Date in the timeframe set forth in this Agreement. The Notice of Class Action Settlement shall set forth the release to be given by all members of the Class who do not request to be excluded from the Settlement Class and/or Aggrieved Employee in exchange for an Individual Settlement Payment and/or Aggrieved Employees' individual share of the Aggrieved Employee Payment, the number of Workweeks worked by each Class Member during the Class Period and PAGA Period, if any, and the estimated amount of their Individual Settlement Payment if they do not request to be excluded from the Settlement and each Aggrieved Employee's individual share of the Aggrieved Employee Payment, if any. The Settlement Administrator shall use the Class Data to determine Class Members' Workweeks and PAGA Pay Periods. The Notice will also advise the Aggrieved Employees that they will release the Released PAGA Claims and will receive their share of the Aggrieved Employee Payment regardless of whether they request to be excluded from the Settlement.

b) The Notice Packet's mailing envelope shall include the following language: "IMPORTANT LEGAL DOCUMENT- YOU MAY BE ENTITLED TO PARTICIPATE IN A CLASS ACTION SETTLEMENT; A PROMPT REPLY TO CORRECT YOUR ADDRESS IS REQUIRED AS EXPLAINED IN THE ENCLOSED NOTICE."

3. <u>Notice by First Class U.S. Mail</u>. Upon receipt of the Class Data, the Settlement Administrator will perform a search based on the National Change of Address Database to update and correct any known or identifiable address changes. No later than twenty-one (21) calendar days after preliminary approval of the Settlement, the Settlement Administrator shall mail copies of 16

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the Notice Packet to all Class Members via regular First-Class U.S. Mail. The Settlement Administrator shall exercise its best judgment to determine the current mailing address for each Class Member. The address identified by the Settlement Administrator as the current mailing address shall be presumed to be the best mailing address for each Class Member.

4. Undeliverable Notices. Any Notice Packets returned to the Settlement Administrator as non-delivered on or before the Response Deadline shall be re-mailed to any forwarding address provided. If no forwarding address is provided, the Settlement Administrator shall promptly attempt to determine a correct address by lawful use of skip-tracing, or other search using the name, address and/or Social Security number of the Class Member involved, and shall then perform a re-mailing, if another mailing address is identified by the Settlement Administrator. In addition, if any Notice Packets, which are addressed to Class Members who are currently employed by Defendants, are returned to the Settlement Administrator as non-delivered and no forwarding address is provided, the Settlement Administrator shall notify Defendants. Defendants will request that the currently employed Class Member provide a corrected address and transmit to the Settlement Administrator any corrected address provided by the Class Member. Class Members who received a remailed Notice Packet shall have their Response Deadline extended fifteen (15) days from the original Response Deadline.

5. Disputes Regarding Individual Settlement Payments. Class Members will have the opportunity, should they disagree with Defendants' records regarding the start and end dates of employment to provide documentation and/or an explanation to show contrary dates. If there is a dispute, the Settlement Administrator will consult with the Parties to determine whether an adjustment is warranted. The Settlement Administrator shall determine the eligibility for, and the amounts of, any Individual Settlement Payments under 17

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the terms of this Agreement. The Settlement Administrator's determination of the eligibility for and amount of any Individual Settlement Payment shall be binding upon the Class Member and the Parties.

6. Disputes Regarding Administration of Settlement. Any disputes not resolved by the Settlement Administrator concerning the administration of the Settlement will be resolved by the Court under the laws of the State of California. Before any such involvement of the Court, counsel for the Parties will confer in good faith to resolve the disputes without the necessity of involving the Court.

7. Exclusions. The Notice of Class Action Settlement contained in the Notice Packet shall state that Class Members who wish to exclude themselves from the Settlement must submit a written request for exclusion by the Response Deadline. The written request for exclusion must state that the Class Member wishes to exclude himself or herself from the Settlement and (1) must contain the name, address, and the last four digits of the Social Security number of the person requesting exclusion; (2) must be signed by the Class Member; (3) must be postmarked by the Response Deadline and returned to the Settlement Administrator at the specified address; and (4) contain a typewritten or handwritten notice stating in substance: "I wish to opt out of the settlement of the class action lawsuit entitled Cabatit v. Prosciento, Inc., et al., current pending in San Diego County Superior Court, Case No. 37-2023-00051828-CU-OE-CTL. I understand that by requesting to be excluded from the settlement, I will receive no money from the Settlement described in this Notice." The request for exclusion will not be valid if it is not timely submitted, if it is not signed by the Class Member, or if it does not contain the name and address and last four digits of the Social Security number of the Class Member. The date of the postmark on the mailing envelope on the request for exclusion shall be the exclusive means used to determine whether

