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 DESHON WILLIAMS

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14
 15 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**
 16 **FOR THE COUNTY OF ALAMEDA**

17
 18 DESHON WILLIAMS, individually and on
 behalf of others similarly situated, and as an
 19 aggrieved employee and Private Attorney
 General,

20 Plaintiff,

21 vs.

22 VELO3D, INC., a Delaware corporation; and
 23 DOES 1 through 50, inclusive,

24 Defendants.

Case No.: 24CV070396

*Assigned for all purposes to the Honorable
 Somnath Raj Chatterjee, Dept. 21*

**JOINT STIPULATION OF CLASS
 ACTION AND PAGA SETTLEMENT**

Complaint Filed: April 4, 2024
 Trial Date: Not yet set

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JOINT STIPULATION OF CLASS ACTION AND PAGA SETTLEMENT

This Joint Stipulation of Class Action and PAGA Settlement is entered into by and between Plaintiff Deshon Williams, individually and on behalf of the Class, and Defendant VELO3D, INC..

DEFINITIONS

1. “Agreement” or “Settlement Agreement” means this Joint Stipulation of Class Action and PAGA Settlement.

2. “Action” refers to the court Action entitled *Deshon Williams v. Velo3D, Inc.*, Alameda County Superior Court Case No. 24CV070396.

3. “Class Counsel” means S. Emi Minne and Jill J. Parker of Parker & Minne, LLP and Benjamin Smith of Smith Law, who will seek to be appointed as counsel for the Class.

4. “Class Counsel’s Fees and Costs” means attorneys’ fees for Class Counsel’s litigation and resolution of the Action and their expenses and costs incurred in connection with the Action, which shall be paid from the Gross Settlement Amount. Class Counsel will request attorneys’ fees not to exceed one-third of the Gross Settlement Amount (i.e., \$123,333.33), and the reimbursement of costs and expenses associated with the litigation and settlement of the Action, not to exceed \$20,000.00, subject to the Court’s approval. Defendant has agreed not to oppose Class Counsel’s request for fees and reimbursement of costs and expenses in the amounts set forth above.

5. “Class List” means a complete list of all Class Members and PAGA Members that Defendant will diligently and in good faith compile from their records and provide to the Settlement Administrator within thirty (30) calendar days after Preliminary Approval of this Settlement. The Class List will be formatted in a readable Microsoft Office Excel spreadsheet and will include all Class Members’ and PAGA Members’: (1) full name; (2) last known home address; (3) last known telephone number; (4) social security number; (5) start and end dates of active employment as a non-exempt employee of Defendant in the State of California; (6) total Workweeks during the Class Period; (7) total Workweeks during the PAGA Period; and (8) any other information required by the Settlement Administrator in order to effectuate the terms of the Settlement.

1 6. “Class” or “Class Members” means all current and former hourly-paid, non-exempt
2 employees of Defendant who were employed by Defendant in the State of California at any time during
3 the Class Period.

4 7. “Class Period” means the period commencing on April 4, 2020, and ending on April
5 28, 2025.

6 8. “Class Representative Enhancement Payment” means the amount that the Court
7 authorizes to be paid to Plaintiff, in addition to his Individual Class Payment, in recognition of the
8 efforts and risks he has taken in assisting with the prosecution of the Action and in exchange for the
9 General Release of his claims as provided herein.

10 9. “Court” means the Superior Court of the State of California for the County of
11 Alameda.

12 10. “Defendant” refers to Velo3D, Inc.

13 11. “Defendant’s Counsel” means Sharon Nakabayashi and Kevin Lee of Jackson Lewis,
14 P.C.

15 12. “Effective Date” means the date by when both of the following have occurred: (a) the
16 Court enters the Final Approval Order and Judgment; and (b) the Final Approval Order and Judgment
17 is final. The Final Approval Order and Judgment is final as of the latest of the following occurrences:
18 (a) if no Participating Class Member objects to the Settlement or if all objections by Participating Class
19 Members are withdrawn, the day the Court enters the Final Approval Order and Judgment; (b) if one
20 or more Participating Class Members objects to the Settlement and the objection is not withdrawn, the
21 day after the deadline for filing a notice of appeal from the Final Approval Order and Judgment and
22 no such appeal being filed; or (c) if a timely appeal from the Final Approval Order and Judgment is
23 filed, the day after the appellate court affirms the Final Approval Order and Judgment and issues a
24 remittitur.

25 13. “Final Approval” means the date the Court enters an order granting final approval of
26 the Settlement Agreement.

27 14. “Final Approval Order and Judgment” mean the judgment and order entered by the
28 Court upon Final Approval of the Settlement Agreement, which will be a judgment for purposes of

1 California Rule of Court, Rule 3.771(a) and constitute approval pursuant to California Rule of Court,
2 Rule 3.769(a).

3 15. “Gross Settlement Amount” means the non-reversionary sum of Three Hundred
4 Seventy Dollars and Zero Cents (\$370,000.00) to be paid by Defendant in full satisfaction of all of
5 Defendant’s liabilities in the Actions, including Class Counsel’s Fees and Costs, Class Representative
6 Enhancement Payment, Payments to Class Members, PAGA Penalties, and Settlement Administration
7 Costs. The Gross Settlement Amount does not include any employer-side taxes, which will be
8 calculated by the Settlement Administrator and separately paid for by Defendant.

9 16. “Individual Class Payment” means a Participating Class Members’ pro-rata share of
10 the Net Settlement Amount.

11 17. “Individual PAGA Payment” means a PAGA Member’s pro-rata share of the 25%
12 portion of PAGA Penalties to be paid to PAGA Members.

13 18. “Net Settlement Amount” means the funds available for payments to Class Members,
14 which shall be the amount remaining after the following amounts are deducted from the Gross
15 Settlement Amount: (1) Class Counsel’s fees, (2) Class Counsel’s costs, (3) Settlement Administration
16 Costs, (4) Class Representative Enhancement Payment to Plaintiff; and (5) the PAGA Penalties to the
17 LWDA and PAGA Members.

18 19. “Notice” means the Notice of Class Action and PAGA Settlement in a form
19 substantially similar to the form attached hereto as Exhibit A, that will be mailed to Class Members’
20 and PAGA Members’ last known addresses and which will provide Class Members and PAGA
21 Members with information regarding the Action and information regarding the settlement of the
22 Action.

23 20. “Objection” means a Participating Class Member’s valid and timely written objection
24 to the Settlement Agreement. For an Objection to be valid, it must include: (a) the objector’s full name,
25 address, telephone number, last four digits of the employee’s social security number or employee ID
26 number and (b) a written statement of all grounds for the objection accompanied by legal support, if
27 any, for such objection.

28

1 21. “PAGA” means the California Labor Code Private Attorneys General Act of 2004, Cal.
2 Lab. Code §§ 2698, *et seq.*

3 22. “PAGA Penalties” means the amount that the Parties have agreed to allocate in order
4 to settle claims arising under the Private Attorneys General Act of 2004 (Cal. Lab. Code §§ 2698, *et*
5 *seq.*) (“PAGA”). The Parties have agreed that Thirty Thousand Dollars and Zero Cents (\$30,000.00)
6 of the Gross Settlement Amount will be allocated to the resolution of Plaintiff’s PAGA Claims.
7 Seventy-Five Percent (75%) of this amount (\$22,500.00) will be paid to the California Labor and
8 Workforce Development Agency in accordance with Labor Code §§ 2698 *et seq.* Twenty Five Percent
9 (25%) of this amount (\$7,500.00), will be distributed to PAGA Members. PAGA Members will
10 receive payment from the employee portion of the PAGA Penalties regardless of their decision to
11 participate in the class action if the PAGA Penalties are approved by the Court.

12 23. “PAGA Members” means all current and former non-exempt employees of Defendant
13 who were employed by Defendant in the state of California at any time during the PAGA Period.

14 24. “PAGA Notice” refers to the notice letter submitted to the LWDA by Plaintiff on
15 January 16, 2024.

16 25. “PAGA Period” means the period commencing on January 30, 2023, and ending on
17 April 28, 2025.

18 26. “Parties” means Plaintiff and Defendant, collectively, and “Party” shall mean either the
19 Plaintiff or Defendant, individually.

20 27. “Participating Class Members” means all Class Members who do not submit valid and
21 timely Requests for Exclusion.

22 28. “Plaintiff” means Plaintiff Deshon Williams, who will seek to be appointed as the
23 representative for the Class.

24 29. “Preliminary Approval” means the date the Court enters an order granting preliminary
25 approval of the Settlement Agreement.

26 30. “Released Class Claims” means all claims, rights, demands, liabilities and causes of
27 action that are alleged, or reasonably could have been alleged based on the factual allegations and
28 claims asserted in the operative complaint in the Action that arise during the Class Period, including

1 the following claims: (1) Violation of Cal. Labor Code §§ 510 and 1198 (Unpaid Overtime) including
2 failure to pay wages at the appropriate regular rate of pay; (2) Violation of Cal. Labor Code §§ 226.7
3 and 512(a) (Unpaid Meal Period Premiums); (3) Violation of Cal. Labor Code § 226.7 (Unpaid Rest
4 Period Premiums); (4) Violation of Cal. Labor Code §§ 1194, 1197, and 1197.1 (Unpaid Minimum
5 Wages); (5) Violation of Cal. Labor Code §§ 201, 202 and 203 (Final Wages Not Timely Paid); (6)
6 Violation of Cal. Labor Code § 226(a) (Failure to Provide Accurate Wage Statements); (7) Violation
7 of Cal. Labor Code §§ 2800 and 2802 (Failure to Reimburse Necessary Business Expenses); (8)
8 Violation of Cal. Bus. & Prof. Code §§ 17200, et seq. based on violations of Labor Code sections 201,
9 202, 203, 204, 226(a), 226.7, 510, 512(a), 1194, 1197, 1197.1, 2800, and 2802.

