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24 VANDERHAM & SONS DAIRY

25 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**
26 **FOR THE COUNTY OF TULARE**

27 ADRIAN PACHECO and JUAN BAUTISTA,
28 individually, and on behalf of all others
similarly situated,

Plaintiffs,

v.

K.A. VANDERHAM DAIRY, an unknown
entity; DICK VANDERHAM & SONS
DAIRY, an unknown entity; and DOES 1
through 10, inclusive,

Defendants.

Case No. VCU302174

*Assigned for All Purposes To:
Hon. Gary Johnson, Dept. 7*

**CLASS ACTION AND PAGA
SETTLEMENT AGREEMENT**

1 This Class Action and PAGA Settlement Agreement (“Agreement”) is made by and between
2 Plaintiffs Adrian Pacheco and Juan Bautista (“Plaintiffs”) and Defendants K.A. Vanderham &
3 Sons Dairy and Dick Vanderham & Sons Dairy (collectively, “Defendants”). The Agreement
4 refers to Plaintiffs and Defendants collectively as “Parties,” or individually as “Party.”

5 1. **DEFINITIONS.**

6 1.1 “Actions” means Plaintiffs’ lawsuit alleging class action wage and hour violations
7 against Defendants captioned *Adrian Pacheco and Juan Bautista v. K.A. Vanderham Dairy, et al.*,
8 Case No. VCU302174 (Tulare County Superior Court) (the “Class Action”), and Plaintiffs’
9 lawsuit alleging representative claims under the Private Attorneys General Act of 2004 (“PAGA”)
10 against Defendant captioned *Adrian Pacheco and Juan Bautista v. K.A. Vanderham Dairy, et al.*,
11 Case No. VCU308316 (“PAGA Action”).

12 1.2 “Administrator” means Apex Class Action Administration, the neutral entity the Parties
13 have agreed to appoint to administer the Settlement.

14 1.3 “Administration Costs” means the amount the Administrator will be paid from the Gross
15 Settlement Amount to reimburse its reasonable fees and expenses in accordance with the
16 Administrator’s “not to exceed” bid submitted to the Court in connection with Preliminary
17 Approval of the Settlement.

18 1.4 “Aggrieved Employee” means all persons who worked for Defendants in California as
19 an hourly-paid or non-exempt employee at any time during the PAGA Period. Aggrieved
20 employees cannot opt-out of the settlement.

21 1.5 “Class” means all persons who worked for Defendants in California as an hourly-paid
22 or non-exempt employee at any time during the Class Period.

23 1.6 “Class Counsel” means John G. Yslas, Diego Aviles, Harry Erganyan, and Mariam
24 Nazaretyan of Wilshire Law Firm, PLC.

25 1.7 “Class Counsel Fees Payment” means an award of attorneys’ fees granted to Class
26 Counsel and paid from the Gross Settlement Amount. The Parties have agreed Plaintiff will
27 request approval from the Court of up to one-third (1/3) of the GSA (currently one hundred six
28 thousand seven hundred twenty-two dollars and sixty-six cents [\$106,722.66]).

1 1.8 “Class Counsel Litigation Expenses Payment” means the amount allocated to Class
2 Counsel for reimbursement of reasonable expenses and costs incurred to prosecute the Actions,
3 not to exceed sixteen thousand dollars and zero cents (\$16,000.00) and paid from the Gross
4 Settlement Amount.

5 1.9 “Class Data” means Class Member identifying information in Defendants’ possession
6 including the Class Member’s name, last-known mailing address, Social Security number, and
7 number of Workweeks and PAGA Pay Periods.

8 1.10 “Class Member” or “Settlement Class Member” means a member of the Class, as either
9 a Participating Class Member or Non-Participating Class Member (including a Non-Participating
10 Class Member who qualifies as an Aggrieved Employee). Class Members may opt-out of the
11 class.

12 1.11 “Class Member Address Search” means the Administrator’s investigation and search for
13 current Class Member mailing addresses using all reasonably available sources, methods, and
14 means including, but not limited to, the National Change of Address database, skip traces, and
15 direct contact by the Administrator with Class Members.

16 1.12 “Class Notice” means the Court approved Notice of Settlement and hearing date for
17 Final Approval, to be mailed to Class Members in English in the form, without material variation,
18 attached as Exhibit A and incorporated by reference into this Agreement.

19 1.13 “Class Period” or “Class Settlement Period” means the period from September 26, 2019
20 through May 4, 2025 (“Settlement Class Period”).

21 1.14 “Class Representative” means the named Plaintiffs Adrian Pacheco and Juan Bautista in
22 the Actions.

23 1.15 “Class Representative Service Payment” or “Enhancement Award” means the payment
24 to the Class Representatives for initiating the Actions and providing services in support of the
25 Actions.

26 1.16 “Court” means the Superior Court of California, County of Tulare.

27 1.17 “Defendants” means named Defendant K.A. Vanderham Dairy and Dick Vanderham &
28 Sons Dairy.

1 1.18 “Defense Counsel” means Ian B. Wieland and Daniella M. Crisantiof Sagaser, Watkins
2 & Wieland.

3 1.19 “Effective Date” means the date by which both of the following have occurred: (a) the
4 Court enters a Judgment on its Order Granting Final Approval of the Settlement; and (b) the
5 Judgment is final. The Judgment is final as of the latest of the following occurrences: (a) if no
6 Participating Class Member objects to the Settlement, the day the Court enters Judgment; (b) if
7 one or more Participating Class Members objects to the Settlement, the day after the deadline for
8 filing a notice of appeal from the Judgment; or (c) if a timely appeal from the Judgment is filed,
9 the day after the appellate court affirms the Judgment and issues a remittitur.

10 1.20 “Final Approval” means the Court’s order granting final approval of the Settlement.

11 1.21 “Final Approval Hearing” means the Court’s hearing on the Motion for Final Approval
12 of the Settlement.

13 1.22 “Final Judgment” means the Judgment entered by the Court upon granting Final
14 Approval of the Settlement.

15 1.23 “Gross Settlement Amount” or “GSA” means Three Hundred Thirty Thousand Dollars
16 and Zero Cents (\$320,168.00), which is the total amount Defendants agree to pay under the
17 Settlement, except as provided in Paragraph 8 below.

18 1.24 “Individual Class Payment” means the Participating Class Member’s pro rata share of
19 the Net Settlement Amount calculated according to the number of Workweeks worked during the
20 Class Period.

21 1.25 “Individual PAGA Payment” means the Aggrieved Employee’s pro rata share of 25%
22 of the PAGA Penalties calculated according to the number of PAGA Pay Periods worked during
23 the PAGA Period.

24 1.26 “Judgment” means the judgment entered by the Court based upon the Final Approval.

25 1.27 “LWDA” means the California Labor and Workforce Development Agency, the agency
26 entitled, under Labor Code section 2699, subd. (i).

27 1.28 “LWDA PAGA Payment” means the 75% of the PAGA Penalties paid to the LWDA
28 under Labor Code section 2699, subd. (i).

1 1.29 “Net Settlement Amount” means the Gross Settlement Amount, less the following
2 payments in the amounts approved by the Court: PAGA Penalties payment, Class Representative
3 Service Payment, Class Counsel Fees Payment, Class Counsel Litigation Expenses Payment, and
4 the Administration Costs Payment. The remainder is to be paid to Participating Class Members
5 as Individual Class Payments.

6 1.30 “Non-Participating Class Member” means any Class Member who opts out of the
7 Settlement by sending the Administrator a valid and timely Request for Exclusion.

8 1.31 “Operative Class Complaint” means the operative complaint filed on September 26,
9 2023 in *Adrian Pacheco, etc. v. K.A. Vanderham Dairy, et al.*, Case No. VCU302174 (Tulare
10 County Superior Court).

11 1.32 “Operative PAGA Complaint” means the operative complaint filed on April 24, 2024 in
12 *Adrian Pacheco, etc. v. K.A. Vanderham Dairy, et al.*, Case No. VCU308316 (Tulare County
13 Superior Court).

14 1.33 “PAGA Pay Period” means any Pay Period during which an Aggrieved Employee
15 worked for Defendant for at least one day during the PAGA Period.

16 1.34 “PAGA Period” means the period from January 16, 2023 through May 4, 2025.

17 1.35 “PAGA” means the Private Attorneys General Act (Labor Code §§ 2698. et seq.).

18 1.36 “PAGA Notice” means Plaintiff’s January 16, 2024 letter to the LWDA and Defendant
19 providing notice pursuant to Labor Code section 2699.3, subd.(a).

20 1.37 “PAGA Penalties” means the total amount of \$20,000.00 in PAGA civil penalties to be
21 paid from the Gross Settlement Amount, allocated 25% (\$5,000.00) to the Aggrieved Employees
22 and 75% (\$15,000.00) to the LWDA in settlement of PAGA claims.

23 1.38 “Participating Class Member” means a Class Member who does not submit a valid and
24 timely Request for Exclusion from the Settlement.

25 1.39 “Plaintiffs” means Adrian Pacheco and Juan Bautista, the plaintiffs in the Actions.

26 1.40 “Preliminary Approval” means the Court’s Order Granting Preliminary Approval of the
27 Settlement.

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1 1.41 "Released Class Claims" means the claims being released as described in Paragraph 5.2
2 below.

3 1.42 "Released PAGA Claims" means the claims being released as described in Paragraph
4 5.3 below.

5 1.43 "Released Parties" means Defendants and its respective past, future, or present agents,
6 employees, representatives, administrators, officers, directors, members, insurers, shareholders,
7 subsidiaries, affiliates, predecessors, successors, assigns, owners, attorneys, accountants,
8 receivers, advisors, consultants, partners, partnerships, parents, divisions, subsidiaries, affiliates,
9 heirs, joint venturers, trustees, investors, fiduciaries, franchisees or franchisors, or commonly
10 controlled corporations.

11 1.44 "Request for Exclusion" means a Class Member's submission of a written request to be
12 excluded from the Class Settlement signed by the Class Member.