1		the request for exclusion was timely submitted. Any Class Member who
2		requests to be excluded from the Settlement Class will not be entitled to an
3		Individual Settlement Payment and will not be otherwise bound by the terms
4		of the Settlement or have any right to object, appeal or comment thereon.
5		However, any Class Member that submits a timely request for exclusion that
6		is also a member of the Aggrieved Employees will still receive his/her pro rata
7		share of the PAGA Settlement, as specified below, and in consideration, will
8		be bound by the Release by the Aggrieved Employees as set forth herein.
9		Settlement Class Members who fail to submit a valid and timely written
10		request for exclusion on or before the Response Deadline shall be bound by
11		all terms of the Settlement and any final judgment entered in this Action if the
12		Settlement is approved by the Court. No later than fourteen (14) calendar days
13		after the Response Deadline, the Settlement Administrator shall provide
14		counsel for the Parties with a final list of the Class Members who have timely
15		submitted written requests for exclusion. At no time shall any of the Parties
16		or their counsel seek to solicit or otherwise encourage members of the Class
17		to submit requests for exclusion from the Settlement.
18	8.	Defendant's Option to Withdraw. If more than 10% of the Class Members
19		submit valid and timely exclusion forms pursuant to this Agreement,
20		Defendants at their sole option may withdraw from the Agreement, and
21		Defendants' obligations under prior agreements to settle this Action are
22		entirely void.
23	9.	Objections. The Notice of Class Action Settlement contained in the Notice
24		Packet shall state that Class Members who wish to object to the Settlement
25		may submit to the Settlement Administrator a written statement of objection
26		("Notice of Objection") by the Response Deadline. The postmark date of
27		mailing shall be deemed the exclusive means for determining that a Notice of
28		Objection was served timely. The Notice of Objection, if in writing, must be
	STIPULA	TION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS
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signed by the Settlement Class Member and state: (1) the case name and number; (2) the name of the Settlement Class Member; (3) the address of the Settlement Class Member; (4) the last four digits of the Settlement Class Member's Social Security number; (5) the basis for the objection; and (6) if the Settlement Class Member intends to appear at the Final Approval/Settlement Fairness Hearing. Class Members who fail to make objections in writing in the manner specified above may still make their objections orally at the Final Approval/Settlement Fairness Hearing with the Court's permission. Settlement Class Members will have a right to appear at the Final Approval/Settlement Fairness Hearing to have their objections heard by the Court regardless of whether they submitted a written objection. At no time shall any of the Parties or their counsel seek to solicit or otherwise encourage Class Members to file or serve written objections to the Settlement or appeal from the Order and Final Judgment. Class Members who submit a written request for exclusion may not object to the Settlement. Class Members may not object to the PAGA Payment.

M. <u>Funding and Allocation of the Gross Settlement Amount</u>. The Gross Settlement Amount shall be paid to the Settlement Administrator in two separate installments as follows: The first installment of \$225,000.00 shall be paid within thirty (30) days of the Effective Date. The second installment of \$200,000.00 shall be paid within six (6) months of the first installment payment (i.e., the Funding Date). Defendants are required to pay any employer's share of payroll taxes as mandated by law at the time the first installment is funded.

> <u>Calculation of Individual Settlement Payments</u>. Individual Settlement Payments shall be paid from the Net Settlement Amount and shall be paid pursuant to the formula set forth herein. Using the Class Data, the Settlement Administrator shall add up the total number of Workweeks for all Class Members. The respective Workweeks for each Class Member will be divided 20

		by the total Workweeks for all Class Members, resulting in the Payment Ratio
		for each Class Member. Each Class Member's Payment Ratio will then be
		multiplied by the Net Settlement Amount to calculate each Class Member's
		estimated Individual Settlement Payments. Each Individual Settlement
		Payment will be reduced by any legally mandated employee tax withholdings
		(e.g., employee payroll taxes, etc.). Individual Settlement Payments for Class
		Members who submit valid and timely requests for exclusion will be
		redistributed to Settlement Class Members who do not submit valid and timely
		requests for exclusion on a pro rata basis based on their respective Payment
		Ratios.
	2.	Calculation of Individual Payments to the Aggrieved Employees. Using the
		Class Data, the Settlement Administrator shall add up the total number of
		PAGA Pay Periods for all Aggrieved Employees during the PAGA Period.
		The respective PAGA Pay Periods for each Aggrieved Employee will be
		divided by the total PAGA Pay Periods for all Aggrieved Employees, resulting
		in the "PAGA Payment Ratio" for each Aggrieved Employee. Each
		Aggrieved Employee's PAGA Payment Ratio will then be multiplied by the
		Aggrieved Employee's Portion of the PAGA Payment, \$5,000 (25% of
		\$20,000.00), to calculate each Aggrieved Employee's estimated share of the
		PAGA Payment.
	3.	Allocation of Individual Settlement Payments. For tax purposes, Individual
		Settlement Payments shall be allocated and treated as 20% wages ("Wage
		Portion") and 40% penalties ("Penalty Portion") and 40% pre-judgment
		interest ("Interest Portion"). The Wage Portion of the Individual Settlement
		Payments shall be reported on IRS Form W-2 and the Penalty and Interest
		Portions of the Individual Settlement Payments shall be reported on IRS Form
		1099 issued by the Settlement Agreement.
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STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS

- <u>Allocation of Aggrieved Employee Payments</u>. For tax purposes, Aggrieved Employee Payments shall be allocated and treated as 100% penalties and shall be reported on IRS Form 1099.
- 5. <u>No Credit Toward Benefit Plans</u>. The Individual Settlement Payments and individual shares of the PAGA Payment made to Settlement Class Members and/or Aggrieved Employees under this Settlement Agreement, as well as any other payments made pursuant to this Settlement Agreement, will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any Class Members may be entitled under any benefit plans.
- 6. All monies received by Settlement Class Members under the Settlement which are attributable to wages shall constitute income to such Settlement Class Members solely in the year in which such monies actually are received by the Settlement Class Members. It is the intent of the Parties that Individual Settlement Payments and individual shares of the PAGA Payment provided for in this Settlement Agreement are the sole payments to be made by Defendants to Settlement Class Members and/or Aggrieved Employees in connection with this Settlement Agreement, with the exception of Plaintiffs, and that the Settlement Class Members and/or Aggrieved Employees are not entitled to any new or additional compensation or benefits as a result of having received the Individual Settlement Payments and/or their shares of the Aggrieved Employee Payment.
 - Mailing. Individual Settlement Payments and PAGA Payments shall be mailed by regular First-Class U.S. Mail to Settlement Class Members' and/or

Aggrieved Employees' last known mailing address no later than fifteen (15) calendar days after the Funding Date.

8. Expiration. Any checks issued to Settlement Class Members and Aggrieved Employees shall remain valid and negotiable for one hundred and eighty (180) days from the date of their issuance. If a Settlement Class Member and/or Aggrieved Employee does not cash his or her settlement check within ninety (90) days, the Settlement Administrator will send a letter to such persons, advising that the check will expire after the 180th day, and invite that Settlement Class Member and/or Aggrieved Employee to request reissuance in the event the check was destroyed, lost or misplaced. In the event an Individual Settlement Payment and/or Aggrieved Employee's individual share of the PAGA Payment check has not been cashed within one hundred and eighty (180) days, all funds represented by such uncashed checks, plus any interest accrued thereon, shall be paid to the Community Law Project, a Cy Pres, in accordance with California Code of Civil Procedure section 384.

9. <u>Final Report by Settlement Administrator</u>. Within fourteen (14) calendar days after the disbursement of all funds, the Settlement Administrator will serve on the Parties a declaration providing a final report on the disbursement of all funds.

10. Service Award. In addition to the Individual Settlement Payment as a Settlement Class Member and his individual share of the Aggrieved Employee Payment, Plaintiff will apply to the Court for an award of not more than \$12,500.00 as the Service Award. Defendants will not oppose a Service Award of not more than \$12,500.00 for Plaintiff. The Settlement Administrator shall pay the Service Award, either in the amount stated herein if approved by the Court or some other amount as approved by the Court, to Plaintiff from the Gross Settlement Amount no later than fifteen (15) calendar days after the Funding Date. Any portion of the requested Service Award that

1		is not awarded to the Class Representative shall be part of the Net Settlement
2		Amount and shall be distributed to Settlement Class Members as provided in
3		this Agreement. The Settlement Administrator shall issue an IRS Form 1099
4		- MISC to Plaintiff for his Service Award. Plaintiff shall be solely and
5		legally responsible to pay any and all applicable taxes on his Service Award
6		and shall hold harmless the Released Parties from any claim or liability for
7		taxes, penalties, or interest arising as a result of the Service Awards. Approval
8		of this Settlement shall not be conditioned on Court approval of the requested
9		amount of the Service Award. If the Court reduces or does not approve the
10		requested Service Award, Plaintiff shall not have the right to revoke the
11		Settlement, and it will remain binding.
12	11.	Attorneys' Fees and Attorneys' Expenses. Defendants understand Class
13		Counsel will file a motion for or Attorneys' Fees not to exceed one-third of
14		the Gross Settlement Amount currently estimated to be \$141,666.66 and
15		Attorneys' Expenses supported by declaration not to exceed Twenty-Five
16		Thousand Dollars (\$25,000.00). Any awarded Attorneys' Fees and Attorneys'
17		Expenses shall be paid from the Gross Settlement Amount. Any portion of
18		the requested Attorneys' Fees and/or Attorneys' Expenses that are not
19		awarded to Class Counsel shall be part of the Net Settlement Amount and shall
20		be distributed to Settlement Class Members as provided in this Agreement.
21		The Settlement Administrator shall allocate and pay the Attorneys' Fees to
22		Class Counsel from the Gross Settlement Amount no later than fifteen (15)
23		calendar days after the Funding Date. Class Counsel shall be solely and
24		legally responsible to pay all applicable taxes on the payment made pursuant
25		to this paragraph. The Settlement Administrator shall issue an IRS Form 1099
26		- MISC to Class Counsel for the payments made pursuant to this paragraph.
27		In the event that the Court reduces or does not approve the requested
28		Attorneys' Fees, Plaintiff and Class Counsel shall not have the right to revoke 24
	STIPULA	TION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS

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the Settlement, or to appeal such order, and the Settlement will remain binding.

12. PAGA Payment. Twenty Thousand Dollars and Zero Cents (\$20,000,00) shall be allocated from the Gross Settlement Amount for settlement of claims for civil penalties under the Private Attorneys General Act of 2004. The Settlement Administrator shall pay seventy-five percent (75%) of the PAGA Payment (\$15,000.00) to the California Labor and Workforce Development Agency no later than fifteen (15) calendar days after the Funding Date. Twenty-five percent (25%) of the PAGA Payment (\$5,000.00) will be distributed to the Aggrieved Employees as described in this Agreement. For purposes of distributing the PAGA Payment to the Aggrieved Employees, each Aggrieved Employee shall receive their pro-rata share of the Aggrieved Employee Payment using the PAGA Payment Ratio as defined above.