10 31. “Released PAGA Claims” means any and all claims for the recovery for civil penalties,
11 attorneys’ fees and costs permissible under PAGA which Plaintiff and the LWDA had, or may claim
12 to have, against Released Parties, based on the violations alleged in the operative complaint in the
13 Action or the PAGA Notice that arise during the PAGA Period, including failure to pay overtime
14 compensation; including failure to pay at the appropriate regular rate, failure to pay minimum wages;
15 failure to provide compliant meal and rest breaks; failure to pay meal and rest period premiums; failure
16 to pay all wages owed at discharge or resignation; failure to timely pay wages during employment;
17 failure to provide complete and accurate wage statements; failure to keep complete and accurate
18 payroll records; failure to reimburse necessary business-related expenses; and violations of Labor
19 Code sections 201, 202, 203, 204, 226(a), 226.7, 510, 512(a), 1174(d), 1194, 1197, 1197.1, 1198,
20 2698, 2800, and 2802.

21 32. “Released Parties” means Defendant Velo3D, Inc. and its past, present and/or future,
22 direct and/or indirect, officers, directors, members, managers, employees, agents, representatives,
23 attorneys, insurers, partners, investors, shareholders, administrators, parent companies, subsidiaries,
24 affiliates, divisions, predecessors, successors, assigns, and joint venturers, including but not limited to
25 Velo3D US, Inc.

26 33. “Request for Exclusion” means a valid and timely written statement submitted by a
27 Class Member requesting to be excluded from the Class Action.
28

1 34. “Response Deadline” means the date sixty (60) calendar days after the Settlement
2 Administrator mails Notice to Class Members, which shall be the last date on which Class Members
3 may submit Requests for Exclusion, written objections to the Settlement, or Workweek Disputes to
4 the Settlement Administrator via mail, facsimile, or e-mail. In the event the 60th day falls on a Sunday
5 or Federal holiday, the Response Deadline will be extended to the next day on which the U.S. Postal
6 Service is open. The Response Deadline for Requests for Exclusion, Objections, and disputes will be
7 extended fifteen (15) calendar days for any Class Member who is re-mailed a Notice by the Settlement
8 Administrator, unless the 15th day falls after the remailing falls on a Sunday or Federal holiday, in
9 which case the Response Deadline will be extended to the next day on which the U.S. Postal Service
10 is open. The Response Deadline may also be extended by express agreement between Class Counsel
11 and Defendant’s Counsel. Under no circumstances, however, will the Settlement Administrator have
12 the authority to unilaterally extend the deadline for Class Members to submit a Request for Exclusion
13 or objection to the Settlement.

14 35. “Settlement” means the disposition of the Action pursuant to this Agreement.

15 36. “Settlement Administrator” means Apex Class Action LLC. The Parties each represent
16 that they do not have any financial interest in the Settlement Administrator or otherwise have a
17 relationship with the Settlement Administrator that could create a conflict of interest.

18 37. “Settlement Administration Costs” mean the costs payable from the Gross Settlement
19 Amount to the Settlement Administrator for administering this Settlement, including, but not limited
20 to, translating, printing, distributing, and tracking documents for this Settlement,
21 calculating/confirming the class member Workweeks from the information contained in the Class List,
22 calculating each Participating Class Member’s Individual Class Payment, calculating each PAGA
23 Member’s Individual PAGA Payment, tax reporting, distributing the Gross Settlement Amount,
24 providing necessary reports and declarations, and other duties and responsibilities set forth herein to
25 process this Settlement. It is currently estimated that Settlement Administration Costs shall not exceed
26 \$6,250.00.

27 38. “Workweek” shall mean any calendar week (i.e. a week beginning on Sunday and
28 ending on Saturday) in which a Class Member or PAGA Member worked at least 1 day for Defendant.

RECITALS

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2 39. On January 16, 2024, Plaintiff provided written notice to the California Labor &
3 Workforce Development Agency (“LWDA”) and Defendant of his intent to seek civil penalties
4 pursuant to PAGA for Defendant’s alleged violations of California Labor Code §§ 201, 202, 203, 204,
5 226(a), 226.7, 510, 512(a), 558, 1174(d), 1194, 1197, 1197.1, 1198, 2800, and 2802.

6 40. On April 4, 2024, Plaintiff filed a putative class action complaint against Defendant in
7 the Superior Court for the State of California, County of Alameda entitled *Deshon Williams v. Velo3D,*
8 *Inc.*, Case No. 24CV070396, alleging the following ten (10) causes of action: (1) Violation of
9 California Labor Code §§ 510 and 1198 (Unpaid Overtime Wages); (2) Violation of California Labor
10 Code §§ 226.7 and 512(a) (Unpaid Meal Period Premiums); (3) Violation of California Labor Code §
11 226.7 (Unpaid Rest Period Premiums); (4) Violation of California Labor Code §§ 1194, 1197, and
12 1197.1 (Unpaid Minimum Wages); (5) Violation of California Labor Code §§ 201, 202, and 203 (Final
13 Wages Not Timely Paid); (6) Violation of California Labor Code § 226(a) (Failure to Provide Accurate
14 Wage Statements); (7) Violation of California Labor Code §§ 2800 and 2802 (Unreimbursed Business
15 Expenses); (8) Violation of California Business and Professions Code §§ 17200, et seq.; and (9)
16 Violation of Cal. Labor Code § 2699 (Private Attorneys General Act).

17 41. Defendant denies the allegations in the complaint in the Action and PAGA Notice,
18 denies any failure to comply with the laws identified in the Action and PAGA Notice, and denies any
19 and all liability for any of the causes of action pled and facts asserted in the Action and PAGA Notice.

20 42. Following the filing of the Action, the Parties met and conferred with respect to
21 potential resolution of the Action, and agreed to engage in private mediation. Prior to mediation, Class
22 Counsel diligently investigated the claims against Defendant, including any and all applicable defenses
23 and the applicable law. This investigation included, *inter alia* the exchange of informal discovery,
24 review of numerous corporate policies and practices, and analysis of a 25% sampling of the time and
25 payroll records for the putative class. Class Counsel’s investigation was sufficient to satisfy the criteria
26 for court approval set forth in *Dunk v. Foot Locker Retail, Inc.*, 48 Cal.App.4th 1794, 1801 (1998) and
27 *Kullar v. Foot Locker Retail, Inc.*, 168 Cal.App.4th 116, 129-130 (2008).

1 Should the Settlement not be approved or is terminated, the fact that the Parties were willing to
2 stipulate to class certification as part of the Settlement shall have no bearing on, and shall not be
3 admissible in connection with, the issue of whether a class should be certified in a non-settlement
4 context in this Action.

5 48. Settlement Consideration: Defendant shall fund the Gross Settlement Amount and all
6 applicable employer-side payroll taxes following Final Approval by the Court and the occurrence of
7 the Effective Date. The following will be paid out of the Gross Settlement Amount: the sum of the
8 Individual Class Payments, the Class Representative Enhancement Payment, Class Counsel's Fees
9 and Costs, the PAGA Penalties, and the Settlement Administration Costs, as specified in this
10 Agreement. Except for any employer-side taxes due on the Individual Class Payments, or as a result
11 of an increase in the number of Workweeks as set forth in Paragraph 49 below, Defendant shall not be
12 required to pay more than the Gross Settlement Amount. The Gross Settlement Amount is non-
13 reversionary; no portion of the Gross Settlement Amount will revert to Defendant.

14 49. Potential Increase to the Gross Settlement Amount: The Gross Settlement Amount is
15 based on Defendant's representation that Class Members worked a total of 9,480 Workweeks during
16 the Class Period ("Certified Workweek Amount"). Should the actual number of Workweeks for Class
17 Members during the Class Period exceed the Certified Workweek Amount by more than ten percent
18 (10%) (i.e., final total Workweeks increased by more than 948 Workweeks), then Defendant shall
19 either: (i) increase the Gross Settlement Amount on a pro-rata basis equal to the percentage increase
20 in the number of Workweeks worked by Class Members during the Class Period above the 10%
21 threshold (e.g., if the total number of Workweeks worked by Class Members increases by 11% to
22 10,523 Workweeks, the Gross Settlement Amount shall increase by 1% to \$373,700.00); or (ii) shorten
23 the Class Period and PAGA Period to end on the last date before the total Workweeks worked by Class
24 Members exceeds 10% of the Certified Workweeks Amount (e.g., the last date there are no more than
25 10,428 Workweeks).

26 50. Funding of the Gross Settlement Amount: Within fourteen (14) calendar days of the
27 Effective Date of the Settlement, Defendant will deposit the Gross Settlement Amount (and all
28 applicable employer-side payroll taxes into a Qualified Settlement Fund ("QSF") to be established by

1 the Settlement Administrator. Defendant shall provide all information necessary for the Settlement
2 Administrator to calculate necessary payroll taxes including its official name, 8-digit state
3 unemployment insurance tax ID number, and other information requested by the Settlement
4 Administrator, no later than seven (7) calendar days of the Effective Date.

5 51. Distribution of the Gross Settlement Amount: Within fourteen (14) calendar days of
6 the funding of the Gross Settlement Amount, the Settlement Administrator will issue payments for:
7 (a) Individual Class Payments; (b) Individual PAGA Payments; (c) the PAGA Penalties to the Labor
8 and Workforce Development Agency; (d) the Class Representative Enhancement Payment; (e) Class
9 Counsel's Fees and Costs; and (f) Settlement Administration Costs.