13 1.45 "Response Deadline" means sixty (60) days after the Administrator mails Notice to Class
14 Members and Aggrieved Employees and shall be the last date on which Class Members may: (a)
15 fax, email, or mail Requests for Exclusion from the Settlement, or (b) fax, email, or mail his or
16 her Objection to the Settlement. Class Members to whom Notice packets are resent after having
17 been returned undeliverable to the Administrator shall have an additional fourteen (14) calendar
18 days beyond the Response Deadline has expired.

19 1.46 "Settlement" means the disposition of the Actions effected by this Agreement and the
20 Judgment.

21 1.47 "Workweek" means any week during which a Class Member worked for Defendant for
22 at least one day, during the Class Period.

23 **2. RECITALS.**

24 2.1 On September 26, 2023, Plaintiffs filed the Operative Class Action Complaint alleging
25 causes of action against Defendant for (1) Failure to Pay Minimum and Straight Time Wages; (2)
26 Failure to Pay Overtime Wages; (3) Failure to Provide Meal Periods; (4) Failure to Authorize and
27 Permit Rest Periods; (5) Failure to Timely Pay Final Wages at Termination; (6) Failure to Provide
28 Accurate Itemized Wage Statements; (7) Failure to Indemnify Employees for Expenditures; (8)

1 Failure to Produce Requested Employment Records; and (9) Unfair Business Practices.

2 2.2 On January 16, 2024, pursuant to Labor Code §2699.3, subd.(a), Plaintiffs gave notice
3 to the LWDA and Defendants that Plaintiffs intended to proceed with a representative action
4 under PAGA (LWDA-CM-1008161-24). On April 24, 2024, after the 65-day statutory period
5 passed, Plaintiff filed the Operative PAGA Complaint, alleging claims for penalties pursuant to
6 Labor Code § 2699, *et seq.*

7 2.3 Defendants deny the allegations in the Actions, deny any failure to comply with the laws
8 identified in the Actions, and deny any and all liability for the causes of action alleged in the
9 Actions.

10 2.4 On March 5, 2025, the Parties participated in an all-day mediation presided over by
11 mediator Russ Wunderli. The Parties accepted a mediator’s proposal and agreed on general
12 settlement terms but additional time and negotiations were necessary until an executed agreement
13 was finalized.

14 2.5 In advance of mediation, Class Counsel conducted a thorough investigation into the facts
15 of, and applicable law to, the Actions. Prior to mediation, Plaintiffs obtained and analyzed a
16 representative sampling of time and payroll data for Class Members and the necessary policy
17 documents through informal discovery to properly evaluate the strengths and weakness of the
18 claims and engage in meaningful settlement discussions. Plaintiffs’ investigation was sufficient
19 to satisfy the criteria for court approval set forth in *Dunk v. Foot Locker Retail, Inc.*, 48
20 Cal.App.4th 1794, 1801 (1996) and *Kullar v. Foot Locker Retail, Inc.*, 168 Cal.App.4th 116, 129-
21 130 (2008) (“*Dunk/Kullar*”).

22 2.6 The Parties, Class Counsel and Defense Counsel represent that they are not aware of any
23 other pending matters or actions asserting claims that will be extinguished or affected by the
24 Settlement.

25 3. **MONETARY TERMS.**

26 3.1 Gross Settlement Amount. Except as otherwise provided by Paragraph 9 below,
27 Defendants will pay Three Hundred Twenty Thousand One Hundred Sixty-Eight Dollars and
28 Zero Cents (\$320,168.00) to fully settle, resolve, and extinguish all claims asserted in the Actions,

1 including without limitation all claims asserted in the PAGA Notice. The Gross Settlement
2 Amount is non-reversionary and does not include employer payroll taxes owed on the wage
3 portions of the Individual Class Payments, which Defendant will pay separately.

4 3.2 Payments from the Gross Settlement Amount. The Administrator will make and deduct
5 the following payments from the Gross Settlement Amount, in the amounts specified by the Court
6 in the Final Approval:

7 3.2.1 To Plaintiffs: A payment for the Class Representative Service Payment to Plaintiffs
8 of not more than Seven Thousand Five Hundred Dollars and Zero Cents (\$7,500.00) in addition
9 to any Individual Class Payment and any Individual PAGA Payment the Class Representatives
10 are entitled to receive as a Participating Class Member. Defendants will not oppose Plaintiffs'
11 request for a Class Representative Service Payment that does not exceed this amount. As part of
12 the motion for the Class Counsel Fees and Litigation Expenses Payments, Plaintiffs will seek
13 Court approval for any Class Representative Service Payments no later than sixteen (16) court
14 days prior to the Final Approval Hearing, or as otherwise ordered by the Court. If the Court
15 approves a Class Representative Service Payment less than the amount requested, the
16 Administrator will retain the remainder in the Net Settlement Amount to be distributed to
17 Participating Class Members. The Administrator will pay the Class Representative Service
18 Payment using IRS Form 1099. Plaintiffs assume full responsibility and liability for employee
19 taxes owed on the Class Representative Service Payments.

20 3.2.2 To Class Counsel: A Class Counsel Fees Payment of not more than one-third of the
21 Gross Settlement Amount, which is currently estimated to be one hundred six thousand seven
22 hundred twenty-two dollars and sixty-six cents (\$106,722.66) and a Class Counsel Litigation
23 Expenses Payment for actual costs, not to exceed sixteen thousand dollars with zero cents
24 (\$16,000.00). Defendants will not oppose requests for these payments. Plaintiffs and/or Class
25 Counsel will file a motion for Class Counsel Fees and Litigation Expenses Payment no later than
26 sixteen (16) court days prior to the Final Approval Hearing, or as otherwise ordered by the Court.
27 If the Court approves a Class Counsel Fees Payment and/or a Class Counsel Litigation Expenses
28 Payment less than the amounts requested, the Administrator will allocate the remainder to the Net

1 Settlement Amount for distribution to Participating Class Members. Released Parties shall have
2 no liability to Class Counsel or any other Plaintiffs' counsel arising from any claim to any portion
3 of Class Counsel Fee Payment and/or Class Counsel Litigation Expenses Payment. The
4 Administrator will pay the Class Counsel Fees Payment and Class Counsel Expenses Payment
5 using one or more IRS 1099 Forms. Class Counsel assumes full responsibility and liability for
6 taxes owed on the Class Counsel Fees Payment and the Class Counsel Litigation Expenses
7 Payment and holds Defendants harmless, and indemnifies Defendants, from any dispute or
8 controversy regarding any division or sharing of any of these Payments.

9 3.2.3 To the Administrator: An Administrator Costs Payment not to exceed Seven
10 Thousand Two Hundred Fifty Dollars and Zero Cents (\$7,690.00) except for a showing of good
11 cause and as approved by the Court. To the extent the Administration Costs are less, or the Court
12 approves payment of less than Seven Thousand Six Hundred Ninety Dollars and Zero Cents
13 (\$7,690.00), the Administrator will retain the remainder in the Net Settlement Amount to be
14 distributed to Participating Class Members.

15 3.2.4 To Each Participating Class Member: An Individual Class Payment is calculated by
16 (a) dividing the Net Settlement Amount by the total number of Workweeks worked by all
17 Participating Class Members during the Class Period, and (b) multiplying the result by each
18 individual Participating Class Member's Workweeks.

19 3.2.4.1 Tax Allocation of Individual Class Payments. Five percent (5%) of each
20 Participating Class Member's Individual Class Payment will be allocated to the Settlement of
21 wage claims (the "Wage Portion"). The Wage Portion is subject to tax withholding and will be
22 reported on an IRS W-2 Form. Five percent (5%) of each Participating Class Member's Individual
23 Class Payment will be allocated for reimbursement and the remaining ninety (90%) will be
24 allocated for penalties, interest, and non-wage damages. (the "Non-Wage Portion"). The Non-
25 Wage Portions are not subject to wage withholdings and will be reported on IRS 1099 Forms.
26 Participating Class Members assume full responsibility and liability for any employee taxes owed
27 on their Individual Class Payment.

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1 3.2.4.2 Effect of Non-Participating Class Members on Calculation of Individual
2 Class Payments. Non-Participating Class Members will not receive any Individual Class
3 Payments. The Administrator will retain amounts equal to their Individual Class Payments in the
4 Net Settlement Amount for distribution to Participating Class Members on a pro-rata basis.

5 3.2.5 To the LWDA and Aggrieved Employees: PAGA Penalties in the amount of twenty
6 thousand dollars and zero cents (\$20,000.00) to be paid from the Gross Settlement Amount, with
7 75% (\$15,000.00) allocated to the LWDA PAGA Payment and 25% (\$5,000.00) allocated to the
8 Individual PAGA Payments.

9 3.2.5.1 The Administrator will calculate each Individual PAGA Payment by (a)
10 dividing the amount of the Aggrieved Employees' 25% share of PAGA Penalties of \$5,000.00 by
11 the total number of PAGA Period Pay Periods worked by all Aggrieved Employees during the
12 PAGA Period, and (b) multiplying the result by each individual Aggrieved Employee's PAGA
13 Pay Periods. Aggrieved Employees assume full responsibility and liability for any taxes owed on
14 their Individual PAGA Payment.

15 3.2.5.2 If the Court approves PAGA Penalties of less than the amount requested,
16 the Administrator will allocate the remainder to the Net Settlement Amount to be distributed to
17 Participating Class Members. The Administrator will report the Individual PAGA Payments on
18 IRS 1099 Forms.

19 **4. SETTLEMENT FUNDING AND PAYMENTS.**

20 4.1 Class Workweeks and Aggrieved Employee Pay Periods. Based on a review of its
21 records, Defendants represent there are 275 Class Members who collectively worked a total of
22 10,328 workweeks during the Class Period, and 96 Aggrieved Employees who worked a total of
23 1,450 PAGA Pay Periods during the PAGA Period.

24 4.2 Class Data. Not later than ten (10) days after the Court grants Preliminary Approval of
25 the Settlement, Defendants will deliver the Class Data to the Administrator, in the form of a
26 Microsoft Excel spreadsheet. To protect Class Members' privacy rights, the Administrator must
27 maintain the Class Data in confidence, use the Class Data only for purposes of this Settlement
28 and for no other purpose, and restrict access to the Class Data to Administrator employees who

1 need access to the Class Data to effect and perform under this Agreement. Defendants have a
2 continuing duty to immediately notify Class Counsel if it discovers that the Class Data omitted
3 class member identifying information and to provide corrected or updated Class Data as soon as
4 reasonably feasible. Without any extension of the deadline by which Defendants must send the
5 Class Data to the Administrator, the Parties and their counsel will expeditiously use best efforts,
6 in good faith, to reconstruct or otherwise resolve any issues related to missing or omitted Class
7 Data.