13. Claims Administration Expenses. The Settlement Administrator shall be paid for the costs of administration of the Settlement from the Gross Settlement Amount. The estimate of the Administration Costs is \$7,000.00. The Settlement Administrator shall be paid the Claims Administration Expenses no later than fifteen (15) calendar days after the Funding Date.

19 N. Final Approval Motion. Class Counsel and Plaintiff shall use best efforts to file with 20 the Court a Motion for Order Granting Final Approval and Entering Judgment, within twenty-eight (28) days following the expiration of the Response Deadline, which 22 motion shall request final approval of the Settlement and a determination of the amounts payable for the Service Award, the Attorneys' Fees and Attorneys' 24 Expenses, the PAGA Payment, and the Claims Administration Expenses. Plaintiff 25 will provide Defendants with a draft of the Motion at least three (3) court days prior to the filing of the Motion to give Defendants an opportunity to propose changes or 26 additions to the Motion.

1		1. <u>Declaration by Settlement Administrator</u> . No later than seven (7) days after
2		the Response Deadline, the Settlement Administrator shall submit a
3		declaration in support of Plaintiff's motion for final approval of this
4		Settlement detailing the number of Notice Packets mailed and re-mailed to
5		Class Members, the number of undeliverable Notice Packets, the number of
6		timely requests for exclusion, the number of objections received, the amount
7		of the average Individual Settlement Payment and highest Individual
8		Settlement Payment, the Claims Administration Expenses, and any other
9		information as the Parties mutually agree or the Court orders the Settlement
10		Administrator to provide.
11		2. Final Approval Order and Judgment. Class Counsel shall present an Order
12		Granting Final Approval of Class Action Settlement to the Court for its
13		approval, and Judgment thereon, at the time Class Counsel files the Motion
14	6	for Final Approval.
15	N.	Review of Motions for Preliminary and Final Approval. Class Counsel will provide
16		an opportunity for Counsel for Defendants to review the Motions for Preliminary and
17		Final Approval, including the Order Granting Final Approval of Class Action
18		Settlement, and Judgment before filing with the Court. The Parties and their counsel
19		will cooperate with each other and use their best efforts to effectuate the Court's
20		approval of the Motions for Preliminary and Final Approval of the Settlement, and
21		entry of Judgment.
22	О.	Cooperation. The Parties and their counsel will cooperate with each other and use
23		their best efforts to implement the Settlement. The Parties will cooperate in vacating
24		any and all class certification deadlines and trial dates. The Parties will cooperate in
25		staying any and all discovery deadlines.
26	Ρ.	Interim Stay of Proceedings. The Parties agree to stay all proceedings in the Action,
27		except such proceedings necessary to implement and complete the Settlement, pending
28		the Final Approval/Settlement Fairness Hearing to be conducted by the Court. 26
		STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS

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Q.	Continuing Jurisdiction. The Court shall retain continuing jurisdiction over this Action
	under California Code of Civil Procedure section 664.6 to ensure the continuing
	implementation of the provisions of this Settlement and that the time within which to
	bring this Action to trial under California Code of Civil Procedure section 583.310
	shall be extended from the date of signing of this Agreement by all Parties until the
	entry of the Final Approval Order and Judgment or if not entered, the date this
	Agreement shall not longer be of any force or effect.

R. <u>Amendment or Modification</u>. This Agreement may be amended or modified only by a written instrument signed by counsel for all Parties or their successors-in-interest.

10S.Entire Agreement. This Agreement and any attached Exhibit constitute the entire11Agreement among these Parties, and no oral or written representations, warranties or12inducements have been made to any Party concerning this Agreement or its Exhibit13other than the representations, warranties and covenants contained and memorialized14in this Agreement and its Exhibit.

15 Τ. Authorization to Enter into Settlement Agreement. Counsel for all Parties warrant and represent they are expressly authorized by the Parties whom they represent to negotiate 16 17 this Agreement and to take all appropriate Action required or permitted to be taken by 18 such Parties pursuant to this Agreement to effectuate its terms, and to execute any other 19 documents required to effectuate the terms of this Agreement. The persons signing 20 this Agreement on behalf of Defendants represents and warrants that he/she is 21 authorized to sign this Agreement on behalf of Defendants. Plaintiff represents and 22 warrants that he is authorized to sign this Agreement and that he has not assigned any 23 claim, or part of a claim, covered by this Settlement to a third-party.

 <u>Binding on Successors and Assigns</u>. This Agreement shall be binding upon, and inure to the benefit of, the successors or assigns of the Parties, as previously defined.

V. <u>California Law Governs</u>. All terms of this Agreement and the Exhibit and any disputes shall be governed by and interpreted according to the laws of the State of California.

STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS

1	W.	Counterparts. This Agreement may be executed in one or more counterparts by
2		facsimile, electronic signature, or e-mail, for purposes of this Agreement shall be
3		accepted as an original. All executed counterparts and each of them shall be deemed
4		to be one and the same instrument provided that counsel for the Parties to this
5		Agreement shall exchange among themselves copies or originals of the signed
6		counterparts. Any executed counterpart will be admissible in evidence to prove the
7		existence and contents of this Agreement.
8	X.	Court Filings. The Parties shall not object to any Court filings consistent with this
9		Agreement.
10	Y.	Disputes. Any disputes between the Parties as to the remaining terms of the Settlement
11		Agreement shall be presented to the mediator Monique Ngo-Bonnici, Esq. for
12		resolution.
13	Z.	This Settlement Is Fair, Adequate and Reasonable. The Parties believe this Settlement
14		is a fair, adequate and reasonable settlement of this Action and have arrived at this
15		Settlement after extensive arms-length negotiations, taking into account all relevant
16		factors, present and potential.
17	AA.	Jurisdiction of the Court. The Parties agree that the Court shall retain jurisdiction with
18		respect to the interpretation, implementation and enforcement of the terms of this
19		Agreement and all orders and judgments entered in connection therewith, and the
20		Parties and their counsel submit to the jurisdiction of the Court for purposes of
21		interpreting, implementing and enforcing the settlement and all orders and judgments
22		entered in connection with this Agreement.
23	BB.	Invalidity of Any Provision. Before declaring any provision of this Agreement invalid,
24		the Court shall first attempt to construe the provisions valid to the fullest extent
25		possible consistent with applicable precedents so as to define all provisions of this
26		Agreement valid and enforceable.
27	CC.	No Unalleged Claims. Plaintiff and Class Counsel represent that they do not currently
28		intend to pursue any claims against the Released Parties, including, but not limited to, 28
	5	STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS
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1		any and all claims relating to or arising from Plaintiff's employment with Defendants,
2		regardless of whether Class Counsel is currently aware of any facts or legal theories
3		upon which any claims or causes of action could be brought against Released Parties,
4		including those facts or legal theories alleged in the operative complaint in this Action.
5		The Parties further acknowledge, understand and agree that this representation is
6		essential to the Agreement and that this Agreement would not have been entered into
7		were it not for this representation.
8	DD.	Waiver of Certain Appeals. The Parties agree to waive appeals and to stipulate to class
9		certification for purposes of this settlement only.
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1 EE. No Admissions by the Parties. Plaintiff has claimed and continues to claim 2 Released Claims have merit and give rise to liability on the part of De 3 Defendants claim that the Released Claims have no merit and do not give liability. This Agreement is a compromise of disputed claims. Nothing con 5 Defendants claim that the Released Claims have no merit and do not give liability. This Agreement is a compromise of disputed claims. Nothing con 5 this Agreement and no documents referred to and no action taken to carry 6 Plaintiff or Class Counsel as to the merits or lack thereof of the claims asserted than as may be specifically set forth herein, each Party shall be responsible shall bear their own attorney's fees and costs. 10 IT IS SO AGREED, FORM AND CONTENT, BY PLAINTIFF: 12 DATED:				I
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1	IT IS SO AGREED AS TO FOR	RM BY CO	UNSEL:	
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3	DATED: 09/18/2024		JCL LAW FIRM, A.P.C.	
4		By:	to your	
5			Jean-Claude Lapuyade, Esq. Attorneys for Plaintiff and the Settlement Class	
6			Members	
7				
8	DATED: 09/18/2024		ZAKAY LAW GROUP, APLC	
9		By:	to	
10			Shani O. Zakay, Esq. Attorneys for Plaintiff and the Settlement Class	
11			Members	
12				
13	DATED: 10/2/2024		SCHOR VOGELZANG & CHUNG LLP	
14		By:	20	
15		(C.	Julie Vogelzang, Esq. Janelle Thornton, Esq.	
16			Attorneys for Defendants	
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	31 STIPULATION OF SETTLEMENT OF CLASS ACTION AND RELEASE OF CLAIMS			
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EXHIBIT A

NOTICE OF PENDENCY OF CLASS AND REPRESENTATIVE ACTION SETTLEMENT AND FINAL HEARING DATE

(Cabatit v. Prosciento, Inc., et al., San Diego Superior Court Case No. 37-2023-00051828-CU-OE-CTL)

YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR DO NOT ACT. PLEASE READ THIS NOTICE CAREFULLY.

SUMMARY OF YO	OUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:
Do Nothing and Receive a Payment	To receive a cash payment from the Settlement, you do not have to do anything.Your estimated Individual Settlement Payment is: \$<<>>>. See the explanation below.After final approval by the Court, the payment will be mailed to you at the same address as this notice. If your address has changed, please
Exclude Yourself	Defendants as detailed below. If you wish to exclude yourself from the Settlement, you must send a written request for exclusion to the Settlement Administrator as provided below. If you request exclusion, you will receive no money from the Settlement. Instructions are set forth below.
Object	You may write to the Court about why you believe the settlement should not be approved. Directions are provided below.

1. Why did I get this Notice?

A proposed class action settlement (the "Settlement") of this lawsuit pending in the Superior Court for the State of California, County of San Diego (the "Court") has been reached between Plaintiff Justin Cabatit ("Plaintiff") and Defendants Prosciento, Inc. and Prosciento Clinical Research Ventures, Inc. ("Defendants"). The Court has granted preliminary approval of the Settlement. You may be entitled to receive money from this Settlement.

You have received this Class Notice because you have been identified as a member of the Class.

The Class is defined as:

All non-exempt employees who are or previously were employed by Defendants Prosciento, Inc. and/or Defendant Prosciento Clinical Research Ventures, Inc. and performed work in California during the Class Period.