10 52. Class Counsel's Fees and Costs: Defendant agrees not to oppose any application or
11 motion by Class Counsel for attorneys' fees of not more than one-third of the Gross Settlement
12 Amount (i.e., \$123,333.33), plus the reimbursement of costs and expenses associated with the
13 litigation and settlement of the Action, in an amount not to exceed Twenty Thousand Dollars and Zero
14 Cents (\$20,000.00), both of which will be paid from the Gross Settlement Amount. Any portion of the
15 requested fees or costs that are not awarded to the Class Counsel shall be reallocated to the Net
16 Settlement Amount and distributed to Participating Class Members as provided in this Agreement.

17 53. Class Representative Enhancement Payment: Defendant agrees not to oppose or object
18 to any application or motion by Plaintiff for a Class Representative Enhancement Payment in the
19 amount of Seven Thousand Five Hundred Dollars and Zero Cents (\$7,500.00). The Class
20 Representative Enhancement Payment is intended to recognize the Plaintiff's time, effort and risk in
21 bringing and prosecuting the Action, as well as the General Release of Plaintiff's individual claims
22 against Defendant. Any portion of the requested Class Representative Enhancement Payment that is
23 not awarded to Plaintiff shall be reallocated to the Net Settlement Amount and distributed to
24 Participating Class Members as provided in this Agreement.

25 54. Settlement Administration Costs: The Settlement Administrator will be paid for the
26 reasonable costs of administration of the Settlement and distribution of payments from the Gross
27 Settlement Amount as further set forth in this Agreement. Settlement Administration Costs are
28 currently estimated not to exceed \$6,250.00. The Parties acknowledge that Settlement Administration

1 Costs may increase above the current estimate of \$6,250.00 and that any such additional Settlement
 2 Administration Costs will be taken out of the Gross Settlement Amount. Any portion of the requested
 3 Settlement Administration Costs that are not awarded to the Settlement Administrator or which are
 4 not ultimately required to complete administration of the Settlement shall be reallocated to the Net
 5 Settlement Amount and distributed to Participating Class Members as provided in this Agreement.

6 55. PAGA Penalties: Thirty Thousand Dollars and Zero Cents (\$30,000.00) shall be
 7 allocated from the Gross Settlement Amount for settlement of claims for civil penalties under the
 8 PAGA. The Settlement Administrator shall pay seventy-five percent (75%) of the PAGA Penalties, or
 9 Twenty-two Thousand Five Hundred Dollars and Zero Cents (\$22,500.00), to the California Labor
 10 and Workforce Development Agency (“LWDA”). The remaining twenty-five percent (25%) of the
 11 PAGA penalties, or Seven Thousand Five Hundred Dollars and Zero Cents (\$7,500.00) will be
 12 distributed to PAGA Members on a *pro rata* basis based on the total number of Workweeks worked
 13 by each PAGA Member during the PAGA Period. PAGA Members shall receive their portion of the
 14 PAGA Penalties even if they request to be excluded from the class settlement.

15 56. Net Settlement Amount for Payment of Class Claims: The Net Settlement Amount will
 16 be used to satisfy the class portion of Participating Class Members’ Individual Class Payments in
 17 accordance with the terms of this Agreement. The estimated Net Settlement Amount is as follows:

18	Gross Settlement Amount	\$	370,000.00
19	Enhancement Payment:	\$	7,500.00
20	Class Counsel’s Fees:	\$	123,333.33
21	Class Counsel’s Costs:	\$	20,000.00
22	PAGA Penalties	\$	30,000.00
23	Settlement Administration Costs:	\$	6,250.00
24	<i>Estimated Net Settlement Amount</i>	\$	182,916.67

25 57. Individual Class Payment Calculations: Individual Class Payments will be paid from
 26 the Net Settlement Amount on a pro-rata basis based on the total Workweeks worked by Participating
 27 Class Members during the Class Period. Specifically, the Settlement Administrator will calculate the
 28 total Workweeks for all Participating Class Members by adding the number of Workweeks worked by

1 each Participating Class Member during the Class Period. The respective Workweeks for each
2 Participating Class Member will be divided by the total Workweeks for all Participating Class
3 Members, resulting in the payment ratio for each Participating Class Member. Each Participating Class
4 Member's payment ratio will then be multiplied by the Net Settlement Amount to calculate each
5 Participating Class Member's estimated Individual Class Payment.

6 58. Tax Allocation of Individual Class Payments: Individual Class Payments will be
7 allocated as follows: twenty percent (20%) of each Individual Class Payment will be allocated as
8 wages, forty percent (40%) shall be allocated as interest, and forty percent (40%) shall be allocated as
9 penalties. The portion of the Individual Class Payment allocated to wages will be reported by the
10 Settlement Administrator on an IRS Form W-2. The remaining non-wage payments will be reported
11 on an IRS Form-1099 by the Settlement Administrator

12 59. Individual PAGA Payment Calculations: Individual PAGA Payments will be paid on a
13 pro-rata basis based on the total Workweeks worked by PAGA Members during the PAGA Period.
14 Specifically, the Settlement Administrator will calculate the total Workweeks for all PAGA Members
15 by adding the number of Workweeks worked by each PAGA Member during the PAGA Period. The
16 respective Workweeks for each PAGA Member will be divided by the total Workweeks for all PAGA
17 Members, resulting in the payment ratio for each PAGA Member. Each PAGA Member's payment
18 ratio will then be multiplied by the 25% employee portion of the PAGA Penalties to calculate each
19 PAGA Member's estimated Individual PAGA Payment. PAGA Members shall receive an Individual
20 PAGA Payment regardless of whether they submit a Request for Exclusion.

21 60. Tax Allocation of Individual PAGA Payments: Individual PAGA Payments are not
22 subject to withholdings and will be reported on an IRS Form 1099 by the Settlement Administrator.

23 61. No Credit Toward Benefit Plans: The Individual Class Payments made to Participating
24 Class Members under this Settlement, as well as any other payments made pursuant to this Settlement,
25 will not be utilized to calculate any additional benefits under any benefit plans to which any Class
26 Members may be eligible, including, but not limited to profit-sharing plans, bonus plans, 401(k) plans,
27 stock purchase plans, vacation plans, sick leave plans, PTO plans, and any other benefit plan. Rather,
28 it is the Parties' intention that this Settlement Agreement will not affect any rights, contributions, or

1 amounts to which any Class Members may be entitled under any benefit plans.

2 62. Settlement Administration Process: The Parties agree to cooperate in the administration
3 of the Settlement and to make all reasonable efforts to control and minimize the costs and expenses
4 incurred in administration of the Settlement. The Settlement Administrator will provide the following
5 services:

- 6 a) Establish and maintain a Qualified Settlement Fund.
- 7 b) Calculate the Individual Class Payment each Participating Class Member is
8 eligible to receive.
- 9 c) Calculate the Individual PAGA Payment each PAGA Member shall receive.
- 10 d) Print and mail the Notice in both Spanish and English.
- 11 e) Conduct additional address searches for mailed Notices that are returned as
12 undeliverable.
- 13 f) Process Objections and Requests for Exclusion.
- 14 g) Field inquiries from Class Members.
- 15 h) Print and issue and issue Settlement payment checks.
- 16 i) Prepare IRS W2 and 1099 Tax Forms and any other filings required by any
17 governmental taxing authority.
- 18 j) Provide declarations and/or other information to this Court as requested by the
19 Parties and/or the Court.
- 20 k) Provide weekly status reports to counsel for the Parties.
- 21 l) Post copies of the operative Complaint, Settlement Agreement, Notice,
22 Preliminary Approval Order, and Final Approval Order and Judgment online at
23 Settlement Administrator's website.

24 63. Delivery of the Class List: Within thirty (30) calendar days of Preliminary Approval,
25 barring any events outside the control of Defendant's counsel, Defendant will provide the Class List
26 to the Settlement Administrator. This is a material term of the Agreement, and if Defendant fail to
27 comply, Plaintiff shall have the right to void the Agreement. Prior to mailing the Notice, the Settlement
28 Administrator shall provide Class Counsel with an anonymized version of the Class List that shall

1 only disclose an identification number attributed to each Class Member and their respective
2 Workweeks during the Class Period and PAGA Period.

3 64. Notice by First-Class U.S. Mail: Within fourteen (14) calendar days after receiving the
4 Class List from Defendant, the Settlement Administrator will mail the Notice to all Class Members
5 via regular First-Class U.S. Mail, using the most current, known mailing addresses identified in the
6 Class List.

7 65. Confirmation of Contact Information in the Class List: Prior to mailing, the Settlement
8 Administrator will perform a search based on the National Change of Address Database for
9 information to update and correct for any known or identifiable address changes. Any Notice returned
10 to the Settlement Administrator as non-deliverable on or before the Response Deadline will be sent
11 promptly via regular First-Class U.S. Mail to the forwarding address affixed thereto and the Settlement
12 Administrator will indicate the date of such re-mailing on the Notice. If no forwarding address is
13 provided, the Settlement Administrator will promptly attempt to determine the correct address using
14 a skip-trace, or other search using the name, address and/or Social Security number of the Class
15 Member involved, and will then perform a single re-mailing. If any Notice sent to a Class Member by
16 the Settlement Administrator is returned as undeliverable to a current employee, then Defendant shall
17 make all reasonable efforts to obtain the current address from the Class Member and provide the same
18 within seven (7) calendar days of notice from the Settlement Administrator. The Response Deadline
19 will be extended fifteen (15) calendar days for any Class Member who is re-mailed a Notice by the
20 Settlement Administrator, unless the 15th day falls on a Sunday or Federal holiday, in which case the
21 Response Deadline will be extended to the next day on which the U.S. Postal Service is open.