8 4.3 Funding of Gross Settlement Amount. Defendants shall fully fund the Gross Settlement
9 Amount and the amounts necessary to fully pay Defendants' share of payroll taxes by transmitting
10 the funds to the Administrator no later than sixty-five (65) days after the Effective Date.

11 4.4 Payments from the Gross Settlement Amount. Within ten (10) days after Defendants
12 fully funds the Gross Settlement Amount, the Administrator will mail checks for all Individual
13 Class Payments, all Individual PAGA Payments, the LWDA PAGA Payment, the Administration
14 Costs Payment, the Class Counsel Fees Payment, the Class Counsel Litigation Expenses Payment,
15 and the Class Representative Service Payments. Disbursement of the Class Counsel Fees
16 Payment, the Class Counsel Litigation Expenses Payment, and the Class Representative Service
17 Payments shall not precede disbursement of Individual Class Payments and Individual PAGA
18 Payments.

19 4.4.1 The Administrator will issue checks for the Individual Class Payments and/or
20 Individual PAGA Payments and send them to the Class Members via First Class U.S. Mail. The
21 face of each check shall prominently state the date (180 days after the date of mailing) when the
22 check will be voided ("Void Date"). The Administrator will cancel all checks not cashed by the
23 Void Date. The Administrator will send checks for Individual Settlement Payments to all
24 Participating Class Members (including those for whom the Class Notice was returned
25 undelivered). The Administrator will send checks for Individual PAGA Payments to all
26 Aggrieved Employees including Non-Participating Class Members who qualify as Aggrieved
27 Employees (including those for whom Class Notice was returned undelivered). The Administrator
28 may send Participating Class Members a single check combining the Individual Class Payment

1 and the Individual PAGA Payment. Before mailing any checks, the Settlement Administrator
2 must update the recipients' mailing addresses using the National Change of Address Database.

3 4.4.2 The Administrator must conduct a Class Member Address Search for all other Class
4 Members whose checks are returned undelivered without USPS forwarding address. Within seven
5 (7) days of receiving a returned check, the Administrator must re-mail checks to the USPS
6 forwarding address provided or to an address ascertained through the Class Member Address
7 Search. The Administrator need not take further steps to deliver checks to Class Members whose
8 re-mailed checks are returned as undelivered. The Administrator shall promptly send a
9 replacement check to any Class Member whose original check was lost or misplaced, requested
10 by the Class Member prior to the void date.

11 4.4.3 For any Class Member whose Individual Class Payment check or Individual PAGA
12 Payment check is uncashed and canceled after the void date, the Administrator shall transmit the
13 funds represented by such checks to the California Controller's Unclaimed Property Fund, subject
14 to the requirements of California Code of Civil Procedure Section 384, subd. (b).

15 4.4.4 The payment of Individual Class Payments and Individual PAGA Payments shall
16 not obligate Defendant to confer any additional benefits or make any additional payments to Class
17 Members (such as 401(k) contributions or bonuses) beyond those specified in this Agreement.

18 5. **RELEASES OF CLAIMS.** Effective on the date when Defendants fully fund the Gross
19 Settlement Amount and all employer payroll taxes owed on the Wage Portion of the Individual
20 Class Payments, Plaintiffs, Class Members, and Class Counsel will release claims against all
21 Released Parties as follows:

22 5.1 **Plaintiffs' Release.** Plaintiffs discharge Released Parties from all claims,
23 transactions, or occurrences, that occurred during the Class Period, including all claims that were,
24 or reasonably could have been, alleged, based on the facts contained in the Actions; and claims
25 under the Fair Employment and Housing Act, Americans with Disabilities Act, Title VII of the
26 Civil Rights Act of 1964, the California Labor Code, and all equivalent claims under federal law
27 ("Plaintiffs' Release"). Plaintiffs' Release does not extend to any claims or actions to enforce this
28 Agreement, or to any claims for vested benefits, unemployment benefits, disability benefits,

1 social security benefits, workers' compensation benefits that arose at any time, or based on
2 occurrences outside the Class Period. Plaintiffs acknowledge that Plaintiffs may discover facts or
3 law different from, or in addition to, the facts or law that Plaintiffs now know or believe to be true
4 but agree, nonetheless, that Plaintiffs' Release shall be and remain effective in all respects,
5 notwithstanding such different or additional facts or Plaintiff's discovery of them.

6 5.1.1 Plaintiffs' Waiver of Rights Under California Civil Code Section 1542. For
7 purposes of Plaintiffs' Release, Plaintiffs expressly waive and relinquish the provisions, rights,
8 and benefits, if any, of section 1542 of the California Civil Code, which reads:

9 **A general release does not extend to claims that the creditor or releasing party**
10 **does not know or suspect to exist in his or her favor at the time of executing**
11 **the release, and that if known by him or her would have materially affected**
12 **his or her settlement with the debtor or Released Party.**

13 5.2 Released Class Claims: All Participating Class Members will release all claims, rights,
14 demands, liabilities, and causes of action, alleged or which could have reasonably been alleged
15 based on the facts alleged in the Operative Class Complaint, including but not limited to: (1)
16 failure to pay minimum and straight time wages (pursuant to Cal. Labor Code §§ 204, 1194,
17 1194.2, and 1197); (2) failure to pay overtime wages (pursuant to Cal. Labor Code §§ 1194 and
18 1198); (3) failure to provide meal periods (pursuant to Cal. Labor Code §§ 226.7 and 512); (4)
19 failure to authorize and permit rest periods (pursuant to Cal. Labor Code §§ 226.7); (5) failure to
20 timely pay final wages at termination (pursuant to Cal. Labor Code §§ 201-203); (6) failure to
21 provide accurate itemized wage statements (pursuant to Cal. Labor Code §§ 226); (7) failure to
22 indemnify employees for expenditures (pursuant to Cal. Labor Code §§ 2802); (8) failure to
23 produce requested employment records (pursuant to Cal. Labor Code §§ 226 and 1198.5); and (9)
24 unfair business practices (pursuant to Cal. Bus. & Prof. Code §§ 17200, *et seq.*). The enumeration
25 of these specific claims shall neither enlarge nor narrow the scope of *res judicata* based on the
26 claims that were asserted in the Actions or could have been asserted in the Actions based on the
27 facts and circumstances alleged in the Operative Class Complaint. The Released Class Claims are
28 those that accrued during the Class Period.

1 5.3 Released PAGA Claims: Plaintiffs and the LWDA will release all claims for PAGA civil
2 penalties that are alleged or reasonably could have been alleged based on the facts alleged in the
3 Operative PAGA Complaint and in Plaintiffs' January 16, 2024 PAGA Notice, including but not
4 limited to: (1) failure to pay minimum, straight time, and overtime wages (pursuant to Cal. Labor
5 Code §§ 204, 510, 1194, 1197 and 1197.1); (2) failure to provide meal periods (pursuant to Cal.
6 Labor Code § 226.7); (3) failure to authorize and permit rest periods (pursuant to Cal. Labor Code
7 § 226.7); (4) failure to pay all earned wages two times per month (pursuant to Cal. Labor Code §
8 204); (5) failure to maintain accurate records of hours worked and meal periods taken or missed
9 (pursuant to Cal. Labor Code § 1174.5); (6) failure to timely pay final wages at termination
10 (pursuant to Cal. Labor Code §§ 201 – 203); (7) failure to provide accurate itemized wage
11 statements (pursuant to Cal. Labor Code § 226); (8) failure to indemnify employees for
12 expenditures (pursuant to Cal. Labor Code § 2802); (9) failure to produce requested employment
13 records (pursuant to Cal. Labor Code §§ 226, 432, and 1198.5); (10) failure to maintain accurate
14 records of hours worked and meal periods (pursuant to Cal. Labor Code § 1174); (11) failure to
15 provide proper sick leave and supplemental paid sick leave (pursuant to Cal. Labor Code § 245 –
16 248.5); (12) refusal to pay wages due and payable and/or denial of the validity of any claim to
17 wages due (pursuant to Cal. Labor Code § 216); (13) secretly paying wages lower than required
18 by statute while purporting to pay legal wages (pursuant to Cal. Labor Code §223); (14) failure
19 to pay vested vacation and/or paid time off (pursuant to Cal. Labor Code § 227.3); (15) failure to
20 provide suitable seating (pursuant to section 14(A-B) of all applicable IWC Wage Orders); (16)
21 unlawful agreements (pursuant to Cal. Labor Code § 432.5); (17) failure to timely provide
22 temporary workers with wages (pursuant to Cal. Labor Code § 201.3); (18) preventing employees
23 from using or disclosing the skills, knowledge and experience they obtained, and whistleblower
24 violations (pursuant to Cal. Labor Code §§ 98.6, 232, 232.5, 1102.5, and 1197.5); (19) unlawful
25 inquiries at times into criminal histories (pursuant to Cal. Labor Code § 432.7); and (20)
26 workplace health and safety violations (pursuant to Cal. Labor Code §§ 1526, 3366, 3457, 8397.4,
27 and 6401). The Released PAGA Claims are those that accrued during the PAGA Period.

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1 6. **MOTION FOR PRELIMINARY APPROVAL**. Plaintiffs will prepare and file a motion
2 for preliminary approval (“Motion for Preliminary Approval”).

3 6.1 **Defendants’ Declaration in Support of Preliminary Approval**. Within ten (10) days of
4 the full execution of this Agreement, Defendants will prepare and deliver to Class Counsel a
5 signed Declaration from Defendants and Defense Counsel disclosing all facts relevant to any
6 actual or potential conflicts of interest with the Administrator. In their Declaration, Defense
7 Counsel and Defendants shall aver that they are not aware of any other pending matter or action
8 asserting claims that will be extinguished or adversely affected by the Settlement.