The "Class Period" is the period from November 30, 2019 to June 30, 2024.

This Class Notice explains the lawsuit, the Settlement, and your legal rights. It is important that you read this Notice carefully as your rights may be affected by the Settlement.

2. What is this class action lawsuit about?

On November 30, 2023, Plaintiff filed a Complaint against Defendants in the Superior Court of the State of California, County of San Diego, asserting causes of action for: (1) Unfair Competition (Bus. & Prof. Code §§ 17200 et seq.); (2) Failure to Pay Minimum Wages (Labor Code §§ 1194, 1197 and 1197.1); (3) Failure to Pay Overtime Wages (Labor Code §§ 510 et seq.); (4) Failure to Provide Required Meal Periods (Labor Code §§ 226.7, 512 and the applicable Wage Order); (5) Failure to Provide Required Rest Periods (Labor Code §§ 226.7, 516 and the applicable wage order); (6) Failure to Reimburse Employees for Required Expenses (Labor Code § 2802); (7) Failure to Provide Wages When Due (Labor Code §§ 201, 202, 203); and (8) Failure to Provide Accurate Itemized Statements (Labor Code § 226 and 226.2 et seq.). On November 15, 2023, Plaintiff filed a Notice of Violations with the Labor and Workforce Development Agency (LWDA) and served the same on Defendants. The operative First Amended Complaint includes an additional cause of action for Violations of the Private Attorneys General Act [Labor Code §§ 2698, *et seq.*].

Defendants expressly deny any liability or wrongdoing of any kind associated with the claims alleged in the Action, dispute any wages, damages and penalties claimed by the Class Representative are owed, and further contend that, for any purpose other than settlement, the Action is not appropriate for class or representative action treatment. Defendants contend, among other things, that at all times they complied with the California Labor Code and the Industrial Welfare Commission Wage Orders.

On April 30, 2024, the Parties participated in an all-day mediation with Monique Ngo-Bonnici, Esq., an experienced mediator of wage and hour class actions. The mediation concluded with a mutually-agreeable settlement. The Court granted preliminary approval of the Settlement on <<INSERT PRELIMINARY APPROVAL DATE>>. At that time, the Court also preliminarily approved the Plaintiff to serve as the Class Representative, and the law firms of JCL Law Firm, APC and Zakay Law Group, APLC to serve as Class Counsel.

3. What are the terms of the Settlement?

<u>Gross Settlement Amount</u>. Defendants have agreed to pay an "all in" amount of Four Hundred Twenty-Five Thousand Dollars and Zero Cents (\$425,000.00) (the "Gross Settlement Amount") to fund the settlement. The Gross Settlement Amount includes the payment of all Settlement Shares to Settlement Class Members, Class Counsel's attorneys' fees and costs, Claims Administration Expenses, the PAGA Payment, and the Service Award to the Plaintiff.

Defendants will pay the Gross Settlement Amount by depositing the money with the Settlement Administrator in two separate installments as follows: The first installment of \$225,000.00 shall be paid within thirty (30) days of the Effective Date. The second installment of \$200,000.00 shall be made within six (6) months of the first installment payment. "The Effective Date" means the date the Judgment is no longer subject to appeal, or if an appeal is filed, the date the appeal process is completed, and the Judgment is affirmed.

<u>Amounts to be Paid from the Gross Settlement Amount</u>. The Settlement provides for certain payments to be made from the Gross Settlement Amount, which will be subject to final Court approval, and which will be deducted from the Gross Settlement Amount before settlement payments are made to Class Members, as follows:

- <u>Claims Administration Expenses</u>. Payment to the Settlement Administrator, estimated not to exceed \$7,000.00 for expenses, including expenses of sending this Notice, processing opt-outs, and distributing settlement payments.
- <u>Attorneys' Fees and Expenses</u>. Payment to Class Counsel of Attorneys' Fees of no more than 1/3 of the Gross Settlement Amount (currently \$141,666.66) and Attorneys' Expenses of not more than \$25,000.00 for all expenses incurred as documented in Class Counsel's billing records, both subject to Court approval.

Class Counsel have been prosecuting the Actions on behalf of Plaintiff and the Class on a contingency fee basis (that is, without being paid any money to date) and have been paying all litigation costs and expenses.

- <u>Service Award</u>. Service Award of up to Twelve Thousand Five Hundred Dollars (\$12,500.00) to Plaintiff or such lesser amount as may be approved by the Court, to compensate his for services on behalf of the Class in initiating and prosecuting the Action, and for the risks he undertook.
- <u>PAGA Payment</u>. A payment of \$20,000.00 relating to Plaintiff's claim under the Private Attorneys General Act ("PAGA"), \$15,000.00 of which will be paid to the State of California's Labor and Workforce Development Agency ("LWDA") and the remaining \$5,000.00 will be distributed to Aggrieved Employees as part of the Net PAGA Amount.
- <u>Calculation of Payments to Settlement Class Members</u>. After all the above payments of the court-approved Attorneys' Fees, Attorneys' Expenses, the Service Award, the PAGA Payment, and the Claims Administration Expenses are deducted from the Gross Settlement Amount, the remaining portion, called the "Net Settlement Amount," shall be distributed to class members who do **not** request exclusion ("Settlement Class Members"). Settlement Class Members will be paid based on the number of workweeks worked during the Class Period. A "workweek" is defined as any seven (7) consecutive days beginning on Monday and ending on Sunday, or as otherwise defined by Defendants during the Class Period, in which a Class Member is employed by Defendants during the Class Period in California.
- <u>Calculation of Aggrieved Employees Payments to Aggrieved Employees.</u> The PAGA Payment shall be distributed to Aggrieved Employees irrespective of whether they exclude themselves or opt-out. The PAGA Payment will be divided by the total number of pay periods worked by all Aggrieved Employees during the PAGA Period, and then taking that number and multiplying it by the number of pay periods worked by each respective Aggrieved Employee during the PAGA Period. "Aggrieved Employees" means all non-exempt employees who are or previously were employed by Defendants Prosciento, Inc. and/or Prosciento Clinical Research Ventures, Inc. and performed work in California during the PAGA Period. The PAGA Period means the period from November 15, 2022 to June 30, 2024.