22 66. Notice: All Class Members will be mailed a Notice in English and Spanish,
23 substantially in the form attached hereto as Exhibit A. Each Notice will provide: (a) information
24 regarding the nature of the Action; (b) a summary of the Settlement's principal terms; (c) the Class
25 definition; (d) the total number of Workweeks each respective Class Member worked for Defendant
26 during the Class Period; (e) the total number of Workweeks each respective PAGA Member worked
27 for Defendant during the PAGA Period; (f) each Class Member's estimated Individual Class Payment
28 and the formula for calculating Individual Class Payments; (g) each PAGA Members' estimated

1 Individual PAGA Payment and the formula for calculating Individual PAGA Payments; (h) the dates
2 which comprise the Class Period; (i) the deadlines by which the Class Member must email, fax, or
3 postmark Requests for Exclusion, Objections to the Settlement, or Workweek Disputes; (j) the claims
4 to be released, as set forth herein; and (k) the date for the Final Approval hearing.

5 67. Disputed Information on Notice: Class Members will have an opportunity to dispute
6 the information provided in their Notice. Any Class Member wishing to dispute the Workweeks
7 reported in their Notice must provide a written dispute to the Settlement Administrator by the
8 Response Deadline via email, facsimile or mail. The written dispute must include (a) the Class
9 Member's name, address, telephone number, and the last four digits of the Class Member's Social
10 Security number and/or the Employee ID number; (b) a statement explaining why they believe the
11 number of Workweeks in their Notice is inaccurate; and (c) any evidence showing that the number of
12 Workweeks credited to them in their Notice is inaccurate. Absent evidence rebutting Defendant's
13 records, Defendant's records will be presumed determinative. However, if a Class Member produces
14 evidence to the contrary by the Response Deadline, the Parties will evaluate the evidence submitted
15 by the Class Member and the Parties will make the final decision as to the number of eligible
16 Workweeks that should be applied and/or the Individual Class Payments to which the Class Member
17 may be entitled. If the Parties are unable to resolve the dispute, the Settlement Administrator will be
18 the final arbiter of the Workweeks for each Class Member during the Class Period, based on the
19 information provided to it. The Court retains authority to review the Settlement Administrator's
20 decisions on any such disputes.

21 68. Request for Exclusion Procedures: Any Class Member wishing to opt-out from the
22 Action must sign and return a written Request for Exclusion to the Settlement Administrator by the
23 Response Deadline via email, facsimile or mail. The Request for Exclusion must include (a) the Class
24 Member's name, address, telephone number, and the last four digits of the Class Member's Social
25 Security number and/or the Employee ID number and (b) a clear statement requesting to be excluded
26 from the settlement of the class claims similar to the following: "I wish to exclude myself from the
27 class settlement reached in the matter of *Williams v. Velo3D, Inc.* I understand that by excluding
28 myself, I will not receive money from the settlement of my individual claims." The date of the email,

1 fax, or postmark will be the exclusive means to determine whether a Request for Exclusion has been
2 timely submitted. All Requests for Exclusion will be submitted to the Settlement Administrator, who
3 will certify jointly to Class Counsel and Defendant's Counsel the Requests for Exclusion that were
4 timely submitted. All Class Members who do not request exclusion from the Action will be bound by
5 all terms of the Settlement Agreement if the Settlement is granted final approval by the Court. The
6 Court retains authority to determine the validity and authenticity of all Requests for Exclusion
7 submitted by Class Members. The Request for Exclusion shall not be effective as to the release of
8 claims arising under the Private Attorneys General Act.

9 69. Defective Submissions: If a Class Member's Request for Exclusion is defective as to
10 the requirements listed herein, that Class Member will be given an opportunity to cure the defect(s).
11 The Settlement Administrator will mail the Class Member a cure letter within three (3) business days
12 of receiving the defective submission to advise the Class Member that his or her submission is
13 defective and that the defect must be cured to render the Request for Exclusion valid. The Class
14 Member will have until the later of (a) the Response Deadline or (b) fourteen (14) calendar days from
15 the date the cure letter is mailed, whichever date is later, to email, fax, or postmark a revised Request
16 for Exclusion. If a Class Member responds to a cure letter by filing a defective claim, then the
17 Settlement Administrator will have no further obligation to give notice of a need to cure. If the revised
18 Request for Exclusion is not emailed, faxed, or postmarked within that period, it will be deemed
19 untimely. In the event there is a dispute as to the timeliness or validity of a Request for Exclusion, the
20 Court will be the arbiter of such dispute. In no event may the Claims Administrator make any
21 representations to Class Members regarding the validity of their Requests for Exclusion.

22 70. Defendant's Right to Rescind: If more than ten percent (10%) of Class Members
23 (rounded to the next whole number) elect not to participate in the Settlement, Defendant may, at its
24 election, rescind the Settlement Agreement and all actions taken in furtherance of it will be thereby
25 null and void. Defendant must meet and confer with Class Counsel prior to exercising this right, and
26 must make clear its intent to rescind the Agreement within fourteen (14) calendar days of the
27 Settlement Administrator notifying the Parties of the final number of opt-outs. If Defendant exercises
28 its right to rescind the Agreement, Defendant shall be responsible for all Settlement Administration

1 Costs incurred to the date of rescission.

2 71. Settlement Terms Bind All Class Members Who Do Not Opt-Out: Any Class Member
 3 who does not affirmatively opt-out of the Settlement by submitting a timely and valid Request for
 4 Exclusion will be bound by all of its terms, including those pertaining to the Released Class Claims,
 5 as well as any Final Approval Order and Judgment that may be entered by the Court if it grants final
 6 approval of the Settlement. Class Members who opt-out of the Settlement shall not be bound by such
 7 Final Approval Order and Judgment or release. The names of Class Members who have opted-out of
 8 the Settlement shall be disclosed to Defendant’s Counsel and shall not be noted in the proposed Final
 9 Approval Order and Judgment unless otherwise ordered by the Court.

10 72. Objection Procedures: To object to the Settlement, a Participating Class Member must
 11 email, fax, or postmark a valid Objection to the Settlement Administrator on or before the Response
 12 Deadline. The Objection must be signed by the Participating Class Member and contain all information
 13 required by this Settlement Agreement including the employees full name, address, telephone number,
 14 the last four digits of their social security number and/or Employee ID number, and the specific reason
 15 including any legal grounds for the Participating Class Member’s objection. The email, facsimile, or
 16 postmark date will be deemed the exclusive means for determining that the Notice of Objection is
 17 timely. Participating Class Members who fail to object in the manner specified above will be
 18 foreclosed from making a written objection, but shall still have a right to appear at the Final Approval
 19 Hearing in order to have their objections heard by the Court. The Court shall retain final authority as
 20 to the consideration and admissibility of any and all objections to the Settlement. At no time will any
 21 of the Parties or their counsel seek to solicit or otherwise encourage Participating Class Members to
 22 submit written objections to the Settlement or appeal from the Final Approval Order and Judgment.
 23 Class Counsel will not represent any Class Members with respect to any objections to this Settlement.

24 73. Weekly Reports Regarding Settlement Administration: The Settlement Administrator
 25 will provide Defendant’s Counsel and Class Counsel a weekly report which certifies: (a) the number
 26 of Class Members who have submitted valid Requests for Exclusion; (b) the number of Notices
 27 returned and re-mailed; and (c) whether any Class Members have submitted any Objections, Requests
 28 for Exclusions, or any challenges to any information contained in the Notice. Additionally, the

1 Settlement Administrator will provide to counsel for both Parties any updated reports regarding the
2 administration of the Settlement Agreement as needed or requested.

3 74. Compliance Declaration by Settlement Administrator: Within one (1) week of the
4 Response Deadline, the Settlement Administrator will provide a signed declaration to Class Counsel
5 and Defendant's counsel attesting to its due diligence and compliance with all of its obligations under
6 this Agreement, including, but not limited to, the mailing of Notice, the Notices returned as
7 undelivered, the re-mailing of Notices, attempts to locate Class Members, the names of the individuals
8 who submitted timely and valid Requests for Exclusion from Settlement, and the number of written
9 objections to the Settlement. The Administrator shall also provide to Class Counsel and Defendant's
10 Counsel authenticated copies of every written objection and Request for Exclusion that it received.
11 The Administrator will supplement its declaration as needed or requested by the Parties and/or the
12 Court.

13 75. Payment Schedule for All Court Approved Settlement Payments: Within three (3)
14 business days of the Court granting Final Approval of the Settlement, the Settlement Administrator
15 will calculate all payments due, and shall provide Defendant's Counsel and Class Counsel with a
16 report on all disbursements to be made under the Settlement.

17 76. Uncashed Settlement Checks: Any checks issued by the Settlement Administrator to
18 Participating Class Members and PAGA Members will be negotiable for at least ninety (90) calendar
19 days. The Individual Class Payment checks provided to Participating Class Members and Individual
20 PAGA Payment checks provided to PAGA Members shall prominently state the expiration date or a
21 statement that the Settlement Check will expire in ninety (90) calendar days, or alternatively, such a
22 statement may be made in a letter accompanying the Individual Class Payment and/or Individual
23 PAGA Payment. Expired checks for Individual Class Payments and Individual PAGA Payments will
24 not be reissued, except for good cause and as mutually agreed by the Parties in writing. If a
25 Participating Class Member or PAGA Member does not cash his or her Individual Class Payment
26 check or Individual PAGA Payment check within ninety (90) calendar days, the uncashed fund and
27 accrued interest, if any, subject to Court approval, shall be distributed to the Greg E. Knoll Justice Gap
28 Fund ("Cy Pres Recipient"). Following the disbursement of the Gross Settlement Amount and

1 expiration of time period for Participating Class Members and Individual PAGA Members to cash
2 their Individual Class Payment and/or Individual PAGA Payment checks, the Parties shall jointly
3 request that the Court amend the Final Approval Order and Judgment to direct Defendant to pay the
4 sum of any unclaimed funds, plus any interest accrued thereon, to the Cy Pres Recipient, consistent
5 with Code of Civil Procedure § 384. Class Counsel and Defendant's counsel shall provide the Court
6 with a declaration under oath certifying that they do not have any connection or relationship to the Cy
7 Pres Recipient that could reasonably create the appearance of any impropriety or potential conflict of
8 interests of the Class.