9 6.2 **Plaintiffs’ Responsibilities**. Plaintiffs will prepare all documents necessary for obtaining
10 Preliminary Approval, including: (i) a draft of the notice, and memorandum in support, of the
11 Motion for Preliminary Approval that includes an analysis of the Settlement under *Dunk/Kullar*
12 and a request for approval of the PAGA Settlement under Labor Code Section 2699, subd. (f)(2));
13 (ii) a draft proposed Order Granting Preliminary Approval and Approval of PAGA Settlement;
14 (iii) a draft proposed Class Notice; (iv) a signed declaration from Plaintiffs confirming willingness
15 and competency to serve and disclosing all facts relevant to any actual or potential conflicts of
16 interest with Class Members, and/or the Administrator; (v) a signed declaration from Class
17 Counsel firm attesting to its competency to represent the Class Members; its timely transmission
18 to the LWDA of all necessary PAGA documents (initial notice of violations (Labor Code section
19 2699.3, subd. (a)), Operative Complaint (Labor Code section 2699, subd. (l)(1)), this Agreement
20 (Labor Code section 2699, subd. (l)(2)); and (vi) all facts relevant to any actual or potential
21 conflict of interest with Class Members, and/or the Administrator. In their Declarations, Plaintiffs
22 and Class Counsel shall aver that they are not aware of any other pending matter or action
23 asserting claims that will be extinguished or adversely affected by the Settlement.

24 6.3 **Responsibilities of Counsel**. Class Counsel and Defense Counsel are jointly responsible
25 for expeditiously finalizing the Motion for Preliminary Approval. Class Counsel will obtain a
26 prompt hearing date for the Motion for Preliminary Approval, file the Motion for Preliminary
27 Approval no later than sixteen (16) court days before the hearing, unless otherwise ordered by the
28 Court, and deliver the Court’s Preliminary Approval Order to the Administrator.

1 6.4 Duty to Cooperate. If the Parties disagree on any aspect of the proposed Motion for
2 Preliminary Approval and/or the supporting declarations and documents, Class Counsel and
3 Defense Counsel will expeditiously work together on behalf of the Parties by meeting in person
4 or by telephone, and in good faith, to resolve the disagreement. If the Court does not grant
5 Preliminary Approval or conditions Preliminary Approval on any material change to this
6 Agreement, Class Counsel and Defense Counsel will expeditiously work together on behalf of
7 the Parties by meeting in person or by telephone, and in good faith, to modify the Agreement and
8 otherwise satisfy the Court's concerns.

9 **7. SETTLEMENT ADMINISTRATION.**

10 7.1 Selection of Administrator. The Parties have jointly selected Apex Class Action
11 Administration to serve as the Administrator and verified that, as a condition of appointment, the
12 Administrator agrees to be bound by this Agreement and to perform, as a fiduciary, all duties
13 specified in this Agreement in exchange for payment of Administration Costs. The Parties and
14 their Counsel represent that they have no interest or relationship, financial or otherwise, with the
15 Administrator other than a professional relationship arising out of prior experiences administering
16 settlements.

17 7.2 Employer Identification Number. The Administrator shall have and use its own
18 Employer Identification Number for purposes of calculating payroll tax withholdings and
19 providing reports to state and federal tax authorities.

20 7.3 Qualified Settlement Fund. The Administrator shall establish a settlement fund that
21 meets the requirements of a Qualified Settlement Fund ("QSF") under US Treasury Regulation
22 section 468B-1 for the funding of the GSA. Any interest that accrues on the GSA sums paid into
23 the QSF prior to distribution by the Administrator will become part of the NSA for distribution
24 to Participating Class Members.

25 7.4 Notice to Class Members.

26 7.4.1 No later than five (5) calendar days after receipt of the Class Data, the Administrator
27 shall notify Class Counsel that the list has been received and state the number of Class Members,
28 Aggrieved Employees, Workweeks, and Pay Periods in the Class Data.

1 7.4.2 Using best efforts to perform as soon as possible, and in no event later than 14
2 (fourteen) days after receiving the Class Data, the Administrator will send to all Class Members
3 identified in the Class Data, via first-class United States Postal Service (“USPS”) mail, the Class
4 Notice with Spanish translation substantially in the form attached to this Agreement as Exhibit
5 A. The first page of the Class Notice shall prominently estimate the dollar amounts of any
6 Individual Class Payment and/or Individual PAGA Payment payable to the Class Member, and
7 the number of Workweeks and PAGA Pay Periods used to calculate these amounts. Before
8 mailing Class Notices, the Administrator shall update Class Member addresses using the National
9 Change of Address database.

10 7.4.3 Not later than five (5) calendar days after the Administrator’s receipt of any Class
11 Notice returned by the USPS as undelivered, the Administrator shall re-mail the Class Notice
12 using any forwarding address provided by the USPS. If the USPS does not provide a forwarding
13 address, the Administrator shall conduct a Class Member Address Search, and re-mail the Class
14 Notice to the most current address obtained. The Administrator has no obligation to make further
15 attempts to locate or send Class Notice to Class Members whose Class Notice is returned by the
16 USPS a second time.

17 7.4.4 The deadlines for Class Members’ written objections, challenges to Workweeks
18 and/or Pay Periods, and Requests for Exclusion will be extended an additional fourteen days (14)
19 days beyond the sixty (60) days otherwise provided in the Class Notice for all Class Members
20 whose notice is re-mailed. The Administrator will inform the Class Member of the extended
21 deadline with the re-mailed Class Notice.

22 7.4.5 If the Administrator, Defendant, or Class Counsel is contacted by or otherwise
23 discovers any persons who believe they should have been included in the Class Data and should
24 have received Class Notice, the Parties will expeditiously meet and confer in person or by
25 telephone, and in good faith in an effort to agree on whether to include them as Class Members.
26 If the Parties agree, such persons will be Class Members entitled to the same rights as other Class
27 Members, and the Administrator will send, via email or overnight delivery, a Class Notice
28 requiring them to exercise options under this Agreement not later than fourteen (14) days after

1 receipt of Class Notice, or the deadline dates in the Class Notice, whichever are later.

2 7.5 Requests for Exclusion (Opt-Outs).

3 7.5.1 Class Members who wish to exclude themselves (opt-out of) the Class Settlement
4 must send the Administrator, by fax, email, or mail, a signed written Request for Exclusion not
5 later than sixty (60) days after the Administrator mails the Class Notice (plus an additional
6 fourteen (14) days for Class Members whose Class Notice is re-mailed). A Request for Exclusion
7 is a letter from a Class Member or his/her representative that reasonably communicates the Class
8 Member's election to be excluded from the Settlement and includes the Class Member's name,
9 address and email address or telephone number. To be valid, a Request for Exclusion must be
10 timely faxed, emailed, or postmarked by the Response Deadline.

11 7.5.2 The Administrator may not reject a Request for Exclusion as invalid because it fails
12 to contain all the information specified in the Class Notice. The Administrator shall accept any
13 Request for Exclusion as valid if the Administrator can reasonably ascertain the identity of the
14 person as a Class Member and the Class Member's desire to be excluded. The Administrator's
15 determination shall be final and not appealable or otherwise susceptible to challenge. If the
16 Administrator has reason to question the authenticity of a Request for Exclusion, the
17 Administrator may demand additional proof of the Class Member's identity. The Administrator's
18 determination of authenticity shall be final and not appealable or otherwise susceptible to
19 challenge.

20 7.5.3 Every Class Member who does not submit a timely and valid Request for Exclusion
21 is deemed to be a Participating Class Member under this Agreement, entitled to all benefits and
22 bound by all terms and conditions of the Settlement, including the Participating Class Members'
23 Releases under paragraphs 5.2 and 5.3 of this Agreement, regardless of whether the Participating
24 Class Member actually receives the Class Notice or objects to the Settlement.

25 7.5.4 Every Class Member who submits a valid and timely Request for Exclusion is a
26 Non-Participating Class Member and shall not receive an Individual Class Payment or have the
27 right to object to the class action components of the Settlement. Because future PAGA claims are
28 subject to claim preclusion upon entry of the Judgment, Non-Participating Class Members who

1 are Aggrieved Employees are deemed to release the Released PAGA Claims identified in
2 Paragraph 5.3 of this Agreement and are eligible for an Individual PAGA Payment.

3 7.6 Challenges to Calculation of Workweeks. Each Class Member shall have sixty (60) days
4 after the Administrator mails the Class Notice (plus an additional fourteen (14) days for Class
5 Members whose Class Notice is re-mailed) to challenge the number of Class Workweeks and
6 PAGA Pay Periods allocated to the Class Member in the Class Notice. The Class Member may
7 challenge the allocation by communicating with the Administrator via fax, email or mail. The
8 Administrator must encourage the challenging Class Member to submit supporting
9 documentation. In the absence of any contrary documentation, the Administrator is entitled to
10 presume that the Workweeks contained in the Class Notice are correct so long as they are
11 consistent with the Class Data. The Administrator's determination of each Class Member's
12 allocation of Workweeks and/or Pay Periods shall be final and not appealable or otherwise
13 susceptible to challenge. The Administrator shall promptly provide copies of all challenges to the
14 calculation of Workweeks and/or Pay Periods to Defense Counsel and Class Counsel and the
15 Administrator's determination of the challenges.

16 7.7 Objections to Settlement.

17 7.7.1 Only Participating Class Members may object to the class action components of the
18 Settlement and/or this Agreement, including contesting the fairness of the Settlement, and/or
19 amounts requested for the Class Counsel Fees Payment, Class Counsel Litigation Expenses
20 Payment and/or Class Representative Service Payment.

21 7.7.2 Participating Class Members may send written objections to the Administrator, by
22 fax, email, or mail. In the alternative, Participating Class Members may appear in Court (or hire
23 an attorney to appear in Court) to present verbal objections at the Final Approval Hearing. A
24 Participating Class Member who elects to send a written objection to the Administrator must do
25 so not later than sixty (60) days after the Administrator's mailing of the Class Notice (plus an
26 additional fourteen (14) days for Class Members whose Class Notice was re-mailed).

27 7.7.3 Non-Participating Class Members have no right to object to any of the class action
28 components of the Settlement.

1 7.8 Administrator Duties. The Administrator has a duty to perform or observe all tasks to be
2 performed or observed by the Administrator contained in this Agreement or otherwise.