If the Settlement is approved by the Court, you will automatically be mailed a check for your Individual Settlement Payment to the same address as this Class Notice. You do not have to do anything to receive a payment. If your address has changed, you must contact the Settlement Administrator to inform them of your correct address to ensure you receive your payment.

<u>Tax Matters</u>. Twenty percent (20%) of each Individual Settlement Payment is allocated to wages. Taxes are withheld from this amount, and each Settlement Class Member will be issued an Internal Revenue Service Form W-2 for such payment. Forty percent (40%) of each Individual Settlement Payment is allocated to interest and forty percent (40%) to penalties, and other non-wage payments, and no taxes will be withheld from the PAGA Payment paid to Aggrieved Employees. Each Settlement Class Member will be issued an Internal Revenue Service Form 1099 for Penalty Portion and Interest Portion of the Individual Settlement Payments. In addition, no taxes will be withheld from the PAGA Payment paid to Aggrieved Employee Service Form 1099 for such payment. Neither Class Counsel nor Defendants' counsel intend anything contained in this Settlement to constitute advice regarding taxes or taxability. You may wish to consult a tax advisor concerning the tax consequences of the payments received under the Settlement.

No Credit Toward Benefit Plans. The Individual Settlement Payments and Aggrieved Employee Payments made to Settlement Class Members and/or Aggrieved Employees under this Settlement Agreement, as well as any other

payments made pursuant to this Settlement Agreement, will not be utilized to calculate any additional benefits under any benefit plans to which any Class Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans, stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather, it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or amounts to which any Class Members may be entitled under any benefit plans.

<u>Conditions of Settlement</u>. This Settlement is conditioned upon the Court entering an order granting final approval of the Settlement and entering judgment.

4. What Do I Release Under the Settlement?

<u>Released Claims</u>. Upon entry of final judgment and funding in full of the Gross Settlement Amount by Defendants, Plaintiff and the Settlement Class Members shall release all Released Class Claims that occurred during the Class Period as to the Released Parties. Released Class Claims means all class claims alleged, or reasonably could have been alleged based on the facts alleged, in the Operative Complaint in the Action which occurred during the Class Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation, and class claims outside of the Class Period.

The Released PAGA Claims shall be released as follows. As of the Settlement Effective Date and upon funding in full of the Gross Settlement Amount by Defendants, all Aggrieved Employees shall release all Released PAGA Claims, irrespective of whether they opted-out of the class settlement and will be bound by this PAGA Release (the "PAGA Release"). "Released PAGA Claims" means all PAGA claims alleged or which reasonably could have been alleged based on the facts pleaded in the Operative Complaint in the Action and Plaintiff's PAGA notice to the LWDA which occurred during the PAGA Period, and expressly excluding all other claims, including claims for vested benefits, wrongful termination, unemployment insurance, disability, social security, workers' compensation and PAGA claims outside the PAGA Period.

This means that, if you do not timely and formally exclude yourself from the settlement, you cannot sue, continue to sue, or be part of any other lawsuit against Defendants about the legal issues resolved by this Settlement. It also means that all of the Court's orders in this Action will apply to you and legally bind you.

5. How much will my payment be?

Defendants' records reflect that you have <<____>> Workweeks worked during the Class Period (November 30, 2019 to June 30, 2024).

Based on this information, your estimated Settlement Share is << _____>>.

Defendants' records reflect that you have <<____>> pay periods worked during the PAGA Period (November 15, 2022 to June 30, 2024).

Based on this information, your estimated PAGA Payment Share is <<____>>.

If you wish to challenge the information set forth above, then you must submit a written, signed dispute challenging the information along with supporting documents, to the Settlement Administrator at the address provided in this Notice no later than forty-five (45) days after the Notice is first mailed or fifteen (15) days after the re-mailed Notice.

6. How can I get a payment?

To get money from the settlement, you do not have to do anything. A check for your settlement payment will be mailed automatically to the same address as this Notice. If your address is incorrect or has changed, you must notify the Settlement Administrator. The Settlement Administrator is: Apex Class Action LLC.

The Court will hold a hearing on _______ to decide whether to finally approve the Settlement. If the Court approves the Settlement and there are no objections or appeals, payments will be mailed within a few months after this hearing. If there are objections or appeals, resolving them can take time, perhaps more than a year. Please be patient. After entry of the Judgment, the Settlement Administrator will provide notice of the final judgment to the Class Members by posting a copy of the Judgment on the administrator's website at www.apexclassaction.com.