9 77. Administration of Taxes by the Settlement Administrator: The Settlement
10 Administrator will be responsible for issuing to Plaintiff, Participating Class Members, and Class
11 Counsel any W-2, 1099, or other tax forms as may be required by law for all amounts paid pursuant
12 to this Settlement. The Settlement Administrator will also be responsible for forwarding all payroll
13 taxes and penalties to the appropriate government authorities.

14 78. Final Distribution Report and Declaration by Settlement Administrator: Within 10
15 calendar days after the Settlement Administrator disburses all funds in the Gross Settlement Amount,
16 the Administrator will provide Class Counsel and Defendant's Counsel with a final report detailing its
17 disbursements by employee identification number only of all payments made under this Agreement.
18 At least 14 calendar days before any deadline set by the Court, the Settlement Administrator will
19 prepare, and submit to Class Counsel and Defendant's Counsel, a signed declaration under oath
20 suitable for filing in Court attesting to its disbursement of all payments required under this Agreement.
21 Class Counsel is responsible for filing the Settlement Administrator's Declaration with the Court.
22 Absent an order of the Court, the Final Report may not state the names of any person who chooses to
23 Request Exclusion.

24 79. Tax Liability: Defendant makes no representation as to the tax treatment or legal effect
25 of the payments called for hereunder, and Plaintiff and Participating Class Members are not relying
26 on any statement, representation, or calculation by Defendant or by the Settlement Administrator in
27 this regard. Plaintiff and Participating Class Members understand and agree that they will be solely
28 responsible for the payment of any taxes and penalties assessed on the payments described herein.

1 Defendant's share of any employer payroll taxes and other required employer withholdings due on the
2 Individual Class Payments, including, but not limited to, Defendant's FICA and FUTA contributions,
3 shall be paid separate and apart from the Gross Settlement Amount.

4 80. Circular 230 Disclaimer: Each Party to this Agreement (for purposes of this section,
5 the "acknowledging party" and each Party to this Agreement other than the acknowledging party, an
6 "other party") acknowledges and agrees that: (1) no provision of this Agreement, and no written
7 communication or disclosure between or among the Parties or their attorneys and other advisers, is or
8 was intended to be, nor shall any such communication or disclosure constitute or be construed or be
9 relied upon as, tax advice within the meaning of United States Treasury Department circular 230 (31
10 CFR part 10, as amended); (2) the acknowledging party (a) has relied exclusively upon his, her or its
11 own, independent legal and tax counsel for advice (including tax advice) in connection with this
12 Agreement, (b) has not entered into this Agreement based upon the recommendation of any other Party
13 or any attorney or advisor to any other Party, and (c) is not entitled to rely upon any communication
14 or disclosure by any attorney or advisor to any other party to avoid any tax penalty that may be imposed
15 on the acknowledging party, and (3) no attorney or advisor to any other Party has imposed any
16 limitation that protects the confidentiality of any such attorney's or adviser's tax strategies (regardless
17 of whether such limitation is legally binding) upon disclosure by the acknowledging party of the tax
18 treatment or tax structure of any transaction, including any transaction contemplated by this
19 Agreement.

20 81. No Prior Assignments: The Parties and their counsel represent, covenant, and warrant
21 that they have not directly or indirectly assigned, transferred, encumbered, or purported to assign,
22 transfer, or encumber to any person or entity any portion of any liability, claim, demand, action, cause
23 of action or right herein released and discharged.

24 82. Release by Participating Class Members: Upon the complete funding of the Gross
25 Settlement Amount and all applicable employer-side payroll taxes by Defendant, Participating Class
26 Members shall fully release and discharge the Released Parties from any and all Released Class Claims
27 for the Class Period. This release shall be binding on all Participating Class Members.

28 83. Release of PAGA Claims by Plaintiff and the LWDA: Upon the complete funding of

1 the Gross Settlement Amount, Plaintiff, the LWDA, and State of California shall fully release and
 2 discharge the Released Parties from any and all Released PAGA Claims for the PAGA Period.

3 84. Release of Additional Claims & Rights by Plaintiff: Upon the funding of the Gross
 4 Settlement Amount, Plaintiff agrees—on behalf of himself only—to the additional following General
 5 Release: In consideration of Defendant’s promises and agreements as set forth herein, Plaintiff hereby
 6 fully releases the Released Parties from any and all Released Class Claims and Released PAGA
 7 Claims, and also generally releases and discharges the Released Parties from any and all claims,
 8 demands, obligations, causes of action, rights, or liabilities of any kind which have been or could have
 9 been asserted against the Released Parties arising out of or relating to his employment by Defendant
 10 or termination thereof, including but not limited to claims for wages, restitution, penalties, retaliation,
 11 defamation, discrimination, harassment or wrongful termination of employment. This release
 12 specifically includes any and all claims, demands, obligations and/or causes of action for damages,
 13 restitution, penalties, interest, and attorneys’ fees and costs (except provided by the Settlement
 14 Agreement) relating to or in any way connected with the matters referred to herein, whether or not
 15 known or suspected to exist, and whether or not specifically or particularly described herein.
 16 Specifically, Plaintiff waives all rights and benefits afforded by California Civil Code Section 1542,
 17 which provides:

18 A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR
 19 RELEASING PARTY DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER
 20 FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY
 21 HIM OR HER, WOULD HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT
 22 WITH THE DEBTOR OR RELEASED PARTY.

23 Notwithstanding the foregoing, this release specifically excludes any claims Plaintiff has or
 24 may have that are not waivable by law, including claims for unemployment insurance, disability, social
 25 security, workers compensation, and the right to receive benefits under any retirement plan.

26 85. Preliminary Approval Hearing: Promptly upon execution of this Settlement
 27 Agreement, Plaintiff shall file a Motion for Preliminary Approval requesting the entry of an order as
 28 follows;

- 1 a. Granting preliminary approval of the Settlement Agreement
- 2 b. Certifying the Class for the purposes of Settlement;
- 3 c. Approving, as to form and content, the proposed Notice;
- 4 d. Approving the manner and method for Class Members to request exclusion from the
- 5 Stipulation of Settlement as contained herein and within the Notice;
- 6 e. Directing the mailing of the Notice to the Class Members, in accordance with the
- 7 Agreement; and
- 8 f. Setting a date for a Final Approval/Settlement Fairness Hearing.

9 In conjunction with the Preliminary Approval hearing, Plaintiff will submit this Agreement, which
10 sets forth the terms of the Settlement, and will include the proposed Notice attached as Exhibit A.
11 Defendant agrees that it will not oppose Plaintiff's motion for preliminary approval or delay the
12 hearing thereon. This is a material term of the Agreement and any delay or opposition by Defendant
13 will be grounds for Plaintiff to withdraw from the Agreement.

14 86. Final Settlement Approval Hearing and Entry of Judgment: Upon expiration of the
15 deadlines to email, fax, or postmark Requests for Exclusion or objections to the Settlement Agreement,
16 and with the Court's permission, a Final Approval Hearing will be conducted to determine the Final
17 Approval of the Settlement Agreement along with the amounts properly payable for: (a) Individual
18 Class Payments; (b) PAGA Penalties; (c) Class Counsel's Fees and Costs; (d) the Class Representative
19 Enhancement Payment; and (e) the Settlement Administration Costs. Class Counsel will be
20 responsible for drafting all documents necessary to obtain Final Approval. Class Counsel will also be
21 responsible for drafting the attorneys' fees and costs application to be heard at the final approval
22 hearing and shall submit to the Court a Proposed Final Approval Order as follows:

- 23 a. Approving the Agreement, adjudging the terms thereof to be fair, reasonable and
- 24 adequate, and directing consummation of its terms and provisions;
- 25 b. Approving Class Counsel's application for an award of attorneys' fees and costs;
- 26 c. Approving the Class Representative Enhancement Payment to Plaintiff;
- 27 d. Setting a date when the parties shall report to the Court the total amount that was actually
- 28 paid to the Class Members; and

1 e. Entering Final Approval Order and Judgment in this Action consistent with this
 2 Agreement.

3 Defendant agrees that it will not oppose Plaintiff’s Motion for Final Approval and Attorneys’ Fees
 4 and Costs provided the terms of this Agreement are otherwise fulfilled.

5 87. Judgment and Continued Jurisdiction: Upon Final Approval of the Settlement by the
 6 Court or after the Final Approval/Settlement Fairness Hearing, Plaintiff will present the Final
 7 Approval Order and Judgment to the Court for its approval. After entry of the Final Approval Order
 8 and Judgment, the Court will have continuing jurisdiction pursuant to California Rules of Court, Rule
 9 3.769 and Code of Civil Procedure section 664.6 for purposes of addressing: (a) the interpretation and
 10 enforcement of the terms of the Settlement, (b) Settlement administration matters, and (c) such post-
 11 judgment matters as may be appropriate under court rules or as set forth in this Settlement.