3 7.8.1 Website, Email Address and Toll-Free Number. The Administrator will establish,
4 maintain and use an internet website to post information of interest to Class Members including
5 the date, time and location for the Final Approval Hearing and copies of the Settlement
6 Agreement; Motion for Preliminary Approval; Preliminary Approval Order; Class Notice;
7 Motion for Final Approval; Motion for Class Counsel Fees Payment, Class Counsel Litigation
8 Expenses Payment and Class Representative Service Payment; the Final Approval Order; and the
9 Judgment. The Administrator will also maintain and monitor an email address and a toll-free
10 telephone number to receive Class Member calls, faxes and emails.

11 7.8.2 Requests for Exclusion (Opt-outs) and Exclusion List. The Administrator will
12 promptly review on a rolling basis Requests for Exclusion to ascertain their validity. Not later
13 than five (5) days after the expiration of the deadline for submitting Requests for Exclusion, the
14 Administrator shall email a list to Class Counsel and Defense Counsel containing (a) the names
15 and other identifying information of Class Members who have timely submitted valid Requests
16 for Exclusion (“Exclusion List”); (b) the names and other identifying information of Class
17 Members who have submitted invalid Requests for Exclusion; (c) copies of all Requests for
18 Exclusion from Settlement submitted (whether valid or invalid).

19 7.8.3 Weekly Reports. The Administrator must, on a weekly basis, provide written reports
20 to Class Counsel and Defense Counsel that, among other things, tally the number of: Class
21 Notices mailed or re-mailed, Class Notices returned undelivered, Requests for Exclusion (whether
22 valid or invalid) received, objections received, challenges to Workweeks and/or Pay Periods
23 received and/or resolved, and checks mailed for Individual Class Payments and Individual PAGA
24 Payments (“Weekly Report”). The Weekly Reports must include the Administrator’s assessment
25 of the validity of Requests for Exclusion and attach copies of all Requests for Exclusion and
26 objections received.

27 7.8.4 Workweek and/or Pay Period Challenges. The Administrator has the authority to
28 address and make final decisions consistent with the terms of this Agreement on all Class Member

1 challenges over the calculation of Workweeks and/or Pay Periods. The Administrator's decision
2 shall be final and not appealable or otherwise susceptible to challenge.

3 7.8.5 Administrator's Declaration. Not later than fourteen (14) days before the date by
4 which Plaintiffs are required to file the Motion for Final Approval of the Settlement, the
5 Administrator will provide to Class Counsel and Defense Counsel, a signed declaration suitable
6 for filing in Court attesting to its due diligence and compliance with all of its obligations under
7 this Agreement, including, but not limited to, its mailing of Class Notice, the Class Notices
8 returned as undelivered, the re-mailing of Class Notices, attempts to locate Class Members, the
9 total number of Requests for Exclusion from Settlement it received (both valid or invalid), the
10 number of written objections and attach the Exclusion List. The Administrator will supplement
11 its declaration as needed or requested by the Parties and/or the Court. Class Counsel is responsible
12 for filing the Administrator's declaration(s) in Court.

13 7.8.6 Final Report by Settlement Administrator. Within ten (10) days after the
14 Administrator disburses all funds in the Gross Settlement Amount, the Administrator will provide
15 Class Counsel and Defense Counsel with a final report detailing its disbursements by employee
16 identification number only of all payments made under this Agreement. At least fifteen (15) days
17 before any deadline set by the Court, the Administrator will prepare, and submit to Class Counsel
18 and Defense Counsel, a signed declaration suitable for filing in Court attesting to its disbursement
19 of all payments required under this Agreement. Class Counsel is responsible for filing the
20 Administrator's declaration in Court.

21 8. **CLASS SIZE ESTIMATES and ESCALATOR CLAUSE**. Based on its records,
22 Defendant represents there are 275 Class Members who collectively worked a total of 10,328
23 Workweeks during the Class Period, and 96 Aggrieved Employees who worked a total of 1,450
24 PAGA Pay during the PAGA Period. If, on final calculation, the total number of Workweeks is
25 greater than 10% higher than 10,328 Workweeks (i.e. greater than 11,361 Workweeks), then the
26 Gross Settlement Amount will be proportionally increased by the Workweeks worked in excess
27 of 11,361 by multiplying the excess Workweeks by the per Workweek value. If this provision is
28 triggered so as to increase the Gross Settlement Amount, Defendants will have the option to either

1 pay a proportional amount in addition to the Gross Settlement Amount for each additional such
2 unique workweeks that exceeds 10% above 10,328, or to shorten the Class Period end date at the
3 point at which the number of unique workweeks is no more than 10% above the 10,328 unique
4 workweeks (or 11,361 workweeks). The Parties agree that if Defendants elect to pay a
5 proportional amount in addition to the Gross Settlement Amount, the portion of the Gross
6 Settlement Amount allocated to attorneys' fees will increase proportionally such that the total
7 amount of attorneys' fees remains one-third of the Gross Settlement Amount after the upward
8 adjustment required by this provision is implemented.

9 **9. DEFENDANTS' RIGHT TO WITHDRAW.** If the number of valid Requests for Exclusion
10 identified in the Exclusion List exceeds 10% of the total of all Class Members, Defendants may,
11 but are not obligated, elect to withdraw from the Settlement. The Parties agree that, if Defendants
12 withdraw, the Settlement shall be void ab initio, have no force or effect whatsoever, and that
13 neither Party will have any further obligation to perform under this Agreement; provided,
14 however, Defendants will remain responsible for paying all Settlement Administration Expenses
15 incurred to that point. Defendants must notify Class Counsel and the Court of its election to
16 withdraw not later than seven days after the Administrator sends the final Exclusion List to
17 Defense Counsel; late elections will have no effect.

18 **10. MOTION FOR FINAL APPROVAL.** Not later than sixteen (16) court days before the
19 calendared Final Approval Hearing, unless otherwise scheduled by the Court, Plaintiffs will file
20 in Court, a Motion for Final Approval of the Settlement that includes a request for approval of
21 the PAGA settlement under Labor Code section 2699, subd. (1); a Proposed Final Approval Order;
22 and a proposed Judgment (collectively "Motion for Final Approval"). Plaintiffs shall provide
23 drafts of these documents to Defense Counsel prior to filing the Motion for Final Approval. Class
24 Counsel and Defense Counsel will expeditiously meet and confer in person or by telephone, and
25 in good faith, to resolve any disagreements concerning the Motion for Final Approval.

26 **10.1 Response to Objections.** Each Party retains the right to respond to any objection raised
27 by a Participating Class Member, including the right to file responsive documents in Court no
28 later than five (5) court days prior to the Final Approval Hearing, or as otherwise ordered or
accepted by the Court.

1 10.2 Duty to Cooperate. If the Court does not grant Final Approval or conditions Final
2 Approval on any material change to the Settlement (including, but not limited to, the scope of
3 release to be granted by Class Members), the Parties will expeditiously work together in good
4 faith to address the Court's concerns by revising the Agreement as necessary to obtain Final
5 Approval. The Court's decision to award less than the amounts requested for the Class
6 Representative Service Payments, Class Counsel Fees Payment, Class Counsel Litigation
7 Expenses Payment, and/or Administrator Costs Payment shall not constitute a material
8 modification to the Agreement within the meaning of this paragraph.

9 10.3 Continuing Jurisdiction of the Court. The Parties agree that, after entry of Judgment, the
10 Court will retain jurisdiction over the Parties, the Actions, and the Settlement solely for purposes
11 of (i) enforcing this Agreement and/or Judgment, (ii) addressing settlement administration
12 matters, and (iii) addressing such post-Judgment matters as are permitted by law.

13 10.4 Waiver of Right to Appeal. Provided the Judgment is consistent with the terms and
14 conditions of this Agreement, specifically including the Class Counsel Fees Payment and Class
15 Counsel Litigation Expenses Payment as set forth in this Settlement, the Parties, their respective
16 counsel, and all Participating Class Members who did not object to the Settlement as provided in
17 this Agreement, waive all rights to appeal from the Judgment, including all rights to post-
18 judgment and appellate proceedings, the right to file motions to vacate judgment, motions for new
19 trial, extraordinary writs, and appeals. The waiver of appeal does not include any waiver of the
20 right to oppose such motions, writs or appeals. If an objector appeals the Judgment, the Parties'
21 obligations to perform under this Agreement will be suspended until such time as the appeal is
22 finally resolved and the Judgment becomes final, except as to matters that do not affect the amount
23 of the Net Settlement Amount.

24 10.5 Appellate Court Orders to Vacate, Reverse, or Materially Modify Judgment. If the
25 reviewing Court vacates, reverses, or modifies the Judgment in a manner that requires a material
26 modification of this Agreement (including, but not limited to, the scope of release to be granted
27 by Class Members), this Agreement shall be null and void. The Parties shall nevertheless
28 expeditiously work together in good faith to address the appellate court's concerns and to obtain

1 Final Approval and Entry of Judgment, sharing, on a 50-50 basis, any additional Administration
2 Costs reasonably incurred after remittitur. An appellate decision to vacate, reverse, or modify the
3 Court's award of the Class Representative Service Payment or any payments to Class Counsel
4 shall not constitute a material modification of the Judgment within the meaning of this paragraph,
5 as long as the Gross Settlement Amount remains unchanged.

6 11. **AMENDED JUDGMENT.** If any amended judgment is required under Code of Civil
7 Procedure §384, the Parties will work together in good faith to jointly submit a proposed amended
8 judgment.

9 12. **ADDITIONAL PROVISIONS.**

10 12.1 No Admission of Liability, Class Certification or Representative Manageability for
11 Other Purposes. This Agreement represents a compromise and settlement of highly disputed
12 claims. Nothing in this Agreement is intended or should be construed as an admission by
13 Defendants that any of the allegations in the Actions have merit or that Defendants have any
14 liability for any claims asserted; nor should it be intended or construed as an admission by
15 Plaintiffs that Defendants' defenses in the Actions have merit. The Parties agree that class
16 certification and representative treatment is for purposes of this Settlement only. If, for any
17 reason, the Court does grant Preliminary Approval, Final Approval, or enter Judgment,
18 Defendants reserve the right to contest certification of any class for any reason, Defendants
19 reserve all available defenses to the claims in the Actions, and Plaintiffs reserve the right to move
20 for class certification on any grounds available and to contest Defendants' defenses. The
21 Settlement, this Agreement and Parties' willingness to settle the Actions will have no bearing on,
22 and will not be admissible in connection with, any litigation (except for proceedings to enforce
23 or effectuate the Settlement and this Agreement).