7. What if I don't want to be a part of the Settlement?

If you do not wish to participate in the Settlement, you may exclude yourself from the Settlement or "opt out." If you opt out, you will receive NO money from the Settlement, and you will not be bound by its terms, except as provided as follows. Irrespective of whether you exclude yourself from the Settlement or "opt out," if you are an Aggrieved Employee, you will be bound by the PAGA Release, you will be deemed to have released the Released PAGA Claims, and you will receive a share of the PAGA Payment.

To opt out, you must submit to the Settlement Administrator, by First Class Mail, a written, signed and dated request for exclusion postmarked no later than ______. The address for the Settlement Administrator is 18 Technology Drive, Suite 164, Irvine, CA 92618; Tel. (800) 355-0700. The request for exclusion must state in substance that the Class Member has read the Class Notice and that he or she wishes to be excluded from the settlement of the class action lawsuit entitled *Cabatit v. Prosciento, Inc., et al.,* currently pending in Superior Court of San Diego, Case No. 37-2023-00051828-CU-OE-CTL. The request for exclusion must contain your name, address, signature and the last four digits of your Social Security Number for verification purposes. The request for exclusion must be signed by you. No other person may opt out for a member of the Class.

Written requests for exclusion that are postmarked after ______, or are incomplete or unsigned will be rejected, and those Class Members will remain bound by the Settlement and the release described above.

8. How do I tell the Court that I would like to challenge the Settlement?

Any Class Member who has not opted out and believes that the Settlement should not be finally approved by the Court for any reason, may object to the proposed Settlement. Objections may be in writing and state the Class Member's name, current address, telephone number, and describe why you believe the Settlement is unfair and whether you intend to appear at the final approval hearing. All written objections or other correspondence must also state the name and number of the case, which is *Cabatit v. Prosciento, Inc., et al. San Diego County Superior Court Case No. 37-2023-00051828-CU-OE-CTL*. You may also object without submitting a written objection by appearing at the final approval hearing scheduled as described in Section 9 below.

To object to the Settlement, you cannot opt out. If the Court approves the Settlement, you will be bound by the terms of the Settlement in the same way as Class Members who do not object. Any Class Member who does not object in the manner provided in this Class Notice shall have waived any objection to the Settlement, whether by appeal or otherwise. Written objections must be delivered or mailed to the Settlement Administrator no later than ______. The address for the Settlement Administrator is 18 Technology Drive, Suite 164, Irvine, CA 92618; Tel: (800) 355-0700.

The addresses for the Parties' counsel are as follows:

Class Counsel: Jean-Claude Lapuyade, Esq. JCL Law Firm, APC 5440 Morehouse Drive, Suite 3600 San Diego, CA 92121 Tel.: (619) 599-8292 Fax: (619) 599-2891 E-Mail: jlapuyade@jcl-lawfirm.com Counsel for Defendants: Julie Vogelzang, Esq. Janelle Thornton, Esq. Schor Vogelzang & Chung LLP 2170 Fourth Ave. San Diego, CA 92101 Tel: (619) 906-2400 E-Mail: julie@svclegal.com; janelle@svclegal.com

Class Counsel: Shani O. Zakay, Esq. Zakay Law Group, APLC 5440 Morehouse Drive, Suite 3600 San Diego, CA 92121 Tel: (619) 599-8292 Fax: (619) 599-8291 Email: shani@zakaylaw.com

9. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Final Approval Hearing at 00:00 AM/PM on _______, at the San Diego County Superior Court, Department C-66, located at 330 W Broadway, San Diego, CA 92101-3827 before the Hon. Wendy M. Behan or other assigned Judge to Department C-66. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate. The purpose of this hearing is for the Court to determine whether to grant final approval to the Settlement. If there are objections, the Court will consider them. The Court will listen to people who have made a timely written request to speak at the hearing or who appear at the hearing to object. This hearing may be rescheduled by the Court without further notice to you. You are not required to attend the Final Approval Hearing, although any Class Member is welcome to attend the hearing.

10. How do I get more information about the Settlement?

You may call the Settlement Administrator at 1-800-355-0700 or write to *Cabatit v. Prosciento, Inc., et al., San Diego Superior Court Case No. 37-2023-00051828-CU-OE-CTL*, Settlement Administrator, 18 Technology Drive, Suite 164, Irvine, CA 92618 c/o

This notice summarizes the proposed settlement. More details are in the Settlement Agreement. You may receive a copy of the Settlement Agreement, the Final Judgment or other Settlement documents by writing to JCL Law firm, APC, 5440 Morehouse Drive, Suite 3600, San Diego, CA 92121 or by visiting the administrator's website at <u>www.apexclassaction.com</u>.

PLEASE DO NOT CALL THE COURT ABOUT THIS NOTICE.

IMPORTANT:

- You must inform the Settlement Administrator of any change of address to ensure receipt of your settlement payment.
- Settlement checks will be null and void 180 days after issuance if not deposited or cashed. In such event, the Settlement Administrator shall pay all funds from such uncashed checks to the Community Law Project, a Cy Pres. If your check is lost or misplaced, you should contact the Settlement Administrator immediately to request a replacement.