12 88. Nullification of Settlement Agreement: The Parties, Class Counsel and Defendant’s
 13 Counsel pledge their good faith and fair dealing in supporting the approval of the Settlement by the
 14 Court. In the event that: (a) the Court does not grant preliminary or final approval of the Settlement as
 15 provided herein; (b) the Court strikes or does not approve any material term of this Settlement
 16 Agreement; or (c) the Settlement does not become final as written and agreed to by the Parties for any
 17 other reason, then this Settlement Agreement, and any documents generated to bring it into effect, will
 18 be null and void, all amounts deposited into the QSF will be returned to Defendant, and the Parties
 19 shall be returned to their original respective positions prior to the Settlement and shall proceed in all
 20 respects as if this Settlement Agreement had not been executed. Any order or judgment entered by the
 21 Court in furtherance of this Settlement Agreement will likewise be treated as void from the beginning.
 22 Notwithstanding this provision, the Parties agree that they shall make a good faith effort to resolve
 23 any issues raised by the Court prior to invoking their right to nullify the Settlement under this
 24 provision. The Parties further agree that they will return to and attend mediation with the Hon. Raul
 25 Ramirez (Ret.) in an effort to reach a settlement that may be approved by the Court.

26 89. Exhibits Incorporated by Reference: The terms of this Settlement include the terms set
 27 forth in any attached Exhibits, which are incorporated by this reference as though fully set forth herein.
 28 Any Exhibits to this Settlement are an integral part of the Settlement.

1 90. Entire Agreement: This Settlement Agreement and any attached Exhibits constitute the
2 entirety of the Parties' settlement terms. No other prior or contemporaneous written or oral agreements
3 may be deemed binding on the Parties.

4 91. Amendment or Modification: This Settlement Agreement may be amended or modified
5 only by a written instrument signed by counsel for all Parties or their successors-in-interest.

6 92. Authorization to Enter Into Settlement Agreement: Counsel for all Parties warrant and
7 represent they are expressly authorized by the Parties whom they represent to negotiate this Settlement
8 Agreement and to take all appropriate action required or permitted to be taken by such Parties pursuant
9 to this Settlement Agreement to effectuate its terms and to execute any other documents required to
10 effectuate the terms of this Settlement Agreement. The Parties and their counsel will cooperate with
11 each other and use their best efforts to affect the implementation of the Settlement. If the Parties are
12 unable to reach agreement on the form or content of any document needed to implement the
13 Settlement, or on any supplemental provisions that may become necessary to effectuate the terms of
14 this Settlement, the Parties may seek the assistance of the Court to resolve such disagreement.

15 93. Binding on Successors and Assigns: This Settlement Agreement will be binding upon,
16 and inure to the benefit of, the successors or assigns of the Parties hereto, as previously defined.

17 94. California Law Governs: All terms of this Settlement Agreement and Exhibits hereto
18 will be governed by and interpreted according to the laws of the State of California.

19 95. Execution and Counterparts: This Settlement Agreement is subject only to the
20 execution of all Parties. However, the Settlement Agreement may be executed in one or more
21 counterparts. All executed counterparts and each of them, including facsimile and scanned copies of
22 the signature page, will be deemed to be one and the same instrument provided that counsel for the
23 Parties will exchange among themselves original signed counterparts.

24 96. Acknowledgement that the Settlement is Fair and Reasonable: The Parties believe this
25 Settlement Agreement is a fair, adequate, and reasonable settlement of the Action and have arrived at
26 this Settlement after arm's-length negotiations and in the context of adversarial litigation, taking into
27 account all relevant factors, present and potential. The Parties further acknowledge that they are each
28 represented by competent counsel and that they have had an opportunity to consult with their counsel

1 regarding the fairness and reasonableness of this Settlement.

2 97. Invalidity of Any Provision: Before declaring any provision of this Agreement invalid,
3 the Court will first attempt to construe the provision as valid to the fullest extent possible consistent
4 with applicable precedents so as to define all provisions of this Agreement valid and enforceable.

5 98. Waiver of Certain Appeals: The Parties agree to waive appeals and to stipulate to class
6 certification for purposes of this Settlement only; except, however, that either party may appeal any
7 court order that materially alters the Settlement Agreement's terms.

8 99. Class Action Certification for Settlement Purposes Only: The Parties agree to stipulate
9 to class action certification only for purposes of the Settlement. If, for any reason, the Settlement is
10 not approved, the stipulation to certification will be void. The Parties further agree that certification
11 for purposes of the Settlement is not an admission that class action certification is proper under the
12 standards applied to contested certification motions and that this Agreement will not be admissible in
13 this or any other proceeding as evidence that either: (a) a class action should be certified or (b)
14 Defendant is liable to Plaintiff or any Class Member, other than according to the Settlement's terms.

15 100. Non-Admission of Liability: The Parties enter into this Agreement to resolve the
16 dispute that has arisen between them and to avoid the burden, expense and risk of continued litigation.
17 In entering into this Agreement, Defendant does not admit, and specifically denies, that it has violated
18 any federal, state, or local law; violated any regulations or guidelines promulgated pursuant to any
19 statute or any other applicable laws, regulations or legal requirements; breached any contract; violated
20 or breached any duty; engaged in any misrepresentation or deception; or engaged in any other unlawful
21 conduct with respect to its employees. Neither this Agreement, nor any of its terms or provisions, nor
22 any of the negotiations connected with it, shall be construed as an admission or concession by
23 Defendant of any such violations or failure to comply with any applicable law. Except as necessary in
24 a proceeding to enforce the terms of this Agreement, this Agreement and its terms and provisions shall
25 not be offered or received as evidence in any action or proceeding to establish any liability or
26 admission on the part of Defendant or to establish the existence of any condition constituting a
27 violation of, or a non-compliance with, federal, state, local or other applicable law.

28 101. Captions: The captions and section numbers in this Agreement are inserted for the

1 reader's convenience, and in no way define, limit, construe or describe the scope or intent of the
2 provisions of this Agreement.

3 102. Waiver: No waiver of any condition or covenant contained in this Settlement
4 Agreement or failure to exercise a right or remedy by any of the Parties hereto will be considered to
5 imply or constitute a further waiver by such party of the same or any other condition, covenant, right
6 or remedy.

7 103. Enforcement Action: In the event that one or more of the Parties institutes any legal
8 action or other proceeding against any other Party or Parties to enforce the provisions of this Settlement
9 or to declare rights and/or obligations under this Settlement, the successful Party or Parties will be
10 entitled to recover from the unsuccessful Party or Parties reasonable attorneys' fees and costs,
11 including expert witness fees incurred in connection with any enforcement actions.

12 104. Mutual Preparation: The Parties have had a full opportunity to negotiate the terms and
13 conditions of this Agreement. Accordingly, this Agreement will not be construed more strictly against
14 one Party than another merely by virtue of the fact that it may have been prepared by counsel for one
15 of the Parties, it being recognized that, because of the arms-length negotiations between the Parties,
16 all Parties have contributed to the preparation of this Settlement Agreement.

17 105. Representation By Counsel: The Parties acknowledge that they have been represented
18 by counsel throughout all negotiations that preceded the execution of this Agreement, and that this
19 Agreement has been executed with the consent and advice of counsel and reviewed in full. Further,
20 Plaintiff and Class Counsel warrant and represent that there are no liens on the Agreement.

21 106. All Terms Subject to Final Court Approval: All amounts and procedures described in
22 this Settlement Agreement herein will be subject to final Court approval.

23 107. Cooperation and Execution of Necessary Documents: The Parties agree to cooperate to
24 promote participation in the Settlement Agreement, and in seeking court approval of the Settlement
25 Agreement, including working cooperatively to address any questions raised by the Court and making
26 any amendments to the Settlement Agreement required by the Court. The Parties and their counsel
27 agree not to take any action to encourage any Class Members to opt out of and/or object to the
28 Settlement Agreement. Defendant agrees not to obtain any settlement agreement waivers or release

1 agreements from any Class Member prior to the funding of the Gross Settlement Amount concerning
2 claims released via this Settlement Agreement, except for release agreements that Defendant may
3 obtain from its employees in the normal course and scope of its business. The Parties will work in
4 good faith to reach an agreement approved by the Court. Defendant further agree that it will provide
5 Class Counsel with any declarations or other evidence required by the Court in order to obtain
6 preliminary and final approval of the Settlement Agreement, if required by the Court.

7 108. Confidentiality: The Parties and their counsel agree to keep the terms of the Settlement
8 confidential until the filing of Plaintiff's Motion for Preliminary Approval. Plaintiff, Class Counsel,
9 Defendant, and Defendant's Counsel agree that they will not issue any press releases, initiate any
10 contact with the press, respond to any press inquiry or have any communication with the press about
11 the fact, amount or terms of the Settlement Agreement. Class Counsel further agree not to refer to the
12 Action by name on their website or other promotional material. Nothing in this Settlement Agreement
13 shall limit Defendant's ability to fulfill disclosure obligations reasonably required by law or in
14 furtherance of business purposes, including the fulfillment of obligations stated in this Settlement
15 Agreement or limit Class Counsel's communications with the Class Members in furtherance of
16 approval of this Settlement. Furthermore, nothing in this provision shall be construed as preventing
17 Class Counsel from referring to the Settlement or the Action in support of their adequacy as counsel
18 for a putative class or to justify an award of attorneys' fees.

19 109. Binding Agreement: The Parties warrant that they understand and have full authority
20 to enter into this Settlement, and further intend that this Settlement Agreement will be fully enforceable
21 and binding on all Parties, and agree that it will be admissible and subject to disclosure in any
22 proceeding to enforce its terms, notwithstanding any settlement confidentiality provisions that
23 otherwise might apply under federal or state law.