24 12.2 Confidentiality Prior to Preliminary Approval. Plaintiffs, Class Counsel, Defendants,
25 and Defense Counsel separately agree that, until the Motion for Preliminary Approval of
26 Settlement is filed, they and each of them will not disclose, disseminate and/or publicize, or cause
27 or permit another person to disclose, disseminate or publicize, any of the terms of the Agreement
28 directly or indirectly, specifically or generally, to any person, corporation, association,

1 government agency, or other entity except: (1) to the Parties' attorneys, accountants, or spouses,
2 all of whom will be instructed to keep this Agreement confidential; (2) counsel in a related matter;
3 (3) to the extent necessary to report income to appropriate taxing authorities; (4) in response to a
4 court order or subpoena; or (5) in response to an inquiry or subpoena issued by a state or federal
5 government agency. Each Party agrees to immediately notify the other Party of any judicial or
6 agency order, inquiry, or subpoena seeking such information. Plaintiffs, Class Counsel,
7 Defendants, and Defense Counsel separately agree not to, directly or indirectly, initiate any
8 conversation or other communication, before the filing of the Motion for Preliminary Approval,
9 with any third party regarding this Agreement or the matters giving rise to this Agreement except
10 to respond only that "the matter was resolved," or words to that effect. This paragraph does not
11 restrict Class Counsel's communications with Class Members in accordance with Class Counsel's
12 ethical obligations owed to Class Members.

13 12.3 No Solicitation. The Parties separately agree that they and their respective counsel and
14 employees will not solicit any Class Member to opt out of or object to the Settlement, or appeal
15 from the Judgment. Nothing in this paragraph shall be construed to restrict the Parties or their
16 respective counsel's ability to communicate with Class Members for purposes of informing them
17 about this Settlement and its procedures.

18 12.4 Integrated Agreement. Upon execution by all Parties and their counsel, this Agreement
19 together with its attached exhibits shall constitute the entire agreement between the Parties
20 relating to the Settlement, superseding any and all oral representations, warranties, covenants, or
21 inducements made to or by any Party.

22 12.5 Attorney Authorization. Class Counsel and Defense Counsel separately warrant and
23 represent that they are authorized by Plaintiffs and Defendants, respectively, to take all
24 appropriate action required or permitted to be taken by such Parties pursuant to this Agreement
25 to effectuate its terms, and to execute any other documents reasonably required to effectuate the
26 terms of this Agreement including any amendments to this Agreement.

27 12.6 Cooperation. The Parties and their counsel will cooperate with each other and use their
28 best efforts, in good faith, to implement the Settlement by, among other things, modifying the

1 Settlement Agreement, submitting supplemental evidence and supplementing points and
2 authorities as requested by the Court. In the event the Parties are unable to agree upon the form
3 or content of any document necessary to implement the Settlement, or on any modification of the
4 Agreement that may become necessary to implement the Settlement, the Parties will seek the
5 assistance of a mediator and/or the Court for resolution.

6 12.7 No Prior Assignments. The Parties separately represent and warrant that they have not
7 directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or
8 encumber to any person or entity and portion of any liability, claim, demand, action, cause of
9 action, or right released and discharged by the Party in this Settlement.

10 12.8 No Tax Advice. Neither Plaintiffs, Class Counsel, Defendants nor Defense Counsel are
11 providing any advice regarding taxes or taxability, nor shall anything in this Settlement be relied
12 upon as such within the meaning of United States Treasury Department Circular 230 (31 CFR
13 Part 10, as amended) or otherwise.

14 12.9 Modification of Agreement. This Agreement, and all parts of it, may be amended,
15 modified, changed, or waived only by an express written instrument signed by all Parties or their
16 representatives, and approved by the Court.

17 12.10 Agreement Binding on Successors. This Agreement will be binding upon, and inure
18 to the benefit of, the successors of each of the Parties.

19 12.11 Applicable Law. All terms and conditions of this Agreement and its exhibits will be
20 governed by and interpreted according to the internal laws of the state of California, without
21 regard to conflict of law principles.

22 12.12 Cooperation in Drafting. The Parties have cooperated in the drafting and preparation
23 of this Agreement. This Agreement will not be construed against any Party on the basis that the
24 Party was the drafter or participated in the drafting.

25 12.13 Confidentiality. To the extent permitted by law, all agreements made, and orders
26 entered during Actions and in this Agreement relating to the confidentiality of information shall
27 survive the execution of this Agreement.

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1 12.14 Use and Return of Class Data. Information provided to Class Counsel pursuant to
2 Evidence Code section 1152, and all copies and summaries of the Class Data provided to Class
3 Counsel by Defendants in connection with the mediation, other settlement negotiations, or in
4 connection with the Settlement, may be used only with respect to this Settlement, and no other
5 purpose, and may not be used in any way that violates any existing contractual agreement, statute
6 or California Rules of Court rule. Not later than 90 days after the Effective Date, Class Counsel
7 shall destroy all paper and electronic versions of Class Data received from Defendants unless,
8 prior to the Effective Date, Defendants make a written request to Class Counsel for the return,
9 rather than the destruction, of Class Data

10 12.15 Headings. The descriptive heading of any section or paragraph of this Agreement is
11 inserted for convenience of reference only and does not constitute a part of this Agreement.

12 12.16 Calendar Days. Unless otherwise noted, all reference to “days” in this Agreement
13 shall be to calendar days. In the event any date or deadline set forth in this Agreement falls on a
14 weekend or federal legal holiday, such date or deadline shall be on the first business day
15 thereafter.

16 12.17 Notice. All notices, demands, or other communications between the Parties in
17 connection with this Agreement will be in writing and deemed to have been duly given as of the
18 third business day after mailing by United States mail, or the day sent by email or messenger,
19 addressed as follows:

20
21 To Plaintiffs:

22 John G. Yslas
23 john.yslas@wilshirelawfirm.com
24 Diego Aviles
25 diego.aviles@wilshirelawfirm.com
26 Harry Erganyan
27 harry.erganyan@wilshirelawfirm.com
28 Mariam Nazaretyan
mariam.nazaretyan@wilshirelawfirm.com
WILSHIRE LAW FIRM
660 S. Figueroa St., Sky Lobby
Los Angeles, California 9001
Telephone: (213) 381-9988
Facsimile: (213) 381-9989

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To Defendants:

Ian B. Wieland
ian@sw2law.com
Daniella M. Crisanti
daniella@sw2law.com
SAGASER, WATKINS & WIELAND PC
5260 North Palm Avenue, Suite 400
Fresno, California 93704
Telephone: (559) 421-7000
Facsimile: (559) 473-1483

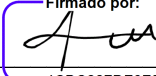
12.18 Execution in Counterparts. This Agreement may be executed in one or more counterparts by facsimile, electronically (i.e. DocuSign), or by email which for purposes of this Agreement shall be accepted as an original. All executed counterparts and each of them will be deemed to be one and the same instrument if counsel for the Parties will exchange between themselves signed counterparts. Any executed counterpart will be admissible in evidence to prove the existence and contents of this Agreement.

12.19 Stay of Litigation. The Parties agree that upon the execution of this Agreement the litigation shall be stayed, except to effectuate the terms of this Agreement. The Parties further agree that upon the signing of this Agreement pursuant to CCP section 583.330 to extend the date to bring a case to trial under CCP section 583.310 for the entire period of this settlement process.

IT IS SO AGREED.

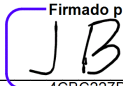
By the Parties:

DATED: 3/2/2026 _____

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Plaintiff Adrian Pacheco

DATED: 3/3/2026 _____

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Plaintiff Juan Bautista

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DATED: 3-8-2026

K.A. Vanderham Dairy

By: _____

Position: Partner

DATED: 3-8-2026

Dick Vanderham & Sons Dairy

By: _____

Position: Partner

[FURTHER SIGNATURES ON FOLLOWING PAGE]

Approved by counsel:

DATED:

WILSHIRE LAW FIRM

BY: _____

John G. Yslas
Diego Aviles

Counsel for Plaintiffs

DATED: 3/19/26

SAGASER, WATKINS & WIELAND

BY: _____

Ian B. Wieland
Daniella M. Crisanti

Counsel for Defendants K.A. Vanderham Dairy and
Dick Vanderham & Sons Dairy

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DATED: _____

K.A. Vanderham Dairy

By: _____

Position: _____

DATED: _____

Dick Vanderham & Sons Dairy

By: _____

Position: _____

[FURTHER SIGNATURES ON FOLLOWING PAGE]

Approved by counsel:

DATED: March 2, 2026

WILSHIRE LAW FIRM

BY:  _____

John G. Yslas
Diego Aviles

Counsel for Plaintiffs

DATED:

SAGASER, WATKINS & WIELAND

BY: _____

Ian B. Wieland
Daniella M. Crisanti

Counsel for Defendants K.A. Vanderham Dairy and
Dick Vanderham & Sons Dairy

EXHIBIT A

**COURT APPROVED NOTICE OF CLASS ACTION AND PAGA SETTLEMENT AND
HEARING DATE FOR FINAL COURT APPROVAL**

Pacheco, et al. v. K.A. Vanderham Dairy, et al.
Case No. VCU302174 (Tulare County Superior Court)

***The Tulare County Superior Court authorized this notice.
It is not junk mail, spam, an advertisement, or solicitation by a lawyer.
Please read it carefully! You are not being sued.***

You may be eligible to receive money from an employee class and a representative action lawsuit (“Action”) against K.A. Vanderham Dairy and Dick Vanderham & Sons Dairy (“Defendants”) for alleged wage and hour violations. The Actions were filed by former employees, Adrian Pacheco and Juan Bautista, and seek payment of back wages and other relief for a class of all persons currently or formerly employed by Defendants as hourly-paid, non-exempt employees in the State of California (“Class Members”) who worked for Defendants during the Class Period (September 26, 2019 through May 4, 2025); and (2) penalties under the California Private Attorney General Act (“PAGA”) for all Class Members who worked for Defendants during the PAGA Period (January 16, 2023 through May 4, 2025) (“Aggrieved Employees”)

The proposed Settlement has two main parts: (1) a Class settlement requiring Defendants to fund Individual Class Payments, and (2) a PAGA settlement requiring Defendants to fund Individual PAGA Payments and pay PAGA Penalties to the California Labor and Workforce Development Agency (“LWDA”).

Based on Defendants’ records, and the Parties’ current assumptions, **your Individual Class Payment is estimated to be \$ [REDACTED] (less withholding) and your Individual PAGA Payment is estimated to be \$ [REDACTED]**. The actual amount you may receive likely will be different and will depend on a number of factors. (If no amount is stated for your Individual PAGA Payment, then according to Defendants’ records you are not eligible for an Individual PAGA Payment under the Settlement because you didn’t work during the PAGA Period.)

The above estimates are based on Defendants’ records showing that **you worked [REDACTED] Workweeks during the Class Period and you worked [REDACTED] Pay Periods during the PAGA Period**. If you believe that you worked more during either period, you can submit a challenge by the deadline date.

The Court has already preliminarily approved the proposed Settlement and approved this Notice. The Court has not yet decided whether to grant final approval of the Settlement. Your legal rights are affected whether you act or not act. **READ THIS NOTICE CAREFULLY**. You will be deemed to have read and understood it. At the Final Approval Hearing, the Court will decide whether to finally approve the Settlement and how much of the Settlement will be paid to Plaintiffs and Plaintiffs’ attorneys (“Class Counsel”). The Court will also decide whether to enter a judgment that requires Defendants to make payments under the Settlement and requires Class Members and Aggrieved Employees to give up their rights to assert certain claims against Defendant.

YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT	
DO NOTHING	Receive money. Give up rights to sue Defendants for claims released in the Settlement.
EXCLUDE YOURSELF	Receive no money from the Class settlement. You will retain the right to pursue your own legal claims against Defendant. However, even if you exclude yourself from the Class settlement, you will still receive a portion of the PAGA settlement and be bound by it if you worked during the PAGA Period.
OBJECT	Write to the Court about why you object to the Settlement. If the Settlement receives Final Approval, you will receive money and give up rights to sue Defendants for claims released in the Settlement.
CHALLENGE YOUR NUMBER OF WORKWEEKS AND/OR PAY PERIODS	Challenge your number of Workweeks or Pay Periods listed in this Notice and provide supporting evidence. If you challenge your workweeks or pay periods, you will still be part of the Settlement and will give up rights to sue Defendants for claims released in the Settlement.

BASIC INFORMATION

1. WHY AM I RECEIVING THIS NOTICE?

Defendants’ records indicate that you worked for Defendants at some point(s) between September 26, 2019 through May 4, 2025, and are therefore a member of the Class for purposes of this Settlement.

You received this Notice because you have a right to know about a proposed Settlement of the Action, and about all of your options, before the Court decides whether to finally approve the Settlement. The Settlement will resolve all Class Members’ claims, which are described below, during the Class Period. The Settlement will also resolve claims for civil penalties brought under the California Private Attorneys’ General Act (“PAGA”). If you are a Class Member, you may also an “Aggrieved Employee” if you worked for Defendants during the “PAGA Period,” which is January 16, 2023 through May 4, 2025.

If the Court grants Final Approval to the Settlement, a settlement Administrator appointed by the Court will issue the payments provided for by the Settlement to Class Members. You are encouraged to always keep your address up to date with the Administrator (the Administrator’s contact information can be found in Section 12, below).

This Notice package explains the allegations and background regarding the lawsuit, the Settlement, your legal rights, what benefits are available, who is eligible for them, and how to receive those benefits.

The Court in charge of the Action is the Tulare County Superior Court, and the case is titled, *Pacheco, et al. v. K.A. Vanderham Dairy, et al.*, Case No. VCU302174. The persons who sued, Adrian Pacheco and Juan Bautista, are the Plaintiffs, and the companies sued, K.A. Vanderham Dairy and Dick Vanderham & Sons Dairy, are the Defendants.

QUESTIONS? CALL 1-800-355-0700 TOLL FREE

2. WHAT IS THE LAWSUIT ABOUT?

The Plaintiffs in the lawsuit alleges wage and hour violations against Defendants for: (1) Failure to Pay Minimum and Straight Time Wages; (2) Failure to Pay Overtime Wages; (3) Failure to Provide Meal Periods; (4) Failure to Authorize and Permit Rest Periods; (5) Failure to Timely Pay Final Wages at Termination; (6) Failure to Provide Accurate Itemized Wage Statements; (7) Failure to Indemnify Employees for Expenditures; (8) Failure to Produce Requested Employment Records; and (9) Unfair Business Practices. In addition, Plaintiffs are seeking to recover civil penalties pursuant to PAGA (“PAGA Penalties”) based on the alleged violations of the California Labor Code listed above. Defendants deny Plaintiffs’ claims and deny any wrongdoing.

3. WHY IS THIS A CLASS ACTION?

In an employment class action, one or more people called “Class Representatives” (in this case, the Plaintiffs) sue on behalf of all workers who they contend have similar claims. All of these workers are a Class or Class Members. Bringing one lawsuit, as opposed to many small ones, saves money, time and court resources. The court resolves the issues for all Class Members, except for those who exclude themselves from the Class.

4. WHY IS THERE A SETTLEMENT?

The Court has not decided in favor of the Plaintiffs or Defendants on the merits of the claims alleged in the lawsuit. Plaintiffs believe they would win at trial. Defendants think that Plaintiffs’ lawsuit would not proceed to a trial and/or that Plaintiffs would not win at trial. However, there has been no trial. Instead, in acknowledgement of the risk that both Parties face should the case proceed, the Parties have agreed to a negotiated settlement. This way, all Parties avoid the cost of preparing for and conducting a trial, the risk of losing the right to a trial, and the workers affected by the alleged violations receive compensation. The Settlement represents a compromise and settlement of highly disputed claims. The Plaintiffs, as well as Plaintiffs’ lawyers (called “Class Counsel”), believes the Settlement is fair and reasonable and in the best interests of all Class Members.

WHO IS INCLUDED IN THE SETTLEMENT?

5. WHO IS INCLUDED IN THE SETTLEMENT?

If you received this Notice, you are a Class Member for settlement purposes. The Class includes: All employees of Defendants who were classified as non-exempt and worked within the State of California at any time from September 26, 2019 through May 4, 2025.

6. ARE THERE EXCEPTIONS TO BEING INCLUDED?

You are not a Class Member if you already have resolved the claims asserted in this lawsuit, whether by settlement or a separate legal proceeding (i.e., another lawsuit).

THE SETTLEMENT BENEFITS—WHAT YOU GET

7. WHAT DOES THE SETTLEMENT PROVIDE?

Defendants have agreed to pay a Gross Settlement Amount (“GSA”) of \$320,168.00 (Three Hundred Thirty Thousand Dollars and Zero Cents) to settle the lawsuit. From the GSA, Class Counsel will apply to the Court for attorneys’ fees of up to one third (1/3) of the GSA (currently \$106,722.66) and up to \$16,000.00 in costs; a Class Representative Service Payment of \$7,500.00 to Plaintiffs (for Plaintiffs’ work and efforts prosecuting this case); a PAGA Penalties payment of \$20,000.00 to resolve the PAGA claims; and Settlement Administration Costs to Apex Class Action Administration, not to exceed \$7,690.00. The exact amount of the Class Counsel’s Fees and Litigation Expenses, Class Representative Service Payment, and Administration Costs will be determined by the Court at the Final Approval hearing. The remaining portion of the Settlement amount, the “Net Settlement Amount” or the “NSA,” is currently estimated to be approximately **\$XXXXXXXX**. The NSA will be apportioned and paid out as Individual Class Payments to the Settlement Class Members, who are the Class Members that do not request to be excluded (“opt out”) of the Settlement.

PAGA Penalties payment: As part of the PAGA portion of the Settlement, the Parties will ask the Court to approve a \$20,000.00 PAGA Penalties payment in settlement of claims for civil penalties under PAGA. As required under PAGA, 75% of the PAGA Penalties payment, or \$15,000.00, will be paid to the California Labor and Workforce Development Agency. The remaining 25% of the PAGA Penalties payment, or \$5,000.00, will be distributed to the Aggrieved Employees as Individual PAGA Payments.

8. HOW MUCH WILL MY PAYMENT BE?

An approximation of your Individual Class Payment appears on the first page of this Notice. If you are also an Aggrieved Employee, an approximation of your Individual PAGA Payment will also appear on the first page of this Notice.

Individual Class Payment: Your Individual Class Payment is based on the number Workweeks you worked, as represented in Defendants’ records, in comparison to the total number of Workweeks worked by all Class Members during the Class Period (September 26, 2019 through May 4, 2025). Ninety percent (90%) of each Class Member’s Individual Class Payment will be treated as a payment in settlement of the alleged claims for penalties and interest and will be reported on a Form 1099 by the Settlement Administrator, and five percent (5%) of each Class Member’s Individual Class Payment will be treated as a payment in settlement for reimbursement. The remaining five percent (5%) of each Class Member’s Individual Class Payment will be treated as a payment in settlement of alleged claims for unpaid wages. The 5% allocated as unpaid wages will be reduced by applicable payroll tax withholdings and deductions and reported on a Form W-2.

Individual PAGA Payment: If you worked for Defendant from January 16, 2023 through May 4, 2025 (“PAGA Period”), you are also an “Aggrieved Employee” and will receive an Individual PAGA Payment in addition to your Individual Class Payment. The Individual PAGA Payments are based on the number of PAGA Pay Periods worked by each Aggrieved Employee in comparison to the total amount of PAGA Pay Periods worked by all Aggrieved Employees during the PAGA Period. One hundred percent (100%) of each Aggrieved Employees’ Individual PAGA Payment will be characterized as penalties and will not be reduced by payroll tax withholdings and deductions. The Individual PAGA Payment will be reported

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on a Form 1099 by the Settlement Administrator. An approximation of your anticipated Individual PAGA Payment appears on the first page of this Notice.