24 110. Stay of Proceedings Pending Approval of Settlement: The Parties stipulate and agree
25 that all proceedings and deadlines in the Action, other than proceedings necessary to carry out and/or
26 enforce the terms of the Settlement and to obtain preliminary approval and final approval of the
27 Settlement Agreement, shall be stayed pending completion of the preliminary and final approval of
28 the Settlement. The stay on the Action shall include, without limitation, a stay on the five-year

1 limitations period to bring the Action to trial under Code of Civil Procedure section 583.310, as well
2 as any and all class certification, discovery, and motion deadlines.

3 **APPROVED AS TO FORM AND CONTENT:**

4
5 Dated: 06/17/2025

By:  Deshon Williams (Jun 17, 2025 17:57 PDT)
Plaintiff Deshon Williams

6
7
8 Dated: 6/20/2025

By:  Nancy Krystal
Name: Nancy Krystal
Title: VP, General Counsel
For Defendant Velo3D, Inc.

9
10
11 **APPROVED AS TO FORM ONLY:**

12
13
14 Dated: 06/17/2025

PARKER & MINNE, LLP

15
16 By: 
S. Emi Minne
Attorneys for Plaintiff
DESHON WILLIAMS

17
18
19 Dated: 06/20/2025

JACKSON LEWIS, P.C.

20
21 By: 
Shannon Nakabayashi
Kevin Lee
Attorneys for Defendant
VELO3D, INC.

22
23
24
25
26 4901-8091-7322, v. 1

EXHIBIT A

NOTICE OF PROPOSED CLASS ACTION AND PAGA SETTLEMENT

Deshon Williams v. Velo3D, Inc.

Alameda County Superior Court, Case No. 24CV070396

**THIS IS A COURT-AUTHORIZED NOTICE. IT IS NOT A SOLICITATION.
PLEASE READ THIS NOTICE CAREFULLY.
YOUR LEGAL RIGHTS ARE AFFECTED BY WHETHER YOU ACT OR DO NOT ACT.**

To: All current and former non-exempt or hourly-paid employees who are or were employed by Velo3D, Inc. in the State of California at any time from April 4, 2020, through April 28, 2025

BASIC INFORMATION

1. What is this settlement about?

A lawsuit was commenced by a former employee of Velo3D, Inc. (“Defendant”) on April 4, 2024 in the Alameda County Superior Court, Case No. 24CV070396 (“Lawsuit”). The Lawsuit claims that Defendant violated sections of the California Labor Code and California Business and Professions Code. Specifically, the Lawsuit alleges that Defendant failed to provide meal and rest periods and associated premium pay, did not timely pay employees all wages owed during and upon termination of their employment, did not provide accurate wage statements, failed to maintain required payroll records, failed to reimburse employees for necessary business expenses, and engaged in unfair business practices. The Lawsuit claims that Defendant violated the California Labor Code and the California Business and Professions Code, entitling Class Members to damages, statutory penalties, and restitution. The Lawsuit also seeks to recover civil penalties pursuant to the California Private Attorneys General Act of 2004 (“PAGA”). Defendant denies all alleged violations and denies that it owes Class Members any remedies. The Court has not made a ruling on the merits of the case.

2. Why is this a class action?

In a class action, one or more people called the Class Representative (in this case, Deshon Williams, also known as “Plaintiff”), sue on behalf of people who appear to have similar claims (in this case all current and former non-exempt or hourly-paid employees who are or were employed by Defendant in the State of California at any time from April 4, 2020 through April 28, 2025). All these people are referred to in this Notice as Class Members. In a class action one court resolves the issues for all Class Members in one Lawsuit, except for those who exclude themselves from the Class. The Alameda County Superior Court is in charge of this class action.

3. Why is there a settlement?

The Court has not decided in favor of the Plaintiff or Defendant. Instead, both sides agreed to a settlement which is memorialized in the Joint Stipulation of Class Action and PAGA Settlement (“Agreement” or “Settlement”). On [Date of Preliminary Approval] the Court granted preliminary approval of the Settlement, appointed Plaintiff Deshon Williams as the Class Representative, and appointed his attorneys at Parker & Minne, LLP and Smith Law as counsel for the Class (“Class Counsel”). The Class Representative and Class Counsel think the Settlement is best for the Class.

WHO IS PART OF THE SETTLEMENT?

4. How do I know if I am part of the settlement?

You are part of the Settlement, and a Class Member, if you were employed by Defendant as a non-exempt or hourly-paid employee in the state of California at any time between April 4, 2020 through April 28, 2025.

WHAT DO I GET FROM THE SETTLEMENT?

5. What does the settlement provide?

The Settlement provides that Defendant will pay a maximum of Three Hundred Seventy Thousand Dollars (\$370,000.00) (“Gross Settlement Amount”). This includes all costs and attorneys’ fees for Class Counsel.

The “Net Settlement Amount” is the portion of the Class Settlement Amount that will be available for distribution to Class Members who do not submit timely and valid requests for exclusion in exchange for the release of their class claims. The Net Settlement Amount is the Class Settlement Amount less the following amounts (which are subject to Court approval):

- A. **Attorneys’ Fees to Class Counsel** not to exceed one-third of the Class Settlement Amount or One Hundred Twenty-Three Thousand Three Hundred Thirty-Three Dollars and Thirty-Three Cents (\$123,333.33);
- B. **Litigation Costs/Expenses to Class Counsel** not to exceed Twenty-Thousand Dollars (\$20,000.00);
- C. **Class Representative Enhancement Payment** in an amount not to exceed Seven Thousand Five Hundred Dollars (\$7,500.00) to Plaintiff;
- D. **Settlement Administration Costs** which are currently estimated not to exceed Six Thousand Two Hundred Fifty Dollars (\$6,250.00); and
- E. **PAGA Penalties** in the amount of Thirty Thousand Dollars (\$30,000.00) for the settlement of claims arising under the Private Attorney’s General Act of 2004 (PAGA). Seventy-Five percent (75%) of this amount, (\$22,500.00) shall be paid to the LWDA. The remaining twenty-five percent (25%) (\$7,500.00) will be distributed to hourly-paid, non-exempt employees of Defendants in the state of California at any time from January 30, 2025, to April 28, 2025 (“PAGA Members”) for the release of their claims arising under PAGA.

Class Members are entitled to receive an Individual Class Payment from the Net Settlement Amount, which is determined on a *pro rata* basis based on the number of weeks each Class Member worked for Defendant as an hourly-paid, non-exempt employee of Defendants from April 4, 2020 through April 28, 2025 (“Workweeks”). Your Individual Class Payment will be apportioned as twenty percent (20%) wages, forty percent (40%) interest and forty percent (40%) penalties. The wage portion of the Individual Class Payment will be subject to withholding for the employee taxes and will be reported on a W-2 Form. Employer-side payroll taxes shall be paid separately from and in addition to the Gross Settlement Amount. The penalties and interest portions of each class member’s settlement payment will not be subject to any withholdings and will be reported on an IRS Form 1099.

PAGA Members are eligible to receive an Individual PAGA Settlement from the 25% portion of the PAGA Penalties allocated towards payment of employees, which is determined on a *pro rata* basis based on the number of weeks each PAGA Member worked for Defendant as an hourly-paid, non-exempt employee of Defendants from January 30, 2025 through April 28, 2025. Each Individual PAGA Payment will be allocated as one hundred percent (100%) penalties, which will be reported on an IRS Form 1099 (if applicable). PAGA Members will receive an Individual PAGA Settlement even if they submit a Request for Exclusion.

6. How much will I receive from the settlement?

According to Defendant’s records, you worked:

- workweeks during the Class Period (April 4, 2020 to April 28, 2025); and
- workweeks during the PAGA Period (January 30, 2023 to April 28, 2025).

Based on the number of Workweeks credited to you, your Individual Class Payment is estimated to be \$ _____, and your Individual PAGA Payment (if applicable) is estimated to be \$ _____.

The settlement approval process may take multiple months. Your Individual Class Payment and/or Individual PAGA Payment (if applicable) reflected in this Notice is only an estimate. Your actual Individual Class Payment and/or Individual PAGA Payment (if applicable) may be higher or lower. Payments will be distributed only after the Court grants final approval of the Settlement, and after the Settlement goes into effect.

Your Individual Class Payment and/or Individual PAGA Payment was determined based on Defendant’s record of your employment and are presumed correct. If you dispute the accuracy of Defendant’s records as to the number of weeks worked during the Class Period, you must contact the Settlement Administrator and provide any documentation you have supporting such dispute by **[INSERT RESPONSE DEADLINE]**. All disputes regarding your workweeks will be resolved and decided by the Parties or if the Parties cannot agree, the Settlement Administrator, after you submit evidence to the Settlement Administrator.

If the Court grants final approval of the Settlement, Individual Class Payments and Individual PAGA Payments will be mailed to at the address that is on file with the Settlement Administrator. **If the address to which this Class Notice was mailed is not correct, or if you move after you receive this Class Notice, you must provide your correct mailing address to the Settlement Administrator as soon as possible to ensure your receipt of payment that you may be entitled to under the Settlement.**

The Settlement Administrator’s contact information is listed below:

Apex Class Action LLC
[Address]
[Telephone No].
[Fax No.]
[E-mail address]

7. How can I get a payment?

You do not have to do anything to receive payment of your portion of the Settlement.

8. What am I giving up if I do not request to be excluded from the settlement?

Upon the funding of the Gross Settlement Amount, in exchange for the consideration set forth by the Settlement, Plaintiff and all Class Members who do not submit a timely request for exclusion shall release the “Released Parties” from the “Released Class Claims” for the Class Period.