For the Class Members who are also Aggrieved Employees, their Individual Class Payment will be combined with their Individual PAGA Payment, and they will receive a single check for the combined payments. If a Class Member chooses to opt-out of the Settlement, they will still receive an Individual PAGA Payment, as Aggrieved Employees cannot opt-out of the PAGA portion of the Settlement under California law.

HOW YOU GET A PAYMENT

9. HOW DO I RECEIVE A PAYMENT?

You do not need to do anything to receive a payment. However, if you believe that the number of Workweeks or PAGA Pay Periods you worked is incorrect, please correct it and provide any supporting evidence to the settlement Administrator, whose contact information is listed in Section 12 below.

10. WHEN WOULD I GET MY PAYMENT?

The Court will hold a Final Fairness Hearing on [REDACTED], to decide whether to approve the Settlement. If the Judge approves the Settlement, and anyone objects, there may be appeals. It is always uncertain when these objections and appeals can be resolved and resolving them can take time. If there is no objection, the Effective Date of the Settlement will be the date of entry of the Court's Order granting final approval.

Following the Effective Date, Individual Class Payments and Individual PAGA Payments will be mailed to Participating Class Members and Aggrieved Employees approximately 30 days after the Court's approval of the Settlement becomes final so long as there are no appeals.

Settlement checks should be cashed promptly upon receipt. Proceeds of checks which remain uncashed after 180 days from the date of issuance will be forwarded to the California Controller's Unclaimed Property Fund in the name of the Class Member thereby leaving no "unpaid residue" subject to the requirements of California Code of Civil Procedure Section 384, subd. (b). If your settlement check is lost or misplaced, you should contact the Settlement Administrator immediately to request a replacement.

For an update on the status of payments, please contact the Settlement Administrator (see Section 12).

11. WHAT AM I GIVING UP TO GET A PAYMENT?

If the Court approves this Settlement and unless you exclude yourself, you will become a Participating Class Member, and that means that you cannot sue, continue to sue, or be part of any other lawsuit against Defendants concerning the legal claims being resolved in this Settlement. Specifically, you will be giving up or "releasing" the Released Class Claims described below against Defendants and all of Defendants' subsidiaries, affiliates, shareholders, members, agents, predecessors, successors, owners, and assigns ("Released Parties"). The releases become effective once the GSA is fully funded by Defendant.

Released Class Claims: The “Released Class Claims” are all claims, rights, demands, liabilities, and causes of action, in law or in equity, arising at any time during the Class Period for the claims brought by Plaintiffs in the Operative Class Complaint, or that could have been brought by Plaintiffs against Defendants in the Operative Class Complaint based on the facts alleged therein.

Released PAGA Claims: If you are an Aggrieved Employee (i.e. if you worked for Defendants during the PAGA Period), you will also release all claims for civil penalties that were alleged or could have been alleged in the Operative PAGA Complaint and the PAGA Notice based on the facts alleged therein during the PAGA Period.

EXCLUDING YOURSELF FROM THE SETTLEMENT

12. HOW DO I EXCLUDE MYSELF FROM THE SETTLEMENT?

To exclude yourself from the Settlement, you must send the Settlement Administrator a written and signed request for exclusion which must be postmarked no later than 60 days after Class Notice is Mailed. Be sure to include your name, address, and telephone number, and any other information you think would be helpful to the settlement Administrator to identify you. You can send your request for exclusion to the settlement Administrator at:

APEX CLASS ACTION ADMINISTRATION
Pacheco, et al. v. K.A. Vanderham Dairy, et al.
1.800.355.0700
Irvine, California 92618
admin@apexclassaction.com

If you ask to be excluded from the Settlement, you will not be legally bound by anything that happens in the Action, except as it relates to settlement of the PAGA claim. If you ask to be excluded from the Settlement you will not be able to object to the Settlement and you will not receive an Individual Class Payment, but you will still receive an Individual PAGA Payment if you worked for Defendants during the PAGA Period (January 16, 2023 through May 4, 2025). If you ask to be excluded, you may be able to sue (or continue to sue) Defendants in the future.

13. IF I DON'T EXCLUDE MYSELF, CAN I SUE DEFENDANTS FOR THE SAME THING LATER?

No. Unless you exclude yourself, you give up any right to sue Defendants for the claims that this Settlement resolves. If you have a pending lawsuit, speak to your lawyer in that case immediately. You must exclude yourself from this Class to continue your own lawsuit. Remember, the exclusion deadline is 60 days after Class Notice is Mailed.

14. IF I EXCLUDE MYSELF, CAN I GET MONEY FROM THIS SETTLEMENT?

No. If you exclude yourself, you will not receive any money from this Settlement. However, if you timely exclude yourself from the Settlement, you will retain the right to pursue your own legal action against Defendant, if you desire.

THE LAWYERS REPRESENTING YOU IN THIS LAWSUIT

15. DO I HAVE A LAWYER IN THIS CASE?

The Court has determined that Wilshire Law Firm is qualified to represent you and the Class Members in the lawsuit. These lawyers are called Class Counsel, and their contact information is listed below. If you want to be represented by your own lawyer, you may hire one at your own expense.

John G. Yslas
john.yslas@wilshirelawfirm.com
Diego Aviles
diego.aviles@wilshirelawfirm.com
Harry Erganyan
harry.erganyan@wilshirelawfirm.com
Mariam Nazaretyan
mariam.nazaretyan@wilshirelawfirm.com
WILSHIRE LAW FIRM
660 S. Figueroa St., Sky Lobby
Los Angeles, California 90017
Telephone: (213) 381-9988
Facsimile: (213) 381-9989

16. HOW WILL THE LAWYERS BE PAID?

Class Counsel will ask the Court to approve up to one third (1/3) of the GSA (currently \$106,722.66) for attorneys' fees incurred in investigating the facts, litigating the case, and negotiating the Settlement. Class Counsel will also seek Court-approval of up to \$16,000.00 in litigation expenses incurred in this matter. The Court may award Class Counsel less than what they request. Class Counsel will also ask the Court to approve payment to Plaintiffs Adrian Pacheco and Juan Bautista in the amount of \$7,500.00 each in addition to Plaintiffs' Individual Class Payment and Individual PAGA Payment for the initiative, risk, and time and energy Plaintiffs has spent in service to the Class as the Class Representative. The Court may award the Class Representative less than what is requested.

OBJECTING TO THE SETTLEMENT

You can and have the right to tell the Court you do not agree with the Settlement or some part of it.

17. HOW DO I TELL THE COURT THAT I OBJECT TO THE SETTLEMENT?

If you don't think the Settlement is fair, you can object to some or all of the Settlement. You can either object to the Settlement in person at the Final Approval Hearing or you can submit a written objection. Written objections and notices of intent to appear at the Final Approval Hearing must be mailed to the Settlement Administrator and postmarked on or before [REDACTED], at the following address:

APEX CLASS ACTION ADMINISTRATION
Pacheco, et al. v. K.A. Vanderham Dairy, et al.
1.800.355.0700
Irvine, California 92618
admin@apexclassaction.com

QUESTIONS? CALL 1-800-355-0700 TOLL FREE

The written objection should state your name and address and describe all legal and factual reasons that you object to the terms of the Settlement. You should also include or attach any documents upon which your objection is based. If the Court overrules the objection at the Final Approval hearing, the Settlement Agreement will be approved, and you will receive your payment. If you do not submit a written objection, you may still appear at the Final Approval hearing to voice your objection or to otherwise observe the proceedings.

18. WHAT'S THE DIFFERENCE BETWEEN OBJECTING AND REQUESTING EXCLUSION?

Objecting is simply telling the Court that you do not agree with something about the Settlement. You can object only if you stay in the Class.

Requesting exclusion is telling the Court that you do not want to be part of the Class. If you exclude yourself, you have no basis to object because the case no longer affects you, and you do not get any money from this Settlement. If you submit both an objection and a request to be excluded from the settlement, the request to be excluded will control and you will not get any money from this settlement.

THE COURT'S FAIRNESS HEARING

The Court will hold a Final Approval Hearing to decide whether to approve the Settlement. You may attend and you may ask to speak, but you don't have to.

19. WHEN AND WHERE WILL THE COURT DECIDE WHETHER TO APPROVE THE SETTLEMENT?

The Court will hold a Final Approval Hearing at [redacted] on [redacted] in Department 7 of the Tulare County Superior Court located at 221 S. Mooney Blvd., Visalia, CA 93291 to determine whether the Settlement should be finally approved as fair, reasonable, and adequate. If there are objections, the Court will consider them at that time. The Court will also be asked to approve the requests for the Class Representative Service Payment and the Class Counsel Fees and Litigation Expenses Payments.

20. DO I HAVE TO COME TO THE HEARING?

No. Class Counsel will answer questions the Court may have. However, you are welcome to attend. If you send an objection, you do not have to come to the Court to talk about it. As long as you mailed your written objection to the settlement administrator on time, the Court will consider it. You may also pay your own lawyer to attend, but it is not necessary.

IF YOU DO NOTHING

21. WHAT IF I DO NOTHING AT ALL?

If you do nothing, you will receive a Settlement payment, and you will be bound by the terms of Settlement, which means that you will not be able to start a lawsuit, continue a lawsuit, or be a part of any other lawsuit against the Defendants about the legal issues in the Action.

GETTING MORE INFORMATION

22. HOW DO I GET MORE INFORMATION?

You may contact Class Counsel at the contact information listed above in Section 15 if you have any questions about the Settlement. You may also contact the Court-appointed Settlement Administrator, Apex Class Action Administration, by calling toll free 1-800-355-0700, or you can write to the Administrator at the following address:

APEX CLASS ACTION ADMINISTRATION
Pacheco, et al. v. K.A. Vanderham Dairy, et al.
1.800.355.0700
Irvine, California 92618
admin@apexclassaction.com

PLEASE DO NOT TELEPHONE THE COURT OR DEFENDANTS' COUNSEL FOR INFORMATION REGARDING THIS SETTLEMENT OR THE CLAIM PROCESS. YOU MAY, HOWEVER, CALL CLASS COUNSEL OR THE SETTLEMENT ADMINISTRATOR, LISTED ABOVE.