The “Released Parties” include Defendant Velo3D, Inc. and its past, present and/or future, direct and/or indirect, officers, directors, members, managers, employees, agents, representatives, attorneys, insurers, partners, investors, shareholders, administrators, parent companies, subsidiaries, affiliates, divisions, predecessors, successors, assigns, and joint venturers, including but not limited to Velo3D US, Inc.

The “Released Class Claims” means all claims, rights, demands, liabilities and causes of action that are alleged, or reasonably could have been alleged based on the factual allegations and claims asserted in the operative complaint in the Action that arise during the Class Period, including the following claims: (1) Violation of Cal. Labor Code §§ 510 and 1198 (Unpaid Overtime) including failure to pay wages at the appropriate regular rate of pay; (2) Violation of Cal. Labor Code §§ 226.7 and 512(a) (Unpaid Meal Period Premiums); (3) Violation of Cal. Labor Code § 226.7 (Unpaid Rest Period Premiums); (4) Violation of Cal. Labor Code §§ 1194, 1197, and 1197.1 (Unpaid Minimum Wages); (5) Violation of Cal. Labor Code §§ 201, 202 and 203 (Final Wages Not Timely Paid); (6) Violation of Cal. Labor Code § 226(a) (Failure to Provide Accurate Wage Statements); (7) Violation of Cal. Labor Code §§ 2800 and 2802 (Failure to Reimburse Necessary Business Expenses); (8) Violation of Cal. Bus. & Prof. Code §§ 17200, et seq. based on violations of Labor Code sections 201, 202, 203, 226(a), 226.7, 510, 512(a), 1194, 1197, 1197.1, 2800, and 2802. The Released Class Claims pertains to the period of April 4, 2020 to April 28, 2025.

In addition, Plaintiff and the LWDA will be deemed to have fully, finally and forever released, settled, compromised, relinquished, and discharged any and all claims for the recovery for civil penalties, attorneys’ fees and costs permissible under the California Labor Code Private Attorneys General Act of 2004, Cal. Labor Code §§ 2698, et seq. which Plaintiff and the LWDA had, or may claim to have, against Released Parties, based on the violations alleged in the operative complaint in the Action or the PAGA Notice that arise during the PAGA Period, including failure to pay overtime compensation; including failure to pay at the appropriate regular rate, failure to pay minimum wages; failure

to provide compliant meal and rest breaks; failure to pay meal and rest period premiums; failure to pay all wages owed at discharge or resignation; failure to timely pay wages during employment; failure to provide complete and accurate wage statements; failure to keep complete and accurate payroll records; failure to reimburse necessary business-related expenses; and violations of Labor Code sections 201, 202, 203, 204, 226(a), 226.7, 510, 512(a), 1174(d), 1194, 1197, 1197.1, 1198, 2698, 2800, and 2802 (“Released PAGA Claims.”) The Released PAGA Claims pertains to the period of January 30, 2023 to April 28, 2025.

EXCLUDING YOURSELF FROM THE RELEASE OF NON-PAGA CLAIMS

If you want to keep the right to sue or continue to sue Defendant with respect to the Released Class Claims, then you must submit a request for exclusion in conformity with the requirements set forth herein. If you exclude yourself, you will not receive payment from the Net Settlement Amount. However, if eligible, you will still receive a payment in an amount equal to your estimated *pro rata* share of the PAGA Penalties because the Request for Exclusion does not apply to the PAGA claim.

9. How can I not participate in the settlement?

To exclude yourself from the release of Released Class Claims you must submit a written request for exclusion. You must include your name, address, telephone number and the last four digits of your social security number and/or Employee ID number. Your request for exclusion must also include a statement that you do not wish to be included in this action similar to the following: I wish to exclude myself from the class action settlement reached in the matter of *William v. Velo3D, Inc.* I understand that by excluding myself I will not receive money from the class portion of the settlement.”

The written request for exclusion must be mailed, emailed, or faxed to the Settlement Administrator at the address listed below, by U.S. mail, facsimile, or e-mail by **[Insert Response Deadline]**. You cannot exclude yourself by phone.

Apex Class Action LLC

[Address]
[Telephone No].
[Fax No.]
[E-mail address]

If you ask to be excluded, you will not receive payment of any portion of the Net Settlement Amount and you cannot object to the Settlement. You will not be legally bound by the release of Released Class Claims. You may be able to sue Defendant and/or the Released Parties or continue any suit you have pending against Defendants and/or the Released Parties, regarding the Released Class Claims.

10. If I don’t exclude myself, can I sue Defendant for the same thing later?

No. Unless you submit a request for exclusion, you give up the right to sue Defendant and the Released Parties for the Released Class Claims. If you have a pending lawsuit involving the Released Class Claims, speak to your lawyer in that lawsuit immediately.

11. If I exclude myself, can I get money from the settlement?

No (except if you worked between January 30, 2023 to April 28, 2025, in which case you will still receive your Individual PAGA Payment for Released PAGA Claims). But if you submit a timely and valid request for exclusion, you retain any right that you may have to sue, continue to sue, or be part of a different lawsuit against and/or the Released Parties for Released Class Claims.

THE LAWYERS REPRESENTING YOU

12. Do I have a lawyer in this case?

The Court has approved PARKER & MINNE, LLP and SMITH LAW, as counsel for the Class for Settlement purposes. The firms' contact information is:

PARKER & MINNE, LLP
S. Emi Minne
700 South Flower Street, Suite 1000
Los Angeles, California 90017
Telephone: (310) 882-6833
Facsimile: (310) 889-0822

SMITH LAW
Benjamin Smith
8605 Santa Monica Boulevard
PMB 97638
West Hollywood, California 90069
Telephone: (818) 839-9700
Facsimile: (818) 824-4975

Class Counsel will ask the Court for attorneys' fees of up to \$123,333.33 and reimbursement of litigation costs and expenses of up to \$20,000.00. These amounts are subject to Court approval and the Court may award less than these amounts.

OBJECTING TO THE SETTLEMENT

13. How do I tell the Court if I don't like the settlement?

If you are a Class Member, you can object to the Settlement and you can give reasons for why you think the Court should not approve it. The Court will consider your views. To object, you must mail, email, or fax your objection to the Settlement Administrator no later than **[Insert Response Deadline]**. Your objection must include your full name, address, telephone number, the last four digits of your social security number or employee ID number, and the specific reason for your objection. You may also come to the Final Approval Hearing on **[Insert Response Deadline]** and make an objection at that time, regardless of whether you submitted a written objection.

14. What is the difference between objecting and requesting to be excluded?

Objecting is simply telling the Court that you do not like something about the Settlement. You can object only if you stay in the Class. Excluding yourself is telling the Court that you do not want to be part of the Settlement. If you exclude yourself, you have no basis to object because the case no longer affects you.

THE COURT'S FAIRNESS HEARING

The Court will hold a hearing to decide whether to grant final approval of the Settlement ("Final Approval Hearing"). You may attend, but you do not have to attend.

15. When and where will the Court decide whether to approve the settlement?

The Court will hold the Final Approval Hearing at **[Insert Final Approval Hearing Time]** a.m./p.m. on **[Insert Final Approval Hearing Date]**, in Department 21 of the Alameda County Superior Court, located at 1225 Fallon Street, Oakland, California 94612. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate, and determine whether to grant final approval of the Settlement. If there are objections, the Court will consider them.

16. Do I have to come to the hearing?

No. If you agree to the Settlement you do not have to come to Court to talk about it. However, you may attend. You may also retain your own lawyer at your expense to attend on your behalf. You may attend in person, but you may also attend remotely if you wish. The link for remote appearances is typically listed Court's tentative ruling on the Motion for Final Approval, which can be found by searching for the case number (24CV070396) at the Court's eCourt Public Portal at <https://eportal.alameda.courts.ca.gov/>. Tentative rulings are typically posted the day before the hearing.

17. How will I learn if the settlement was approved?

A notice of final judgment will be posted on the Settlement Administrator website located at www. .com.

IF YOU DO NOTHING

18. What happens if I do nothing at all?

If you do nothing, you will receive your share of the Settlement, and you will release the Released Class Claims. You will not be able to start a lawsuit, continue with a lawsuit, or be part of any other lawsuit against Defendant and/or the Released Parties about the Released Claims, ever again. Your Individual Class Payment and Individual PAGA Payment (if applicable) will be mailed to you and remain valid and negotiable for 90 calendar days. If you do not cash the check for your Individual Class Payment and Individual PAGA Payment (if applicable) within 90 calendar days, these funds will be irrevocably lost to you because they will be distributed to Greg E. Knoll Justice Gap Fund, the non-profit organization designated as the cy pres recipient.

GETTING MORE INFORMATION

19. How do I get more information?

This notice summarizes the proposed Settlement. More details are in the Settlement Agreement. You can get a copy of the Settlement Agreement by viewing the settlement located on the Settlement Administrator's website at www. .com, or by contacting the Settlement Administrator or Class Counsel by phone or email. You may also download copies of the Settlement Agreement by accessing the Court's eCourt Public Portal at <https://eportal.alameda.courts.ca.gov/>, and searching for case number 24CV070396. If you use the eCourt Public Portal, the Settlement Agreement is attached as Exhibit 1 to the Declaration of S. Emi Minne in Support of Plaintiff's Motion for Preliminary Approval of Class Action Settlement.

WHAT IF MY INFORMATION CHANGES?

20. What if my contact information changes?

It is your responsibility to inform the Settlement Administrator of your updated information to ensure receipt of settlement payments or communications regarding this matter. You can change or update your contact information by contacting the Settlement Administrator.

DO NOT ADDRESS ANY QUESTIONS ABOUT THE SETTLEMENT OR THE LAWSUIT TO THE CLERK OF THE COURT OR THE JUDGE